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Model-based drug-drug interaction extrapolation strategy from adults to children – risdiplam in pediatric patients with spinal muscular atrophy

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CONFLICT OF INTEREST

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ABSTRACT

Risdiplam (Evrysdi™) improves motor neuron function in patients with spinal muscular atrophy (SMA) and has been approved for the treatment of patients ≥2 months old. Risdiplam exhibits time-dependent inhibition of cytochrome P450 (CYP) 3A in vitro. While many pediatric patients receive risdiplam, a drug-drug interaction (DDI) study in pediatric SMA patients was not feasible. Therefore, a novel physiologically-based pharmacokinetic (PBPK) model-based strategy was proposed to extrapolate DDI risk from healthy adults to SMA children in an iterative manner. A clinical DDI study was performed in healthy adults at relevant risdiplam exposures observed in children. Risdiplam caused an 1.11-fold increase in midazolam AUC (AUCR), suggesting an 18-fold lower in vivo CYP3A inactivation constant (k_{inact}) compared to the in vitro value. A pediatric PBPK model for risdiplam was validated with independent data and combined with a validated midazolam pediatric PBPK model to extrapolate DDI from adults to pediatric SMA patients. The impact of selected intestinal and hepatic CYP3A ontogenies on the DDI susceptibility in children relative to adults was investigated. The PBPK analysis suggests that primary CYP3A inhibition by risdiplam occurs in the intestine rather than the liver. The PBPK-predicted risdiplam CYP3A inhibition risk in pediatric SMA patients aged 2 months–18 years was negligible (midazolam AUCR of 1.09–1.18) and included in the US prescription information of risdiplam. Comprehensive evaluation of the sensitivity of predicted CYP3A DDI on selected intestinal and hepatic CYP3A ontogeny functions, together with PBPK model-based strategy proposed here aim to guide and facilitate DDI extrapolations in pediatric populations.

INTRODUCTION

Spinal muscular atrophy (SMA Types 1-4) is a severe neuromuscular disease resulting in progressive proximal muscle weakness and paralysis (1). The underlying cause of this rare disease is an insufficiency in survival motor neuron (SMN) protein due to deletion of and/or loss of function mutation within the SMN1 gene (2, 3). Risdiplam (RG7916) distributes into central and peripheral tissues after oral administration and modifies splicing of pre-mRNA of the SMN2 gene to increase functional SMN protein in SMA patients (4). Type 1 is the most severe form of SMA with an onset of symptoms in infants before the age of 6 months. Infants with this disease are unable to sit unsupported, may require permanent ventilation and have a life expectancy of 2 years (5). The clinical trial for risdiplam in Type 1 SMA infants showed an approximately 2-fold increase in SMN protein, an ability to sit without support after 12 months of treatment in 41% of patients, and significant reduction in mortality and permanent ventilation (6). Risdiplam has been approved by the US FDA in 2020 as the first orally administered drug for SMA treatment (7), followed by European Medicine Agency (8).
Risdiplam and its metabolite M1 exhibit time-dependent inhibition (TDI) of cytochrome P450 (CYP3A) in vitro in human liver microsomes (9). SMA patients require many medications to treat disease symptoms and complications (e.g., respiratory infections) (1). As these co-medications often include various CYP3A substrates, investigation of clinical relevance of potential CYP3A inhibition is important. Although many pediatric patients receive risdiplam, since the typical onset of symptoms is during childhood (10), a drug-drug interaction (DDI) study without therapeutic need in this highly vulnerable population is considered unethical. Therefore, a DDI study in healthy adults combined with pediatric physiologically-based pharmacokinetic (PBPK) modelling was prospectively planned to evaluate the CYP3A TDI risk in pediatric SMA patients.

PBPK modelling in conjunction with in vitro - in vivo extrapolation has been frequently used for prediction of DDIs (11-13). The mechanistic nature of PBPK modelling enables consideration of age-dependent differences in physiological parameters (14) and as such has been applied to extrapolate DDI studies in adults to children (15-19). However, wider application of pediatric PBPK modelling in such a context is still lacking (20, 21). The hepatic and intestinal CYP3A ontogeny functions are critical physiological information for the prediction of CYP3A-mediated DDI in children. Several ontogeny models have been proposed based on a combination of surrogate in vitro and in vivo data in children for hepatic CYP3A enzymes (22-26), namely Salem et al. (“Salem function”) (25) and Upreti and Wahlstrom (“Upreti function”) (26). There is a distinct difference in the predicted hepatic CYP3A activity between these two ontogeny functions, particularly in children ≤2 years (Supplementary Material-1) and thus different susceptibility to hepatic CYP3A modulations can be expected. Recent analysis (17) highlighted lack of consistency in the selection of hepatic CYP3A ontogeny in pediatric PBPK modelling. In the case of intestinal CYP3A ontogeny, a function derived by Johnson et al. (“Johnson function”) is the only one used (24, 27). The fraction metabolized by CYP3A (fm\textsubscript{CYP3A}) and intestinal availability (F\textsubscript{G}) determine the extent of CYP3A victim DDIs (28-31). These parameters can be age-dependent due to the hepatic and intestinal CYP3A ontogenies (24-27) and/or other age-related physiological changes (e.g., other contributing enzymes, blood flow, renal and/or biliary excretion (24, 32)). Therefore, fm\textsubscript{CYP3A} and F\textsubscript{G} in children may differ to adults leading to potentially different sensitivities to DDIs (17, 33, 34).

In the current study, the in vivo CYP3A inhibition effect of risdiplam was investigated by a PBPK model-based approach integrated with a DDI clinical trial to support safe concomitant use of CYP3A substrates in SMA patients ≥2 months. The strategy consisted of 1) investigation/estimation of the in vivo inactivation constant (k\textsubscript{inact}) in healthy volunteers at risdiplam exposure relevant to children, 2) a mechanistic and comprehensive extrapolation of risdiplam CYP3A DDI from healthy adults to children covering ranges of physiological (intestinal and hepatic CYP3A ontogenies) and drug related (in vivo k\textsubscript{inact})
growth models specific to SMA patients were developed and implemented in PBPK modeling and DDI extrapolation. In addition, the impact of different hepatic and intestinal CYP3A ontogeny functions on the predicted DDI was theoretically investigated to provide general guidance for selection of ontogeny functions and a PBPK model-based strategy to extrapolate DDIs from adults to children.

METHODS

RISDIPLAM PBPK MODEL DEVELOPMENT

The DDI strategy shown in Figure 1 was applied to risdiplam. A risdiplam PBPK model previously developed for healthy adults (9) was adapted to adult SMA patients using the Simcyp Simulator® v18 (35). The clearance (CL), consisting of renal CL (CL_{R}, 5%), hepatic intrinsic CL (CL_{int,H}) via CYP3A (20%) and FMO3 (75%), was approximately 30% lower in the SMA population than in healthy adults, based on population pharmacokinetic (PPK) analysis (35). Therefore, CL_{R} and CL_{int,H} were reduced accordingly. For pediatric SMA patients, the CL_{R} was scaled by age-dependent glomerular filtration rate (24). Multiple ontogeny functions (25, 26, 36-38) have been investigated for scaling risdiplam CL_{int,H}. Ultimately, the Upreti function (26) for both CYP3A and FMO3 enzymes demonstrated the best consistency between the predicted age-dependent CL/F and the post-hoc CL/F of the PPK model (Supplementary Material-2) and this ontogeny was therefore retained in the model. The unbound fraction in plasma was age-independent (9).

The PBPK models for healthy adults, pediatric and adult SMA patients were validated using independent risdiplam PK data for the respective population (35). To account for the specific SMA patient demographics and their inter-individual variability, growth models of body height and weight were developed (Supplementary Section-3).

RISDIPLAM CYP3A INHIBITION RISK ASSESSMENTS

DDI liability assessments in vitro (Figure 1-1). Details of the TDI in vitro study are reported elsewhere (9). The estimated k_{inact} and inhibition potency (K_{i}) were 3.9 h^{-1} and 13 µM for risdiplam, and 3.8 h^{-1} and 13.7 µM for M1, respectively (Supplementary Material-4).

Initial in silico DDI liability assessments (Figure 1-2). The clinical relevance of the in vitro TDI parameters was evaluated by simulating the effect of 14-day treatment with risdiplam on the oral midazolam PK in virtual healthy adults, adult and pediatric SMA patients. This preliminary analysis indicated that the primary CYP3A inhibition site was the intestine, and therefore, midazolam was selected as a suitable clinical probe given its F_{G} value (0.55) (39). The preliminary simulations using the default midazolam and the risdiplam PBPK models with the in vitro TDI parameters predicted a 2- and 2.5-fold increase in midazolam area under the curve (AUC) in adult and pediatric populations, respectively which were considered clinically relevant and warranted a clinical investigation (40).
Clinical DDI study in healthy adults (Figure 1-3). An open-label trial (NCT03988907) was conducted in 35 healthy adults separated into two parts to investigate safety, tolerability and pharmacokinetics of risdiplam (Part 1), and to determine its in vivo TDI effect on oral midazolam PK (Part 2). All relevant study documents were approved by the Institutional Review Board and all subjects signed the informed consent prior to enrollment. The study was conducted in full conformance with the principles of the Declaration of Helsinki. Based on the safety and PK evaluation of risdiplam after 5 mg in 8 subjects (Part 1), a risdiplam dose for Part 2 (n=27) was selected to target a steady-state AUC$_{0-24h}$ of 2000 ng*h/mL, as observed in pediatric patients. Midazolam 2 mg was given 2 days before and 13 days after the initiation of 14-day risdiplam treatment. In addition to non-compartmental PK analysis, PPK modelling was performed to investigate the effect of risdiplam on midazolam PK. Bioanalysis and further details on the study/analyses performed are in Supplementary Material-5 and -6.

Risdiplam PBPK model validation and TDI parameter refinement (Figure 1-4). The risdiplam and midazolam PBPK models were evaluated against the data obtained from the DDI study (independent clinical dataset). Initial quantitative translation of risdiplam in vitro TDI over-estimated the increase in midazolam AUC and maximum concentration (C$_{max}$) by risdiplam. Subsequently, the in vitro $k_{inact}$ value was incrementally refined to match the observations. Ratio of midazolam AUC with and without risdiplam (AUCR) was considered more reliable than C$_{max}$ ratio (C$_{max}$R), as this parameter less dependent on sampling times, and was used primarily for estimation of the in vivo $k_{inact}$. The in vivo $k_{inact}$ based on the C$_{max}$R was used as a worst-case scenario in the DDI extrapolation.

Risdiplam PBPK model validation in pediatric patients and TDI extrapolation (Figure 1-5). The risdiplam pediatric PBPK model was developed on data collected from 130 subjects (including 94 pediatric patients) and validated using independent data from 289 pediatric patients (35). Predicted C$_{max}$ and AUC of risdiplam were compared with the observations from all 372 pediatric SMA patients ≥2 months treated with the therapeutic dose of risdiplam (0.2 mg/kg for 2 months to 2 years, 0.25 mg/kg for patients ≥2 years and weighing <20 kg or 5 mg for patients ≥2 years and weighing ≥20 kg once daily (41)). A detailed evaluation of midazolam pediatric PBPK model (Simcyp v18) was performed against published clinical data in neonates to 18 year old children (Supplementary Material-7). Plasma concentrations, systemic and oral CL and bioavailability (F) of midazolam were examined, with a focus on infants <2 years due to higher uncertainty in the CYP3A ontogeny functions. The DDI study results in healthy adults were extrapolated to pediatric patients ≥2 months (n=2000) by simulations using the midazolam and risdiplam PBPK models and the in vivo $k_{inact}$ of risdiplam. The hepatic CYP3A ontogeny functions used for the DDI extrapolation were the Salem (25) and Upreti functions (26), whereas the Johnson function (24, 27) was applied for the intestinal CYP3A ontogeny. Simulations were performed following the same DDI study
design as in the healthy adults, with the therapeutic risdiplam doses (41) and 0.1 mg/kg oral dose of midazolam. Alteration in the simulated hepatic and intestinal CYP3A activity was assessed. The \( Q_{\text{gut}} \) model (39, 42) was applied to predict the effect of risdiplam on \( F_G \) of midazolam and other CYP3A substrates (Supplementary Material-8).

**Impact of CYP3A ontogeny functions on predicted TDI risk in pediatric patients (Figure 1-6).** Sensitivity analyses were performed to investigate the general uncertainty of predicted DDIs in children including 1) an alternative scenario assuming full intestinal CYP3A maturity from birth (“full-maturity”) to address uncertainty in age-dependent intestinal drug extraction and 2) a more conservative risdiplam in vivo \( k_{\text{inact}} \) based on the midazolam \( C_{\text{max}}R \) to account for a range of clinical observations. In addition, a generalized TDI risk assessment was performed illustrating the impact of choice of ontogeny functions on the predicted CYP3A DDI susceptibility in children considering a theoretical potent CYP3A inhibitor in contrast to the weak CYP3A inhibitor, risdiplam. This analysis was considered of high relevance as current data do not allow an unequivocal selection of hepatic CYP3A ontogeny. The theoretical AUC ratios were calculated using Equation 1 (43) assuming 95% and 100% inhibition of hepatic and intestinal CYP3A enzymes, respectively to match reported clinical DDI data of midazolam with potent CYP3A inhibitors (44, 45). In this case, \([I]_i \times k_{\text{inact}} / ([I]_i + K_I)\) ≥ 19-fold of \(k_{\text{deg}}\) and the steady-state Equation 1 was simplified to Equation 2. The theoretical AUC and \( F_G \) ratios were calculated for a virtual pediatric population (0.01 – 18 years, n=5000) using simulated midazolam \( f_{\text{mCYP3A}} \) and \( F_G \) obtained for each aforementioned CYP3A ontogeny function.

\[
\frac{\text{AUC}_{\text{p.a.}}}{\text{AUC}_{\text{p.a.}}} = \frac{1}{k_{\text{deg,H}} + \frac{[I]_i \times k_{\text{inact}}}{[I]_i + K_I}} \times \frac{1}{k_{\text{deg,G}} + \frac{[I]_i \times k_{\text{inact}}}{[I]_i + K_I}} \times \frac{1}{(1 - F_G) + F_G} \quad \text{Equation 1}
\]

\[
\frac{\text{AUC}_{\text{p.a.}}}{\text{AUC}_{\text{p.a.}}} = 0.05 \times f_{\text{mCYP3A}} + (1 - f_{\text{mCYP3A}}) \times \frac{1}{F_G} \quad \text{Equation 2}
\]

where \( k_{\text{deg,H}} \) and \( k_{\text{deg,G}} \) are the hepatic and intestinal CYP3A enzyme degradation rates constants, respectively, \([I]_i \) and \([I]_G \) are hepatic and intestinal inhibitor concentrations, respectively.

**RESULTS**

**Midazolam DDI Study in Healthy Volunteers.** Risdiplam treatment for 14 days, alone and co-administered with midazolam, was well tolerated by all subjects. Based on the safety and PK results of Part 1, a risdiplam dose of 8 mg was selected for the DDI assessment (Part 2) which resulted in geometric mean AUC\(_{0-24h}\) of 1730 ng•h/mL (Table 1). The ratios of the geometric least-squares mean of midazolam AUC\(_{\text{inf}}\), AUC\(_{\text{last}}\) and \( C_{\text{max}} \) in the presence to the absence of risdiplam (90% confidence intervals) were 1.08 (0.93-
1.26), 1.11 (1.02–1.20), and 1.16 (1.06–1.28), respectively. The PK parameters of M1 and 1’OH-midazolam are summarized in Supplementary Material-5. The PPK analysis estimated an 11% increase in the relative bioavailability (\( F_{rel} \)) of midazolam by risdiplam (Supplementary Material-6), suggestive of a predominant effect on midazolam first-pass extraction.

**Risdiplam PBPK model validation and TDI parameter refinement in healthy volunteers.** The PBPK model successfully predicted risdiplam plasma concentration-time profiles (Figure 2A) and PK parameters (Table 1) after 5 and 8 mg daily for 14 days. Midazolam PK was also well predicted by the default model (Table 2). Risdiplam DDI simulations using the *in vitro* CYP3A \( k_{inact} \) (3.9 h\(^{-1}\)) over-predicted the midazolam AUCR and \( C_{max} \)R by 91% and 52%, respectively. Although a 10-fold decrease in \( k_{inact} \) recovered the observed \( C_{max} \)R, the AUCR remained over-predicted (Table 2). Consequently, the *in vitro* \( k_{inact} \) was further reduced to 18-fold to recover the observed AUCR and plasma concentration-time profiles (Table 2, Figure 2B); this *in vivo* \( k_{inact} \) estimate (0.22 h\(^{-1}\)) was subsequently applied for the extrapolation of TDI to children.

**PBPK model validation in pediatric patients and TDI extrapolation.** The predicted risdiplam \( C_{max} \) and \( AUC_{0-24h} \) in the pediatric SMA patients after therapeutic doses were consistent with the observed \( C_{max} \) and \( AUC_{0-24h} \) estimated using the post-hoc parameters of the PPK model in 372 pediatric SMA patients ≥2 months (Figure 2C and D). Plasma concentration–time profiles and CL of midazolam in children (including infants <2 years) were adequately predicted. While bioavailability of midazolam in children aged 3 days to 12 years was generally well predicted by a combination of Salem and Johnson functions for the hepatic and intestinal CYP3A ontogenies, under-predictions were noted for a combination of Upreti and full-maturity functions (Supplementary Material-7). The predicted risdiplam TDI on midazolam PK in pediatric patients ≥2 months was consistently low across age range, with a geometric mean midazolam AUCR of 1.09-1.18 (Figure 3A). The 95th percentiles of the predicted AUCRs were all below 1.4-fold, comparable to the clinical observations in the healthy adults. Similar trends were seen for the predicted \( C_{max} \)R (1.08-1.15). The PBPK modeling predicted decreased CYP3A activity by approximately 3% and 20% in the liver and small intestine, respectively, suggesting predominant risdiplam CYP3A inhibition effect in the intestine and negligible effect in the liver. Consequently, the predicted midazolam AUCR was almost identical between different hepatic CYP3A ontogeny functions. The analysis also highlighted that the maximal increase in \( F_G \) (and AUC) cannot exceed 25% even for CYP3A substrates undergoing extensive intestinal extraction due to the limited (20%) reduction in intestinal CYP3A activity by risdiplam (Supplementary Material-8).

**Impact of CYP3A ontogeny functions on predicted TDI risk in pediatric patients.** The results of sensitivity analyses performed with combinations of intestinal and hepatic CYP3A ontogeny functions...
and over a range of in vivo $k_{\text{inact}}$ values are shown in Figure 3B. The 95th percentiles of the predicted midazolam AUCR remained significantly below 2-fold across the age range even with the most conservative scenario, Upreti and full-maturity functions for the hepatic and intestinal CYP3A ontogenies, respectively, and the $k_{\text{inact}}$ based on $C_{\text{max}}R$ (0.39 h$^{-1}$). The inter-individual variability of simulated risdiplam AUC$_{0-24h}$ was larger than observed (Figure 2D), suggesting that a wider range of individual AUCR were simulated than are likely to be observed. The extrapolation and sensitivity analyses indicate that clinically significant CYP3A TDI (i.e., >2-fold increase in midazolam AUC) in pediatric SMA patients ≥2 months treated with therapeutic doses of risdiplam is highly unlikely.

Given the lack of sensitivity of risdiplam as a weak CYP3A inhibitor, the impact of hepatic and intestinal CYP3A ontogeny on the DDI predictions in children (aged 0.01-18 years) was evaluated with a theoretical potent CYP3A inhibitor using midazolam as a substrate. The predicted midazolam $f_{\text{m,CYP3A}}$ and $F_G$ (Figure 4A-B, Figure S2-S4) and the resulted theoretical midazolam AUC and $F_G$ ratios are shown in Figure 4C-D, respectively. The analysis highlighted higher sensitivity to CYP3A modulations when using the Upreti CYP3A ontogeny function, as simulated midazolam AUCRs with a potent inhibitor were significantly higher in children ≤2 years relative to the Salem function (Figure 4C). The simulation also highlighted the importance of ontogeny of other elimination pathways involved which may mature at different rates to CYP3A. Although UGT1A4 contributes 1-2% to midazolam clearance in adults (46), significantly different AUCR and sensitivity to CYP3A modulation were predicted depending on relative differences in maturation rate between CYP3A and UGT1A4 (Supplementary Material-9). The simulated midazolam $F_G$ ratio was constant across the age range with the Johnson function, whereas increased sensitivity to intestinal CYP3A interaction was shown for children ≤2 years with the full-maturity function (Figure 4D).

DISCUSSION

A stepwise PBPK model-based approach (Figure 1) was applied for risdiplam with critical qualification of multiple individual aspects to ensure model robustness for prospective prediction of CYP3A TDI risk in pediatric SMA patients. Important aspects of the strategy include: 1) conduct of a clinical DDI evaluation in healthy adults at risdiplam exposures relevant to pediatric SMA patients, 2) validation of the pediatric PBPK models of risdiplam (perpetrator) and midazolam (victim drug) with independent data to ensure extrapolative capability 3) identification/assessment of appropriate CYP3A ontogeny functions to determine DDI susceptibility. Each aspect was critically evaluated, and impact of uncertainty was assessed by sensitivity analysis to ensure conservative TDI risk evaluation in the pediatric population (summary of evaluation of all critical inputs in Supplementary Material-10).
Initially, PBPK modelling predicted ≥2-fold increase in midazolam AUC based on the in vitro $k_{\text{inact}}$ in contrast to the clinically inconsequential DDI observed in the healthy adults (midazolam AUCR <1.25). Over-predictions of in vivo CYP3A TDI using in vitro inhibition microsomal data has been reported previously, in particular for weak to moderate inhibitors (47, 48). This trend was also evident here as the in vivo risdiplam $k_{\text{inact}}$ that recovered midazolam AUCR/C$_{\text{max}}$R was up to 18-fold lower than the in vitro estimate. The CYP3A inhibition was predicted to occur predominantly in the intestine (20%) and to a negligible extent in the liver (3%). Consistently, the PPK analysis estimated an increase in F$_{\text{rel}}$ rather than decrease in CL/F, indicating an effect of risdiplam on the first-pass extraction of midazolam. The lack of correlation between risdiplam systemic exposure and fold-change in midazolam AUC and CL/F (Supplementary Material-6) further supports this prediction. The estimated increase in midazolam F$_{\text{rel}}$ (11%) is consistent with the estimation of the in vivo $k_{\text{inact}}$ value based on the observed AUC$_{\text{last}}$ ratio (1.11). Although the main metabolite M1 exhibited similar potency to risdiplam in vitro, the intestinal metabolism of risdiplam is negligible (9) and the optimized in vivo $k_{\text{inact}}$ would at any rate include contribution from M1 (comparable risdiplam to M1 ratios in adults and children). Taken together, all information are supportive of a negligible risdiplam DDI risk for intravenously administered CYP3A substrates. While a DDI for orally administered CYP3A substrates cannot be completely excluded, it is expected to be negligible for substrates with a lower intestinal extraction than midazolam (i.e., F$_{\text{G}}$ >0.55). Even for substrates undergoing extensive intestinal metabolism, the predicted maximum increase in the absolute bioavailability was up to 25% based on the Q$_{\text{gut}}$ model, with a 20% reduction in intestinal CYP3A activity (Supplementary Material-8). Overall, clinically relevant TDI of CYP3A drugs by risdiplam is not expected.

The risdiplam PBPK model was validated with independent data (35) and specific growth model for SMA patients was implemented to allow a more accurate representation of physiological parameters (e.g., organ sizes and blood flow) in the virtual SMA populations (49). There was minor difference in PBPK model predictions between the SMA patients’ growth model and the default demographic pediatric model. However, development of a demographic model for a target population represents the best practice if such data are available and it ensures robust prediction of systemic and intestinal concentrations of risdiplam for the DDI extrapolation purposes. The pediatric patients demonstrated higher body weight-normalized post-hoc CL/F than the adolescents and adult patients (35), indicating an ontogeny function in which enzyme levels per liver weight in children exceed those of adults. Ontogeny of FMO3 enzymes has been mostly investigated in vitro, showing low abundance at birth and monotonic increase with age (36, 38). However, in vivo investigation of FMO3 ontogeny is currently limited probably due to lack of clinically sensitive FMO3 substrates. The Upreti function describes higher CYP3A activity per liver weight in children (26) and using it for scaling of both CYP3A and FMO3-mediated CL$_{\text{int,H}}$ produced a highly comparable distribution of CL/F to those of the PPK model (Supplementary Material-2). PPK modeling
elucidated patient’s specific characteristics and age-dependent process in risdiplam PK (35). Since risdiplam data in SMA patients were sparse, this integration of information from PPK modelling was important for subsequent robust PBPK modeling. The validated risdiplam pediatric PBPK model demonstrated accurate prediction of $C_{\text{max}}$ and $AUC_{0-24h}$ of 372 pediatric patients ≥2 months at therapeutic doses (Figure 2). These validation steps, together with evaluation of predictive performance of midazolam pediatric PBPK model, were critical for subsequent model-based investigation of risdiplam CYP3A TDI risk in pediatric SMA patients.

Since the predicted CYP3A inhibition by risdiplam was predominantly in the intestine, the unbound intestinal concentration and the intestinal CYP3A ontogeny functions were critical for TDI extrapolation to pediatric SMA patients. The risdiplam PBPK model predicts almost complete absorption and negligible first-pass effects, and thus a high oral bioavailability (9). Good prediction of $C_{\text{max}}$ across age (Figure 2C) supports adequate prediction of risdiplam absorption and therefore local intestinal concentrations. DDI predictions were performed assuming complete unbound fraction of risdiplam in the enterocytes, ensuring the conservative evaluation of the TDI risk.

Use of the Johnson intestinal CYP3A ontogeny function resulted in a consistent midazolam $F_G$ estimate of approximately 0.55-0.60 for children and adults, whereas the full-maturity function led to lower $F_G$ estimates in children ≤2 years (Figure 4B), and thus higher sensitivity to intestinal CYP3A modulations. A combination of the Salem and Johnson functions accurately predicted systemic CL, plasma concentration–time profiles, and bioavailability of midazolam in children (Supplementary Material-7). Although $F_G$ is not directly observable, these assessments indicate an adequacy of the Johnson function for intestinal CYP3A ontogeny to predict midazolam $F_G$. In contrast, significant under-prediction of bioavailability was noted for a combination of the Upreti and full-maturity functions, suggesting this combination to be a highly conservative and sensitive, but a rather unlikely scenario given the current information. Even with this conservative scenario, the predicted midazolam AUCR (1.10 – 1.20) and $C_{\text{max}}R$ (1.08 – 1.19) in the presence of risdiplam remained <1.25. This minimal predicted CYP3A-mediated DDI risk is in line with no safety concerns raised in Type 1 SMA infants who received various CYP3A substrates (e.g., budenoside, dexamethasone, prednisolone) during the risdiplam treatment (internal data).

As risdiplam is a weak time-dependent inhibitor, it did not allow complete assessment of the impact of different ontogeny functions on the DDI susceptibility in children. Therefore, a theoretical case of a potent CYP3A inhibitor was explored to illustrate the importance of selection of ontogeny functions on predicted DDI. Simulated midazolam $fm_{\text{CYP3A}}$ values in children was >0.9 across the age range and the difference between the hepatic CYP3A ontogeny functions was not very apparent (Figure 4A). However, the theoretical AUCR using the Upreti function was higher in children ≤2 years compared to those with the
Salem function which predicted age-independent AUCR with a potent inhibitor (Figure 4C). These trends reflect assumption of comparable maturation of CYP3A and UGT1A4 when Salem function was used (Supplementary Material-9, Figure S19B). In contrast, the Upreti function predicted a more rapid maturation of CYP3A activity compared to UGT1A4, resulting in higher \( fm_{CYP3A} \) in children \( \leq 2 \) years. For age ranges when UGT1A4 maturation is advanced relative to CYP3A, the relative contribution of this enzyme increases (Supplementary Material-9, Figure S19C) resulting in lower sensitivity to CYP3A modulation (Supplementary Material-9, Figure S20B). Although the contribution of UGT1A4 to hepatic metabolism of midazolam is minor in adults (46), assumptions of its ontogeny influences the predicted sensitivity to CYP3A-mediated DDI in children for substrates with \( fm_{CYP3A} > 0.8 \), as even minor differences in that range lead to significant differences in predicted DDI with potent CYP3A inhibitors (50, 51).

In conclusion, a PBPK model-based extrapolation of risdiplam CYP3A DDI risk was performed in a stepwise manner from healthy adults to the pediatric SMA patients. Simulations of clinically relevant therapeutic exposures, together with careful design of clinical DDI study in healthy adults and comprehensive evaluation of multiple CYP3A ontogeny functions were performed. As data are not unequivocal on the selection of hepatic CYP3A activity in children, evaluation of both Salem and Upreti ontogenies, together with careful consideration of parallel pathways and intestinal contribution, is recommended for extrapolation of DDIs to pediatric populations within PBPK framework. This PBPK model–based analysis predicted low DDI propensity of risdiplam with CYP3A substrates in pediatric SMA patients \( \geq 2 \) months. The conclusion from the PBPK modelling analysis has been included in the approved prescription information of risdiplam in the US (41).

**STUDY HIGHLIGHTS**

**What is the current knowledge on the topic?**

While children can have a different drug-drug interaction (DDI) susceptibility than adults, pediatric DDI studies are often ethically challenging. Physiologically-based pharmacokinetic (PBPK) modelling can assist in prospective DDI risk evaluation in children.

**What question did this study address?**

Can we mechanistically extrapolate the risdiplam time-dependent inhibition (TDI) of CYP3A studied in healthy adults to children with spinal muscular atrophy using qualified PBPK models?

**What does this study add to our knowledge?**
The increase in midazolam exposure by risdiplam in healthy adults was <20%. PBPK simulations over ranges of hepatic and intestinal CYP3A ontogenies and TDI parameters indicated a similar DDI propensity in children ≥2 months of age, and low likelihood of a clinically relevant CYP3A DDI.

**How might this change clinical pharmacology or translational science?**

Pediatric PBPK modelling coupled with adequately designed study in adults, enables prospective DDI risk assessments in children. Selection of ontogeny models based on sensitivity to enzyme modulation allows conservative DDI extrapolation to children.

**ACKNOWLEDGEMENTS**

The authors would like to thank all the SMA patients and families who participated in the risdiplam program, the patient groups, and the clinical trial sites and staff for their support. Dr. Annie Young for summarizing midazolam DDI study results. Estelle Yau for discussion of growth modelling. Drs. Kenichi Umehara, Trevor Johnson and Karen Rowland Yeo for the discussion on pediatric model validations.

**DATA SHARING STATEMENT**
Qualified researchers may request access to individual patient level data through the clinical study data request platform (https://vivli.org/). Further details on Roche’s criteria for eligible studies are available here (https://vivli.org/members/ourmembers/). For further details on Roche’s Global Policy on the Sharing of Clinical Information and how to request access to related clinical study documents, see here (https://www.roche.com/research_and_development/who_we_are_how_we_work/research_and_clinical_trials/our_commitment_to_data_sharing.htm).

AUTHOR CONTRIBUTIONS

Y.C., M.G., and A.Ga., wrote the manuscript; Y.C., M.G., A.Ga., and H.K., designed the research; Y.C., M.G., A.Ga., K.O., L.A., A.Gü., H.K., P.G., and K.H. performed the research; Y.C., and M.G., analyzed the data.

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https://www.accessdata.fda.gov/drugsatfda_docs/nda/2020/213535Orig1s000ClinPharmRpdf


(16) FDA. Application Number: 208684Orig1s000, 208685Orig1s000 Clinical Pharmacology and Biopharmaceutics Review(s).


FIGURE LEGENDS

Figure 1 Model-based CYP3A inhibition risk assessments for pediatric patients with SMA. The arrows for ② indicate the prediction of in vivo CYP3A inhibition of risdiplam using PBPK models for healthy adults and SMA patients including pediatric populations. The arrows for ④ indicate validation of the risdiplam and midazolam PBPK model for healthy adults using the observations of the clinical DDI study ③ and refinement of the in vivo $k_{\text{inact}}$ which was included in the PBPK model. The arrows for ⑤ and ⑥ indicate the extrapolation and DDI risk assessments using the pediatric PBPK model of risdiplam with the in vivo $k_{\text{inact}}$. Different ontogeny functions of CYP3A enzyme predict different susceptibility to CYP3A modulations in children and thus various functions were considered. The risdiplam PBPK model was validated with independent data for each population (35). The definition of validation here was an examination of the platforms and models whether they accurately predict observations from independent data (i.e., not used during model development). The independent data may have been generated for the same compound/inhibitors (in different studies or sub-sets of populations) or other compounds with similar properties.

Figure 2 Comparisons of simulated risdiplam and midazolam pharmacokinetics by the PBPK model with the observed data in adults and pediatric population.

(A) Risdiplam plasma concentration – time profiles after oral 8 mg once daily for 14 days in 27 healthy subjects. The plot in semi-log scale as well as the profiles after 5 mg are shown in Supplementary Material-5. (B) Midazolam plasma concentration – time profiles after 2 mg oral administration in the presence of risdiplam predicted with the refined $k_{\text{inact}}$ (1/18 of the in vitro $k_{\text{inact}}$). 60% of the samples at 24h after midazolam administration were below the quantification limit (0.1 ng/mL). Observations (circles) and simulations as median (solid line) and 90% prediction interval (shaded area) are shown. Simulated risdiplam $C_{\text{max}}$ (C) and AUC$_{0-24h}$ (D) values in pediatric patients (gray shade) compared with the observed $C_{\text{max}}$ and individually estimated AUC$_{0-24h}$ using post-hoc PK parameters of population PK model (striped shade) (35). Geometric means of simulated (solid squares) and observed (open circles) values are shown. The observations originate from 372 pediatric SMA patients 2 months – 18 years. Geometric means of the simulated risdiplam $C_{\text{max}}$ and AUC$_{0-24h}$ are within 0.8-1.25 fold of the observations except for $C_{\text{max}}$ of 2-7 months (0.636) and AUC$_{0-24h}$ of 2-4 years (1.29), 4-7 years (1.26).
Figure 3 Predicted AUCR of midazolam in the presence of risdiplam in pediatric SMA patients aged 2 months – 18 years.

(A) Extrapolation of the DDI using Upreti and Johnson functions for the hepatic and intestinal CYP3A ontogeny, respectively. The open circles and shaded area show geometric means and 5th to 95th percentiles of the predicted midazolam AUCR. The dotted lines indicate the ratios of 1.25 and 2 for weak and moderate inhibition, respectively. (B) Simulations with ranges of ontogeny functions and estimated in vivo \( k_{\text{inact}} \) values to cover uncertainty in the physiology and drug related parameters of the DDI risk assessments. The 5th to 95th percentiles of the predicted AUCR are shown for each scenario. For UGT1A4 of the midazolam model, the steep ontogeny function for UGT1A4 (Supplementary Material-9) was used.

Figure 4 Comparisons of midazolam DDI susceptibility using different CYP3A ontogeny functions to simulate AUC and \( F_G \) ratios in the presence to the absence of hypothetical potent CYP3A inhibitor in children.

(A) Median predicted midazolam \( F_{\text{M,CYP3A}} \) in children using the Salem (open squares) or Upreti (solid circles) functions for the hepatic CYP3A enzyme ontogeny. (B) Median predicted midazolam \( F_G \) in children using the Johnson (solid circles) or full-maturity functions (open triangles) for the intestinal CYP3A ontogeny. (C) Median theoretical AUCR of midazolam in the presence to the absence of hypothetical potent CYP3A inhibitor with the hepatic CYP3A enzyme ontogeny according to Salem (open squares) or Upreti (solid circles) are shown. The Johnson function for the intestinal CYP3A ontogeny and the steep ontogeny model for UGT1A4 (Supplementary Material-9) was used. (D) Median theoretical \( F_G \) ratios in the presence to the absence of hypothetical potent CYP3A inhibitor with the intestinal CYP3A enzyme ontogeny according to Johnson (solid circles) or full-maturity functions (open triangles) are shown. All analyses were based on simulations with 5000 virtual individuals.

SUPPLEMENTARY FILES
1. Supplemental Material.pdf
Table 1 Predicted and observed PK parameters of risdiplam after 5 or 8 mg once daily for 14 days

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Observed</th>
<th>Predicted with CYP3A inhibition*</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>n = 8</td>
<td>n = 80</td>
</tr>
<tr>
<td>5 mg</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$C_{\text{max}}$ (ng/mL)</td>
<td>78.6 (23.7%)</td>
<td>65.2 (40%)</td>
</tr>
<tr>
<td>AUC$_{0-24h}$ (ng*h/mL)</td>
<td>1250 (24.6%)</td>
<td>1120 (50%)</td>
</tr>
<tr>
<td>8 mg</td>
<td>n = 27</td>
<td>n = 270</td>
</tr>
<tr>
<td>$C_{\text{max}}$ (ng/mL)</td>
<td>113 (21.5%)</td>
<td>102 (36%)</td>
</tr>
<tr>
<td>AUC$_{0-24h}$ (ng*h/mL)</td>
<td>1730 (21.3%)</td>
<td>1790 (45%)</td>
</tr>
</tbody>
</table>

Geometric means (CV%) are presented; *PBPK model prediction and minimal auto-inhibition effect is predicted due to high $F_G$ and low $f_m^{CYP3A}$ of risdiplam. The geometric mean of predicted risdiplam $C_{\text{max}}$ and AUC$_{0-24h}$ are all within 0.8-1.25 fold of the observations.
Table 2 Observed and predicted midazolam $C_{\text{max}}$ and AUC ratios using $\text{in vitro}$ and estimated $\text{in vivo}$ $k_{\text{inact}}$ values

<table>
<thead>
<tr>
<th></th>
<th>$C_{\text{max}}$</th>
<th>$C_{\text{max}}$ ratio</th>
<th>AUC$_{\text{last}}$</th>
<th>AUC$_{\text{last}}$ ratio</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Observed</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>without risdiplam (n=27)</td>
<td>7.65 (48.5%)</td>
<td>-</td>
<td>19.9 (49.0%)</td>
<td>-</td>
</tr>
<tr>
<td>with risdiplam (n=26)</td>
<td>8.96 (40.4%)</td>
<td>1.16</td>
<td>22.0 (47.7%)</td>
<td>1.11</td>
</tr>
<tr>
<td><strong>Predicted</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>without risdiplam</td>
<td>7.51 (70%)</td>
<td>-</td>
<td>22.8 (64%)</td>
<td>-</td>
</tr>
<tr>
<td>with risdiplam</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$\text{in vitro}$ $k_{\text{inact}}$ = 3.91 h$^{-1}$</td>
<td>13.2 (67%)</td>
<td>1.76 (+52%)$^f$</td>
<td>48.4 (73%)</td>
<td>2.12 (+91%)$^f$</td>
</tr>
<tr>
<td>$\text{in vitro}$ $k_{\text{inact}}^d$ = 0.39 h$^{-1}$</td>
<td>8.72 (70%)</td>
<td>1.16 (0%)$^f$</td>
<td>27.0 (66%)</td>
<td>1.19 (+7.0%)$^f$</td>
</tr>
<tr>
<td>$\text{in vivo}$ $k_{\text{inact}}^e$ = 0.22 h$^{-1}$</td>
<td>8.32 (70%)</td>
<td>1.11 (-4.0%)$^f$</td>
<td>25.6 (65%)</td>
<td>1.12 (+1.0%)$^f$</td>
</tr>
</tbody>
</table>

*geometric mean (CV%), $^b$geometric least squares means are presented. $^c$Predicted by the PBPK models. $^d$1/10 of the $\text{in vitro}$ $k_{\text{inact}}$. $^e$1/18 of the $\text{in vitro}$ $k_{\text{inact}}$. $^f$% difference from the corresponding observed values. Numbers in the square brackets are 90% confidence intervals.
1. *in vitro* DDI Investigations

2. DDI liability assessments
   - Simulate DDI using all of the PBPK models & *in vitro* parameters

3. DDI study in healthy adults
   - DDI evaluation at relevant exposure in children

4. Refinement of drug related parameters
   - Use PBPK model to estimate *in vivo* parameters

5. Extrapolate DDI to pediatric patients
   - Identify physiological factors that influences susceptibility in children

6. DDI risk assessments in pediatric patients
   - DDI simulations with ranges of ontogeny and DDI parameters

PBPK model in adult patients
- Specific demographic model reparameterization for patients

PBPK model in healthy adults
- Robust description of ADME

PBPK model in pediatric patients
- Specific demographic model and physiological data

Successive process from left to right:
- 6. to 1.
- 1. to 2.
- 2. to 3.
- 3. to 4.
- 4. to 5.
- 5. to 6.
A

**AUC ratio of midazolam**

**Age (y)**

---

**B**

**AUC ratio of midazolam**

**Age (y)**

---

<table>
<thead>
<tr>
<th>Hepatic CYP3A ontogeny</th>
<th>Upreti Full maturity</th>
<th>Salem Johnson</th>
<th>Intestinal CYP3A ontogeny</th>
<th>Upreti Johnson</th>
<th>Upreti Full maturity</th>
<th>Upreti Johnson</th>
<th>Salem Johnson</th>
</tr>
</thead>
<tbody>
<tr>
<td>k_{inact} (fraction of the <em>in vitro</em> estimate)</td>
<td>1/10</td>
<td>1/10</td>
<td>Prediction interval</td>
<td>1/10</td>
<td>1/18</td>
<td>1/18</td>
<td>1/18</td>
</tr>
</tbody>
</table>