



# Modelling wave attenuation by saltmarsh using satellite-derived vegetation properties

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42 fast approach to obtaining structural parameters of saltmarsh vegetation and estimating  
43 wave attenuation between natural and artificial saltmarshes as well as between seasons.  
44 **Keywords:** numerical modelling, leaf area index (LAI), remote sensing, wave-  
45 vegetation interaction, wave dissipation.

## 46 **1. Introduction**

47 Saltmarshes are vegetated ecosystems commonly composed of mud or fine sand (Adnitt  
48 *et al.*, 2007) and typically located in estuaries, bays, or low-energy intertidal zones (e.g.  
49 Leonardi *et al.*, 2018). Saltmarshes may preserve coastlines during sea-level rise due to  
50 dynamic equilibrium of sediment accretion by tides (Shepard, *et al.*, 2011) and erosion  
51 process due to waves (Gedan *et al.*, 2011). These ecosystems can reduce the peak of the  
52 flood (e.g. Glass *et al.*, 2018), provide storm wave energy dissipation (e.g. Bridges *et*  
53 *al.*, 2015), and decrease flow velocities (Schepers *et al.*, 2018). Consequently,  
54 saltmarshes are increasingly considered a valuable component in flood protection  
55 schemes (Adnitt *et al.*, 2007; Williams *et al.*, 2012; Sutton-Grier *et al.*, 2015) for coastal  
56 management. One of the key management questions is whether saltmarsh vegetation  
57 provide substantial levels of coastal flood protection, which is one of the motivations  
58 for this study.

59 The wave attenuation capacity of saltmarshes depends on the species present, biomass,  
60 plant growth period, and the hydrodynamic conditions (Yang *et al.*, 2012; Garzon *et al.*,  
61 2019b). Specifically, the vegetation structure of saltmarshes has an effect not only on  
62 wind-generated sea-swell waves but also on low-frequency infra-gravity (IG) waves and  
63 the increase in nearshore mean water level due to wave breaking known as wave setup  
64 (van Rooijen *et al.*, 2016). IG waves, with a typical period of 25-250 seconds, are  
65 substantial contributors to wave run-up (Stockdon *et al.*, 2006) and generally dissipate  
66 across relatively long distances (Phan *et al.*, 2015). Wave setup can account for the

67 10%–15% of the observed peak surge elevation inside large estuaries (e.g. the  
68 Chesapeake Bay) during high energy events (Sheng *et al.*, 2010).

69 The impact of vegetation structure on wave attenuation is driven by the plant surface  
70 area facing the incoming waves (Mendez and Losada, 2004) which is characterised by  
71 three biophysical components of vegetation: plant height ( $h_v$ ), diameter ( $b_v$ ), and the  
72 number of plants per unit area (density,  $N_v$ ) (e.g. de Vries *et al.*, 2018). A single  
73 important parameter derived from the product of these three components is the frontal  
74 surface area ( $FSA = h_v \times b_v \times N_v$ ) that determines the rate of wave energy attenuation  
75 (Shafer and Yozzo, 1998; Suzuki *et al.*, 2012; Marsooli *et al.*, 2016; Marsooli *et al.*,  
76 2017). One relevant dimensionless parameter is the stem-submergence ratio ( $h_v / h$ ) that  
77 relates the plant height to the water depth and significantly impacts wave energy  
78 dissipation (Maza *et al.*, 2015; Garzon *et al.*, 2019a). A number of laboratory-based  
79 wave attenuation studies have reported clear relationships between FSA and rates of  
80 wave energy attenuation, where a larger frontal area results in more dissipation (Smith  
81 and Anderson, 2011; Ozeren *et al.*, 2014; Maza *et al.*, 2015). However, the availability  
82 of measured FSA through vegetation surveys is limited and requires costly and  
83 laborious effort, especially in large areas with constricted access as commonly in the  
84 case for saltmarshes. Likewise, FSA may be highly variable both in space and time.

85 In wave attenuation modelling, another physical parameter to represent vegetation-wave  
86 interactions, that mostly include unresolved processes, is the drag coefficient ( $C_d$ ) which  
87 in turn, also affects the rate of wave energy dissipation. Although values for FSA can be  
88 obtained in a rather straightforward way due to its direct link to physical properties (e.g.  
89 plant height, diameter, and density), this is not the case for the drag coefficient.  $C_d$  can  
90 vary with several orders of magnitude depending on the hydrodynamic conditions, the

91 plant characteristics (including rigidity), and the method used (e.g., derived from wave  
92 height measurements or from direct force observations). It may also be tuned to account  
93 for processes that are not accounted in the model (e.g., vegetation flexibility, spatial  
94 non-uniformity, array blockage, and sheltering effects). For predictive purposes,  
95 previous studies have proposed empirical equations for saltmarsh vegetation describing  
96  $C_d$  as a function of the Reynolds number ( $Re$ ) or the Keulegan-Carpenter number ( $KC$ )  
97 (e.g. Mendez and Losada, 2004; Pinsky *et al.*, 2013; Garzon *et al.*, 2019a).

98 Nevertheless, because of all the uncertainties surrounding the drag coefficient and its  
99 substantial effect on wave prediction, selecting the best empirical equations for a given  
100 field site and wave condition is challenging, hence using constant  $C_d$  values obtained  
101 from the literature or through model calibration (if wave data is available) is still a  
102 common practice (e.g. van Rooijen *et al.*, 2016).

103 As an alternative to the FSA parameterisation, the frontal area index is a key plant  
104 parameter that represents the submerged frontal plant area facing waves. The frontal  
105 area index is expressed as the plant frontal area of roughness elements per unit ground  
106 area calculated as the product of breadth/width, height and number of plants per unit  
107 bed area (Jasinski and Crago, 1999) which is equivalent to FSA. Derived from the  
108 frontal area index, the more widely used leaf area index (LAI) defined as the one-sided  
109 leaf area per unit ground surface area (Green *et al.*, 1997; Jensen *et al.*, 2002; Bréda,  
110 2003; Jonckheere *et al.*, 2004; Davi *et al.*, 2006; Delegido *et al.*, 2015; Orlando *et al.*,  
111 2016; Korhonen *et al.*, 2017; Casa, Upreti and Pelosi, 2019) can similarly provide  
112 information on vegetation vertical structure (Delegido *et al.*, 2011; Clevers *et al.*, 2017).  
113 Previous studies have demonstrated that LAI can be a robust parameter to estimate  
114 vegetation resistance by describing the impact of leaf mass on vegetative drag (Jalonen

115 *et al.*, 2013) and thus on unidirectional flow resistance (Aberle and Järvelä, 2013).  
116 However, these studies have only focused on the relationship between LAI and the  
117 consequent vegetation related drag force in unidirectional flows (Tempest *et al.*, 2015)  
118 while the direct application of LAI to wave attenuation models has been limited (de  
119 Vries *et al.*, 2018).

120 One of the main advantages of the LAI is that it can be measured using remote sensing  
121 technology (Zheng and Moskal, 2009) and thus offers a low-cost, rapid alternative to  
122 field survey. In practice, remote sensing can infer LAI measurements that represent the  
123 vegetation. For example, Sentinel-2 satellite imagery can be used to derive LAI based  
124 on a neural network method (Verrelst *et al.*, 2015; Upreti *et al.*, 2019) with high spatial  
125 (10 m) and temporal resolutions (ESA, 2015). This paper aims: (i) to assess how  
126 satellite LAI estimations compare to conventional field-derived (FSA) observations of  
127 the saltmarsh vegetation structure and (ii) to quantify the uncertainty associated with  
128 using FSA in relation to LAI to characterise vegetation structure and its influence on  
129 wave attenuation prediction. Specifically, using in situ wave height data from a storm  
130 event in the Chesapeake Bay (USA) (Garzon *et al.*, 2019b), we determined the relative  
131 sensitivity of wave height attenuation model predictions using FSA and LAI. We  
132 subsequently used LAI to model seasonal wave attenuation at a natural and an artificial  
133 saltmarsh restoration in Brancaster (UK) to investigate the suitability of the LAI-based  
134 model in a real case as a demonstration of the efficacy of this modelling approach for  
135 coastal management applications.

## 136 **2. Materials and methods**

137 In this study, we use satellite data derived from Sentinel-2 MSI imagery as an input to  
138 represent vegetation structure for XBeach. The model is parameterised based on the  
139 field-based FSA and the remotely-sensed LAI. After that, the model is applied to the  
140 Chesapeake Bay, US and Brancaster, UK study sites respectively. In the following  
141 sections, the methods are explained in more detail as well as their application.

### 142 **2.1. Satellite-derived saltmarsh cover**

143 Sentinel-2 is a European wide-swath, high temporal (5-10 days) and spatial (10-60 m)  
144 resolution, multispectral imaging mission covering between latitudes 56° south and 84°  
145 north that possess a Multi-Spectral Instrument (MSI) payload sensor (ESA, 2015). The  
146 MSI dataset consists of spectral information from 13 bands ranging from visible (VIS)  
147 to near-infrared (NIR) to shortwave infrared (SWIR) spanning three spatial resolutions  
148 (10 m, 20 m and 60 m, respectively). Sentinel-2 MSI surface reflectance imagery was  
149 obtained from the Copernicus Open Access Hub (ESA, 2021). To avoid erroneous  
150 values of surface reflectance, we manually selected the images where the field site was  
151 cloud-free. All spectral bands were subsequently resampled to 10 m spatial resolution  
152 (ESA, 2015) and LAI estimates were retrieved using the thematic land processing tool  
153 available within the open-source Sentinel Application Platform (SNAP) (Verrelst *et al.*,  
154 2015; Weiss and Baret, 2016; Upreti *et al.*, 2019). We used Sentinel-2 imagery to derive  
155 remote-sensed LAI for the Chesapeake Bay, US site on September 10<sup>th</sup>, 2015 and for  
156 Brancaster, UK site on July 23<sup>th</sup>, 2019 and January 29<sup>th</sup>, 2020.

## 157 **2.2. XBeach model description**

158 We used the XBeach model (version 1.23.5426M, Roelvink *et al.*, 2009) to estimate  
159 wave attenuation by vegetation. The model includes a vegetation routine (XBeach-Veg)  
160 that accounts for the vegetation structure and its effect on the wave and flow damping  
161 (van Rooijen *et al.*, 2015, 2016). XBeach has three model options: the stationary wave  
162 mode which solves wave-averaged equations; the surf-beat mode that solves short wave  
163 energy variation on the scale of wave groups as well as infra-gravity (IG) waves; and  
164 the non-hydrostatic mode that fully resolves sea-swell waves and IG waves (Roelvink *et*  
165 *al.*, 2015). Non-linear wave attenuation models can simulate wave dissipation due to  
166 vegetation (Ma *et al.*, 2013) using the geometric properties of the canopy (Karambas *et*  
167 *al.*, 2015) and non-linear shallow water equations for infra-gravity waves (van Rooijen  
168 *et al.*, 2015).

169 Here, the surf-beat mode, which is specifically developed for simulating storm impact,  
170 was used in one-dimensional (1D) and two-dimensional (2D) mode to estimate wave  
171 attenuation. For our simulations, the vegetation characteristics were either based on  
172 field-based FSA measurements or remotely-sensed LAI estimates, as discussed in the  
173 next section. For all other model settings, default values (Roelvink *et al.*, 2015) were  
174 used. Finally, we evaluated the capacity of saltmarshes to attenuate wave energy in  
175 terms of sea-swell wave height, IG wave heights, and wave setup.

### 176 **2.2.1. Model parameterisation using frontal surface area (FSA)**

177 The conventional practice of parameterising wave attenuation by vegetation utilises  
178 measurements of plant height ( $h_v$ ), diameter or blade width ( $b_v$ ), and the number of  
179 plants per area (density,  $N_v$ ) that could be either from field measurements, expert



180 judgement (estimates) or the literature. The drag coefficient ( $C_d$ ) is also needed which is  
 181 challenging to estimate, therefore, this model utilises  $C_d$  from empirical formulations  
 182 from the literature. The XBeach vegetation module in surfbeat mode uses the  
 183 formulation from Mendez and Losada (2004) for sea-swell wave energy attenuation by  
 184 vegetation, which was adjusted to consider vertically heterogeneous vegetation similar  
 185 to Suzuki *et al.* (2012):

$$186 \quad \varepsilon_v = \frac{1}{2\sqrt{\pi}} \rho C_d b_v N_v \left( \frac{kg}{2\sigma} \right)^3 \frac{\sin h^3(kh_v) + 3\sin h(kh_v)}{3k \cos h^3(kh)} H_{rms}^3 \quad (1)$$

187 where,  $\varepsilon_v$  = time-averaged vegetation-induced rate of energy dissipation per unit  
 188 horizontal area,  $\rho$  = water density ( $\text{kg/m}^3$ ),  $C_d$  = drag coefficient,  $b_v$  = vegetation stem  
 189 diameter (m),  $N_v$  = vegetation density ( $\text{stems/m}^2$ ),  $k$  = wave number ( $2\pi/L$ );  $L$  =  
 190 wavelength (m),  $g$  = gravitational acceleration ( $\text{m/s}^2$ ),  $\sigma$  = wave frequency (rad/sec),  $h$  =  
 191 water depth (m),  $h_v$  = vegetation height (m), and  $H_{rms}$  = root mean square wave height  
 192 (m). In this implementation,  $C_d$  can be obtained from empirical expressions found in the  
 193 literature or considered a calibration parameter if wave observations are available.

194 Garzon *et al.* (2019b) expanded on this FSA-based model by adding several empirical  
 195 formulations found in the literature, considering simulated and real *Spartina*  
 196 *Alterniflora* vegetation as dominant saltmarsh vegetation community. In this study, four  
 197 drag coefficient formulations were reapplied: “Garzon  $Q_{KC}$ ”, “Garzon  $Q_{Re}$ ”, “Anderson  
 198 & Smith”, and “Jadhav” formulations.

### 199 **2.2.2. Model parameterisation using satellite-derived leaf area index (LAI)**

200 In contrast to the default FSA-based model, the LAI-based model uses LAI as a single  
 201 input value to characterise vegetation structure by representing the product of height,  
 202 diameter, and density (FSA). To do this, we are considering several assumptions which  
 203 allow remote-sensed LAI to be incorporated into the numerical model. Firstly, we  
 204 assume shallow water conditions in which the wavelength ( $L$ ) is much larger than the

205 water depth ( $L \gg h$ ). Saltmarshes are generally located in areas that fulfil this  
 206 requirement, in particular during storm conditions and for infra-gravity waves, as  
 207 assumed here (van Liew *et al.*, 2012; Forbes *et al.*, 2015; Mury *et al.*, 2018).

208 Secondly, the model assumes that vegetation is emergent (which is a requirement for  
 209 accurate estimation of LAI from satellite imagery). Satellite data collection during storm  
 210 events when vegetation is submerged would not be possible and no longer applicable.  
 211 Having emergent vegetation conditions, wave attenuation is mainly a function of water  
 212 depth rather than actual vegetation height, as that defines the surface area of plants that  
 213 interact with the waves. Here, the near-emergent condition is based on Nepf (2004)  
 214 definition using the ratio ( $h/h_v$ ) ranged between 1 and 1.43 in this study which act  
 215 physically similar to fully emergent canopies (Garzon *et al.*, 2019a). With these  
 216 assumptions, the rate of wave energy attenuation due to vegetation can be rewritten:

$$217 \quad \varepsilon_v = \frac{3}{2\sqrt{\pi}} \rho C_d \gamma_v k \left( \frac{kg}{2\sigma} \right)^3 H_{rms}^3 \quad (2)$$

218 where we defined a new parameter  $\gamma_v$  that depends on water depth ( $h$ ) rather than  
 219 vegetation height, stem diameter ( $b_v$ ), and plant density ( $N_v$ ) and assume it is represented  
 220 by the leaf area index obtained from satellite imagery:

$$221 \quad \gamma_v = h b_v N_v \approx LAI \quad (3)$$

222 However, the spatial variation in LAI can only be attributed to either stem diameter or  
 223 density as model input. Here, we opt to incorporate the LAI as vegetation diameter,  
 224 while we assigned the density to be unity. We also applied dummy values to the model  
 225 input vegetation height (10 m) to ensure emergent vegetation conditions. The  
 226 uncertainty associated with these assumptions was verified for a broad range of

227 emergent vegetation heights and wave conditions. Direct model results of wave  
228 attenuation with identical model runs with directly specified vegetation properties  
229 (vegetation height, diameter and density inputs) were also tested for significant  
230 variations in the model results. Differences between model runs were found negligible  
231 (< 1%) and thus this simplification is considered acceptable.

232 Finally, the model uses a constant drag coefficient  $C_d$  as we focus on modelling wave  
233 attenuation during storm conditions (with relatively high  $Re$  and  $KC$ -numbers) under  
234 which  $C_d$  has previously been shown to become relatively insensitive of  $Re$  and/or  $KC$   
235 (e.g. van Loon-Steensma *et al.*, 2014). LAI values do not provide information on plant  
236 diameter either which is usually required as input for empirical formulations (e.g.  
237 Mendez and Losada, 2004; Pinsky *et al.*, 2013; Garzon *et al.*, 2019a). However, as  
238 shown in Equation 3, LAI accounts for the frontal surface area (FSA) that is expressed  
239 in terms of stem height, diameter, and density and makes it suitable for substitution in  
240 the formula. Overall, under the previous assumptions, the LAI-based model is  
241 convenient since it allows maintenance of the vegetation model implementation in its  
242 current form for a wide utilisation based on specific vegetation structure characteristics.

## 243 **3. Results**

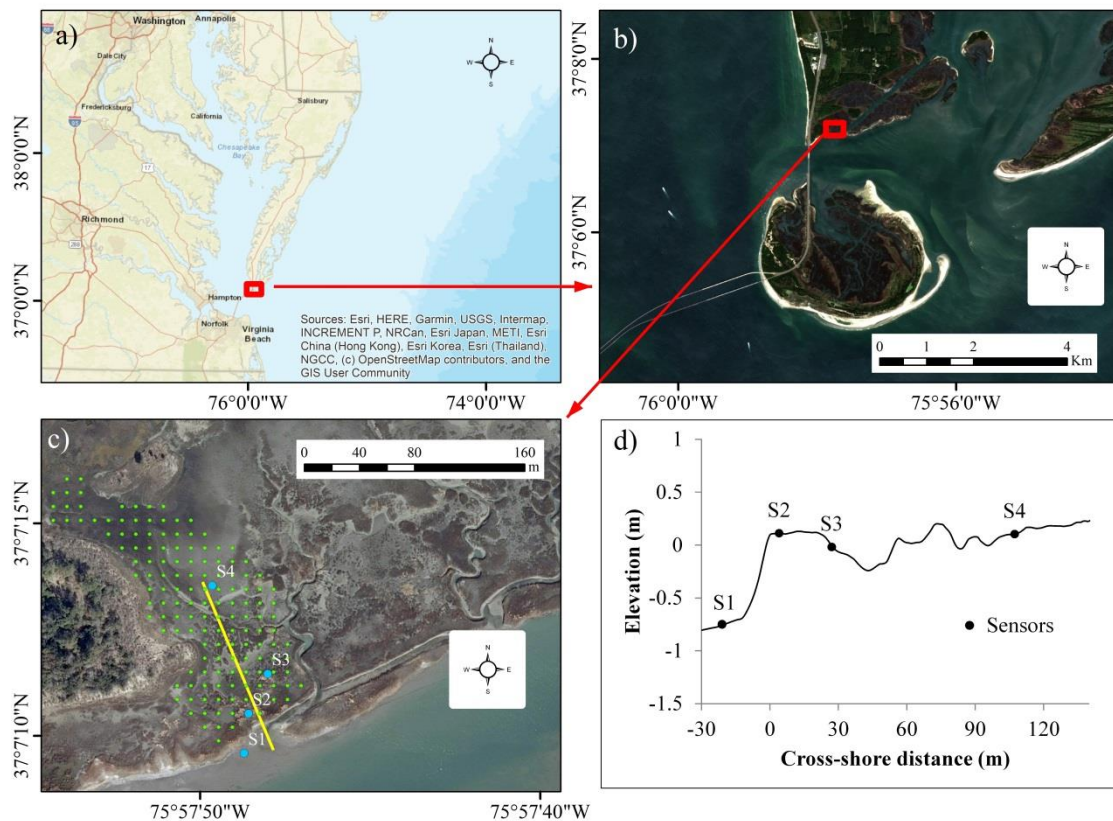
### 244 **3.1. Model calibration (US site)**

#### 245 **3.1.1. Background and vegetation data**

246 FSA-based and LAI-based models were calibrated using vegetation and hydrodynamic  
247 data reported by Garzon *et al.* (2019b) in a saltmarsh located on the eastern shore of  
248 Virginia National Wildlife Refuge on the southern tip of the Delmarva Peninsula which

249 is bordered by the Chesapeake Bay, US (Figure 1). In this study area, the mean tidal  
250 amplitude is 0.9 m and is influenced by a 100-m-wide channel, the barrier island  
251 structure, and high-energy waves from the open ocean (Garzon *et al.*, 2019b). Four  
252 wave sensors recorded total pressure at 4Hz made up of hydrostatic pressure, dynamic  
253 wave pressure and atmospheric pressure from September 24<sup>th</sup> to October 1<sup>st</sup> in 2015.  
254 Plus, one hundred and twenty-nine sea state conditions including significant wave  
255 height ( $H_s$ ), wave peak period ( $T_p$ ), and water depth ( $h$ ), were simulated from the  
256 offshore to the backside of the saltmarsh. Detailed information of the field deployment,  
257 transect utilised and wave sensor locations can be found in Garzon *et al.* (2019a) in  
258 which authors concluded that saltmarshes should be included in coastal defences even  
259 under storm conditions.

260 Since the focus of this paper is modelling wave attenuation during storm conditions,  
261 only incoming conditions with significant wave heights (calculated from the variance of  
262 water surface elevation spectrum from the wave data) greater than 0.3 m were  
263 considered. Thus, we selected a subset of 15 wave conditions as independent events  
264 with significant wave height ( $H_s$ ),  $T_p$  ranging 2.5-5.9 seconds, and  $h$  ranging 0.7-0.9 m  
265 at sensor 2 (Figure 1c) to determine the range of wave attenuation rates in terms of  $H_s$   
266 decay along the transect and considering drag coefficient ( $C_d$ ) as a calibration parameter  
267 for both FSA and LAI models. The cross-shore distance ( $x$ ) is defined along with the  
268 profile (Figure 1d), where  $x = 0$  m at the leading edge of the saltmarsh areas, and  $H_s$   
269 decay due to vegetation drag is measured as a function of distance.



270

271

Figure 1 Chesapeake study site

272

(a) Location of Chesapeake Bay in the USA, background image corresponds to Esri, DigitalGlobe, GeoEye, Earthstar Geographics, CNES/Airbus DS, USDA, USGS, AeroGRID, IGN, and the GIS User Community. (b) Location of Chesapeake Bay study site: Eastern Shore, background image corresponds to Vertical Aerial Photography (Environment Agency, 2020c), corresponds to the red square in panel a. (c) Location of wave sensors (blue dots), normal transect used for the modelling (yellow line), and centre of 10 m pixels of LAI from satellite imagery (green dots), background image corresponds to OrthoImagery (USGS, 2020), corresponds to the red square in panel b. (d) Topo-bathymetric transect and location of the wave sensors.

279

280

Source: Based on data of Chesapeake site

281

282

283

To assign the vegetation structure component of the FSA-based model, we used FSA as

284

the product of height ( $h_v$ ), diameter ( $b_v$ ), and density ( $N_v$ ) based on 18 samples from the

285

study of Paquier *et al.* (2016) which was also used by Garzon *et al.* (2019a). For the

286

LAI-based model, we obtained LAI values at 165 nearby locations of 10 m by 10 m

287

pixels from Sentinel-2 MSI (Figure 1c) on the closest available date to the storm event

288

(14/09/15). Finally, basic descriptive statistics were calculated for all vegetation

289 parameters (Table 1), and constant mean values across the vegetation field were used as  
 290 input into the XBeach model. FSA seems to show more variability than LAI due to its  
 291 limited sample (18 samples), that is why mean FSA is varying by a factor of 2 related to  
 292 mean LAI (Table 1).

293 Table 1 Descriptive statistics of vegetation parameters.  
 294 Chesapeake Bay field site (Paquier *et al.*, 2016)

	$h_v$ (m)	$b_v$ (m)	$N_v$ (m <sup>2</sup> )	FSA	LAI
<b>Min</b>	0.53	0.004	270	0.572	0.417
<b>Max</b>	0.88	0.007	425	2.681	1.073
<b>Mean</b>	<b>0.71</b>	<b>0.005</b>	<b>344.7</b>	1.224	<b>0.636</b>
<b>SD</b>	0.22	0.0015	80	0.623	0.140
<b>*Lower</b>	<b>0.58</b>	<b>0.0041</b>	<b>296.8</b>	0.704	<b>0.496</b>
<b>*Higher</b>	<b>0.84</b>	<b>0.0059</b>	<b>392.6</b>	1.949	<b>0.776</b>

295 Bolded values were input into the XBeach model. SD: Standard Deviation. \*For  $h_v$ ,  $b_v$ ,  
 296 and  $N_v$ , lower and higher values were obtained as “Mean  $\pm$  0.6 x SD” while for LAI  
 297 values as “Mean  $\pm$  1SD”.

298

299 The sensitivity of wave attenuation to variations in the drag coefficient and to the mean  
 300 values of vegetation parameters shown in Table 1 is explored for both FSA-based and  
 301 LAI-based models (see section 3.1.3). The range of  $C_d$  values obtained from the  
 302 empirical formulations resulting from the FSA-based model was compared to constant  
 303  $C_d$  values from the LAI-based model. The FSA-based model used 4 relationships for  $C_d$   
 304 from the literature: 3 calibrated in the field and one in the laboratory, “Garzon  $Q_{KC}$ ”,  
 305 “Garzon  $Q_{Re}$ ” formulations calibrated in the same marsh (Garzon *et al.*, 2019b). To  
 306 consider the sensitivity to vegetation variability, we use the mean and the standard  
 307 deviation (SD) values of vegetation input parameters (Lower and Higher in Table 1).

308 In the LAI-based model, the mean LAI value was used (Table 1) and the  $C_d$  was used as  
 309 a calibration factor (the FSA-based model was not calibrated to our specific data) in

310 which  $C_d$  value range from 0.9 to 2.9 with increments of 0.5. Then the optimal  $C_d$  value  
311 was obtained from selecting outcomes with the minimum error statistics including  
312 coefficient of determination ( $R^2$ ), root mean square error (RMSE), scatter index (SCI),  
313 and relative bias (R. bias). The offshore water levels and waves were based on  
314 observations at station S1 (Figure 1c).

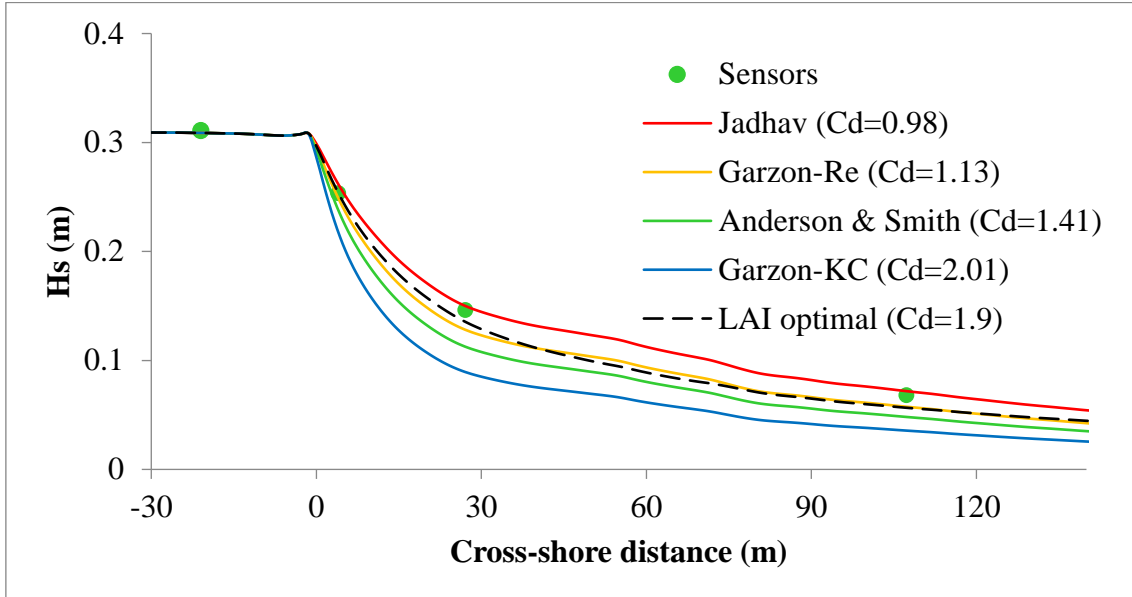
### 315 **3.1.2. Comparing FSA-based and LAI-based models performance**

316 In this section, we provide a calibration of the XBeach for the Chesapeake Bay site  
317 using 15 stationary wave conditions selected with significant wave heights (0.30 m –  
318 0.38 m), wave peak periods (2.5 s – 5.9 s), and water depth (1.56 m – 1.81 m). The four  
319 selected  $C_d$  values from empirical formulations are used in the FSA-based model while  
320 constant  $C_d$  value is used in the LAI-based model. All previous parameters are also  
321 assumed constant across the transect.

322 Our results show that the significant wave height exponentially decreases as highlighted  
323 in Figure 2 for a representative case with the following offshore wave parameters:  $H_s =$   
324 0.31 m,  $T_p = 2.71$ , and mean water level of 0.89 m above MSL at sensor 2. Across all  
325 simulated cases, the simulated wave evolution using the calibrated LAI along the  
326 transect is similar to the FSA-based model in which the “Jadhav” formulation for  $C_d$   
327 provides the best approximation. The other formulations that are considered all  
328 overpredict wave attenuation due to higher drag coefficient values, in particular the  
329 Garzon-KC formulation that leads to a roughly 50% lower wave height at sensor 4  
330 (Figure 2) and also the largest error (Table 2).

331 Using the LAI-based model with a drag coefficient of  $C_d = 1.9$  (based on calibration  
332 with all 15 wave conditions), the wave height evolution is generally captured well by

333 the model although it slightly overpredicts the attenuation rates for this particular  
334 condition (Figure 2).



335

336 Figure 2 Wave attenuation along the saltmarsh in the Chesapeake Bay (US)  
337 Using a range of  $C_d$  empirical formulations in the FSA-based model (solid lines) and  
338 calibrated  $C_d$  in the LAI-based model (dashed line) for one representative wave  
339 condition ( $H_s = 0.31$  m,  $T_p = 2.71$ ). Sensors are shown as green dots.  
340

341 The observed and modelled wave heights at sensor 2 to 4 for all 15 wave conditions are  
342 also compared for the LAI-based model with a calibrated drag coefficient (Figure 3).

343 Predicted wave heights mainly overestimate the observations in sensor 2 while having a  
344 slight overestimation for small wave height and the underestimation for high wave

345 heights in sensors 3 and 4, which may evidence that  $C_d$  should vary across the transect.

346 This indicates that while a single calibrated value for  $C_d$  based on a range of wave

347 conditions may lead to acceptable model results for some cases, it may either over- or

348 underestimate wave attenuation rates for other cases. Here, the obvious alternative

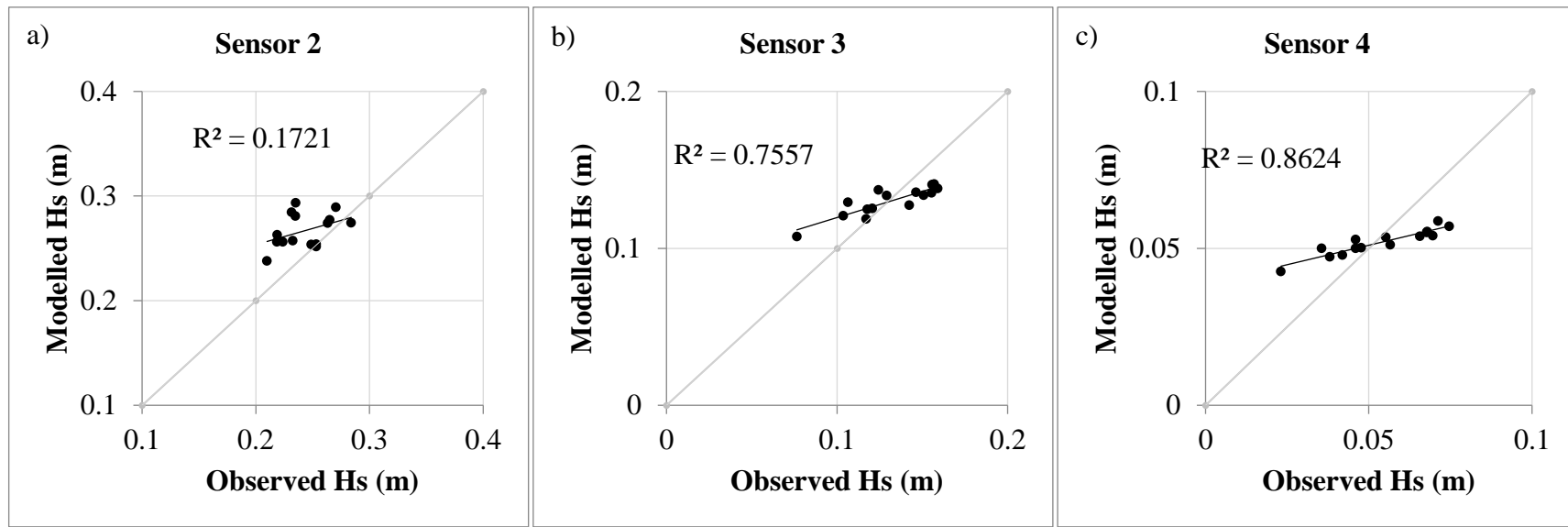
349 would be to calibrate the model for each individual wave condition to obtain case-

350 dependent drag coefficients. This would, however, greatly limit the predictive capability



351 of the model. Based on the 15 wave conditions, the calibrated drag coefficients range  
352 approximately from 1.3 – 2.5. Therefore, we could use constant  $C_d$  values across wave  
353 conditions in a predictive model.

354 To assess the performance of both FSA-based and LAI-based models, the error statistics  
355 are compared (Table 2). Overall, it is found that both models present similar accuracies  
356 in estimating wave attenuation by vegetation, in particular, when analysing sensors 3  
357 and 4. The optimal  $C_d=1.9$  of the LAI-based model and the Jadhav  $C_d$  (the most  
358 accurate) of the FSA-based models present similar RMSE, SCI, and relative bias values  
359 while having different  $R^2$  values in sensors 3 and 4. However, the most accurate  $C_d$   
360 (LAI-based) and Garzon-KC  $C_d$  (FSA-based) have similar RMSE, SCI, and Relative  
361 except for the  $R^2$  value in sensor 2. Having similar incident wave values, constant  $C_d$   
362 values are suitable with the bias errors found (slightly under predicting higher waves  
363 and over predicting lower waves).



364

365

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367

368

Figure 3 Comparison between observed and modelled significant wave height ( $H_s$ ) at each sensor location  
The subset of the 15 wave records under storm conditions using the LAI-based model

369

Table 2 Error statistics: empirical Cd (FSA-based) and constant Cd (LAI-based)

$C_d$	Sensor 2				Sensor 3				Sensor 4			
	$R^2$	RMSE	SCI	R. bias	$R^2$	RMSE	SCI	R. bias	$R^2$	RMSE	SCI	R. bias
Garzon-KC	<b>0.71</b>	0.04	0.16	0.15	0.84	0.06	0.47	0.46	0.86	0.03	0.55	0.51
Garzon-Re	0.56	<b>0.01</b>	<b>0.06</b>	<b>-0.01</b>	<b>0.85</b>	0.03	0.20	0.19	<b>0.87</b>	0.01	0.25	0.19
Smith	0.63	0.02	0.07	0.05	0.84	0.04	0.31	0.30	<b>0.87</b>	0.02	0.37	0.33
Jadhav	0.51	0.02	0.09	-0.07	0.74	<b>0.01</b>	<b>0.11</b>	<b>0.07</b>	0.68	<b>0.01</b>	<b>0.16</b>	<b>0.04</b>
$C_d=1.9$ (LAI)*	0.17	0.03	0.13	-0.10	0.76	0.02	0.12	0.01	0.86	0.01	0.21	0.04

370

The coefficient of determination ( $R^2$ ), the root mean square error (RMSE), the scatter index (SCI), and the relative bias (R. bias) are shown.

371

372

\*Drag coefficient in the LAI-based model.

373

374

### 3.1.3. Sensitivities of wave attenuation to $C_d$ and vegetation properties

375

Overall, both the FSA-based and the LAI-based models have similar sensitivity to

376

variations of drag coefficient ( $C_d$ ), as well as, variations of height, diameter, density,

377

and LAI. The  $C_d$  values calculated by XBeach in the FSA-based model vary from 1 to 2

378

which resulted in a range of wave evolution predictions (Figure 2). A similar range of

379

$C_d$  -values is used in the LAI-based model to assess the model sensitivity, showing that

380

using a drag coefficient equal to the calibrated value minus or plus one results in about

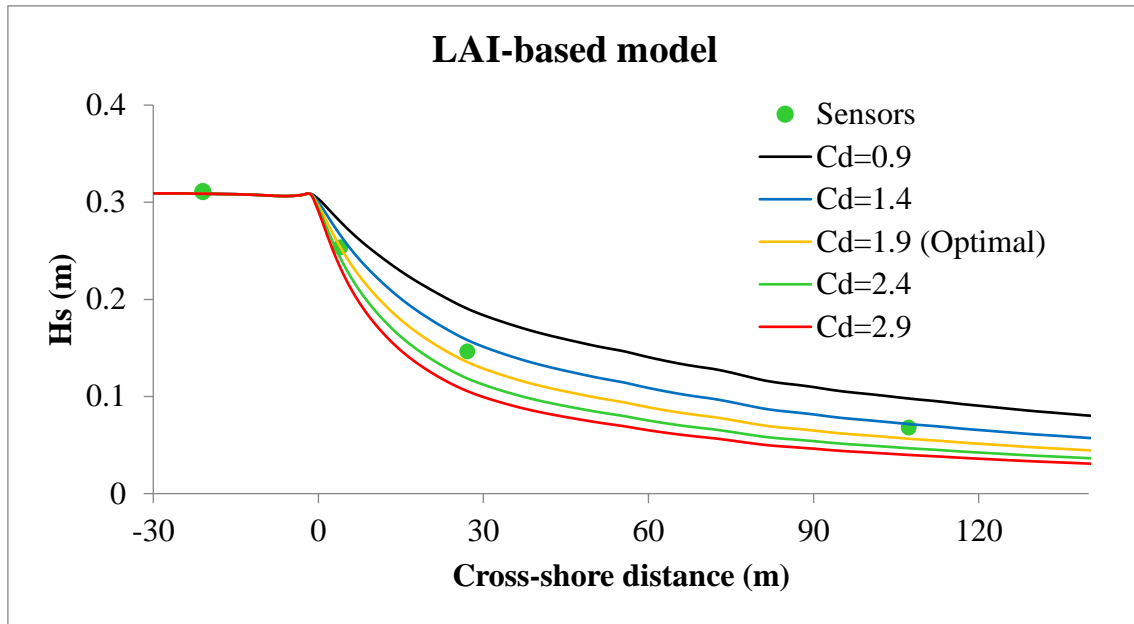
381

50% lower or higher wave heights at sensor 4, respectively (Figure 4).

382

383

384



385

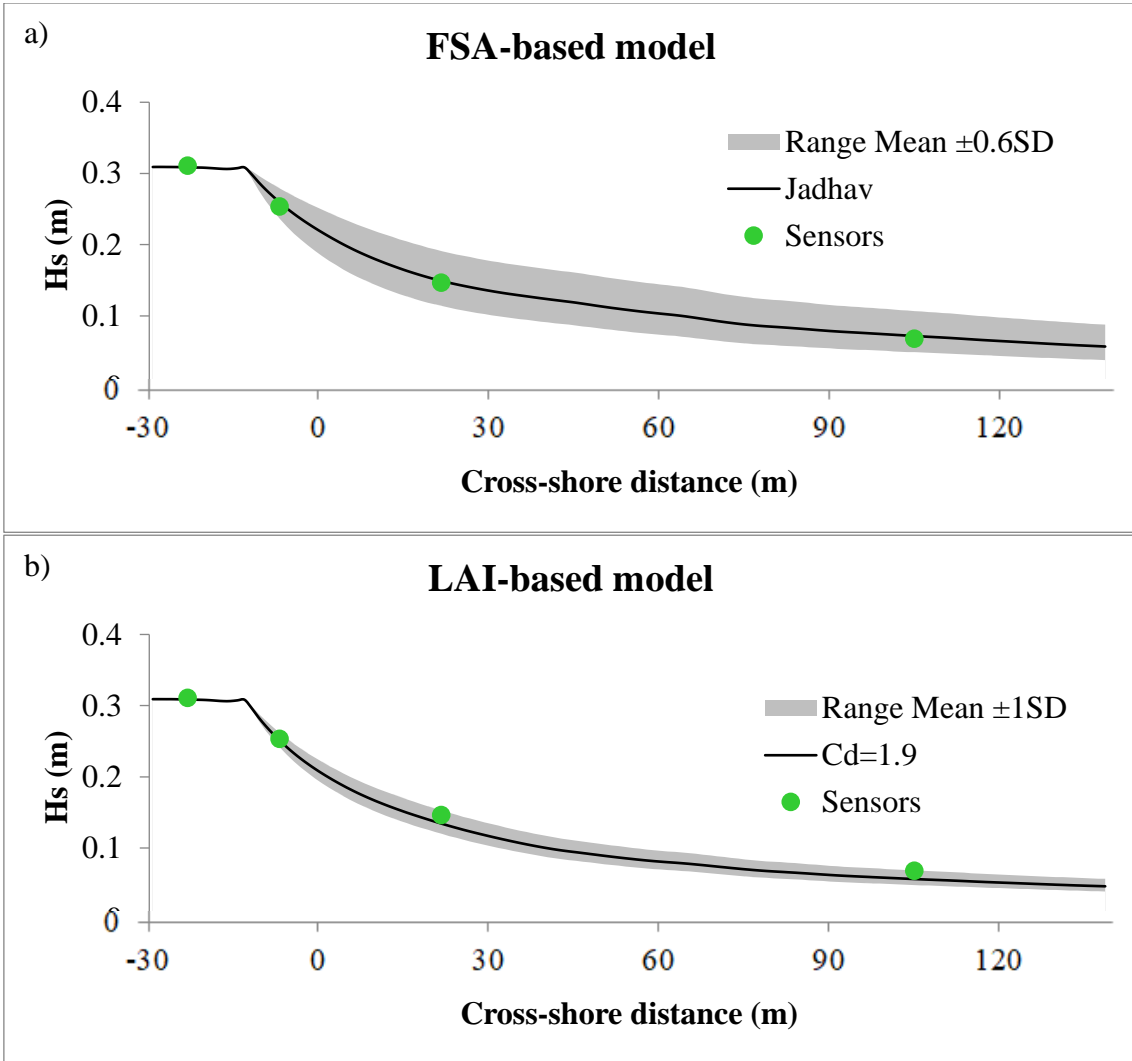
386 Figure 4 Wave attenuation using constant  $C_d$  values in the LAI-based model  
 387 Using a range of  $C_d$  constant values (solid lines) for one representative wave condition  
 388 ( $H_s = 0.31$  m,  $T_p = 2.71$ ). Sensors are shown as green dots.  
 389

390 The range of estimated wave height across the saltmarsh for a range of vegetation  
 391 properties is determined (grey areas in Figure 5) using both models. Based on the mean  
 392 and standard deviation (SD) values in Table 1, the FSA-based model uses the mean and  
 393 the mean  $\pm 0.6 \times$  SD of height, diameter, and density as inputs while the LAI-based  
 394 model uses the mean and the mean  $\pm 1$ SD of LAI as input. All previous input  
 395 parameters are assumed constant across the saltmarsh.

396 The FSA-based model produces a wider range of estimated wave heights than the LAI-  
 397 based model (Figure 5). In other words, the combined uncertainties of height, diameter,  
 398 and density of the FSA-based model produce substantially higher uncertainty in wave  
 399 attenuation predictions compared to the effect of the single uncertainty of LAI of the  
 400 LAI-based model. Overall, the LAI-based model produces less uncertainty than the  
 401 FSA-based model related to  $C_d$  and vegetation variability. The  $C_d$  used in the FSA-  
 402 based model (Jadhav's = 0.98) is around half of the  $C_d$  used in the LAI-based model

403 (1.9). However, when running simulations with the same  $C_d$  in both models, the  
404 uncertainties found remain very similar as shown in Figure 5.

405



406

407

408 Figure 5 Wave attenuation sensitivity due to vegetation parameters  
409 Using one lower and one higher value of (a) height, diameter, and density (FSA-based  
410 model) and (b) LAI (LAI-based model) on one representative wave condition  
411 ( $H_s = 0.31$  m,  $T_p = 2.71$ ). Sensors are shown as green dots.  
412

## 413 3.2. Model application (UK site)

### 414 3.2.1. Site description and 2D model settings

415 Further applicability of the LAI-based model to other saltmarsh communities and  
416 geographical locations is relevant for generalisation purposes. This study selected a UK  
417 saltmarsh site to model a hypothetical future condition with a specific storm event as an  
418 example of model application. To explore the capacity of two types of saltmarshes,  
419 natural and artificial (in particular, a nature-based scheme referred to as “managed  
420 realignment”), to attenuate wave energy with and without vegetation, during summer  
421 and winter seasons and thus provide coastal flood protection, a two-dimensional model  
422 was used in the UK site. This study is not intended to provide more accurate results than  
423 using field-based methods to determine FSA, instead, the key goal is to have a fast and  
424 cheap methodology using remote sensing.

425 The study site is located in Brancaster on the North Norfolk coastal strip (Figure 6), on  
426 the western coast of England, and consists of a freshwater grazing marsh (Myatt-Bell *et*  
427 *al.*, 2002). Both natural and artificial saltmarshes are located in the same marsh platform  
428 (approximately same elevation) with similar morphological characteristics and subject  
429 to identical hydrodynamic conditions. Hence it can be considered that any differences in  
430 wave attenuation in both sites are caused by differences in saltmarsh vegetation  
431 properties. Currently, these saltmarshes are sheltered from storm waves by 4-5 meters  
432 high artificially armoured sand dunes (Myatt-Bell *et al.*, 2002) (Figure 6d). However,  
433 government authorities are planning to remove the dunes in future in order to restore the  
434 natural habitat and provide protection through the saltmarsh. Here, we tested the  
435 scenario without these dunes in which saltmarshes will be directly impacted by waves.

436 To evaluate the impact of saltmarsh vegetation on wave attenuation between artificial  
437 and natural saltmarshes and between seasons, a storm surge event with high water level  
438 of 2.56 m at Ordnance Datum - OD, significant wave height of 2.80 m, and peak period  
439 of 14.0 s, recorded in November 2008 (Environment Agency, 2014) in the Brancaster  
440 study site was simulated that would reach saltmarsh vegetation in the case of no dunes.  
441 Wave data were extracted from the Acoustic Wave and Current meter (AWAC) located  
442 offshore of Scolt Head Island (S9N; Figure 6c).

443 The offshore boundary of the grid domain lies approximately on the AWAC location at  
444 20 meters water depth. The lateral boundaries were set up as Neumann boundaries. For  
445 all other XBeach settings, default values were used. The grid had dimensions of 7 x 5  
446 km and a cross-shore and long-shore resolution of 10 m. The model bathymetry was  
447 extracted from Digimap Service (Digimap, 2020) operated by EDINA at the University  
448 of Edinburgh formed by seabed elevation relative to the Chart datum (CD) that was  
449 changed into Ordnance Datum (OD) for our case study. Topography data were extracted  
450 from the SurfZone Digital Elevation Model (DEM) generated by the Environment  
451 Agency (UK) in 2014.

452

453

454

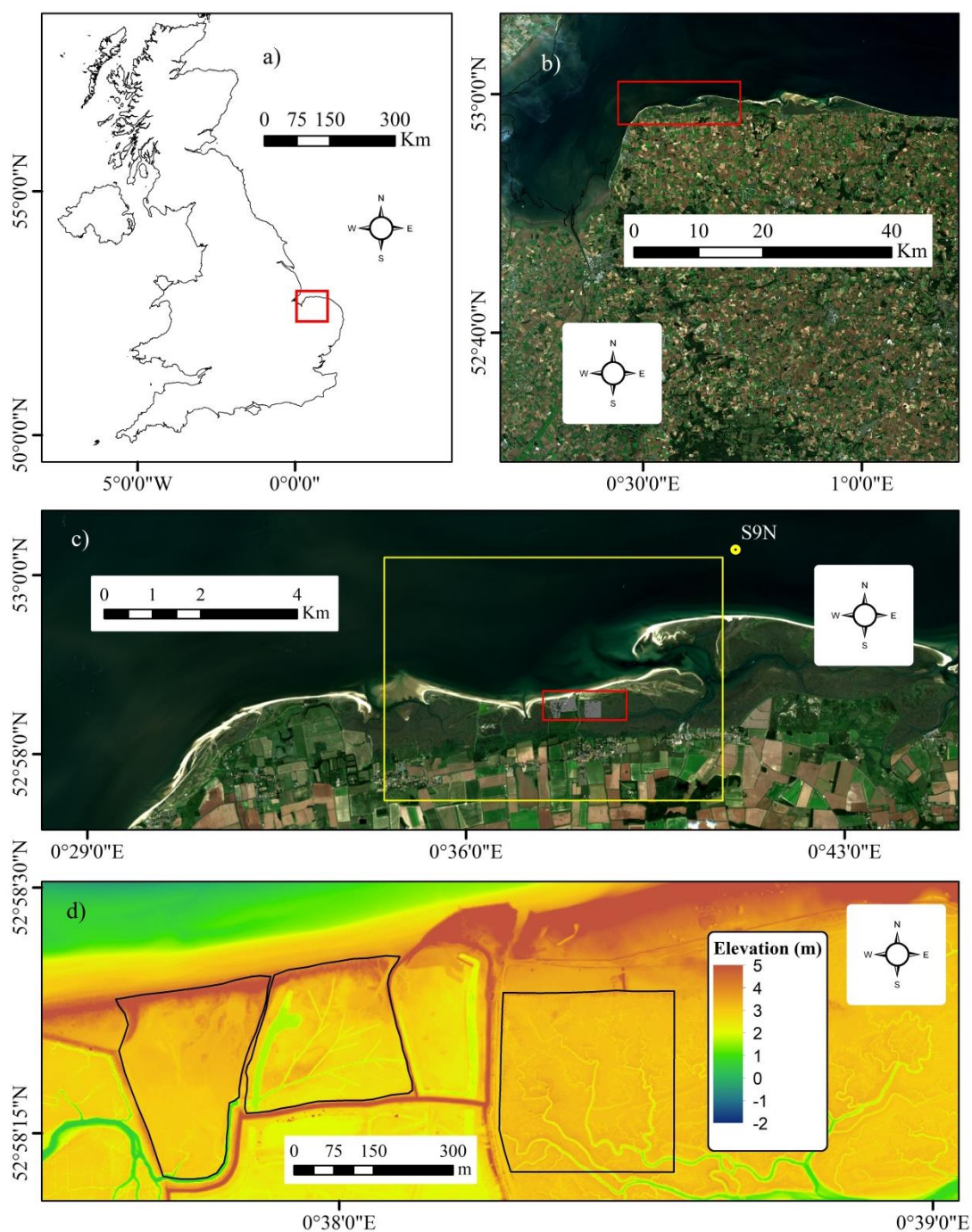


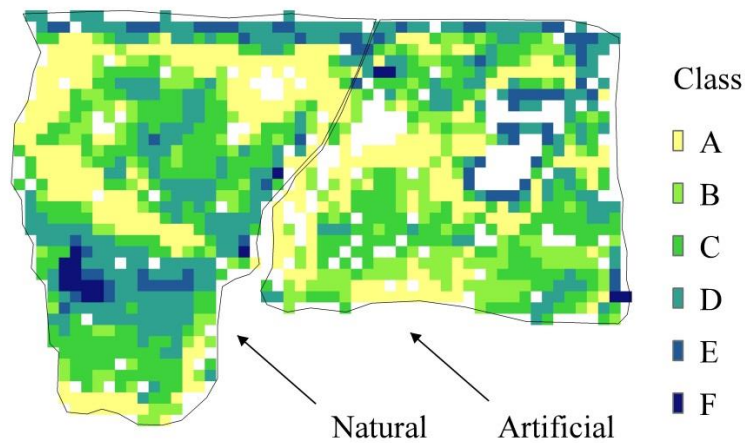
Figure 6 Brancaster study site

455  
 456  
 457 (a) United Kingdom (b) North West Norfolk coast, corresponds to the red square in  
 458 panel a. (c) Brancaster Bay, corresponds to the red square in panel b, location of AWAC  
 459 S9N instrument ( $53^{\circ} 00.027'N$ ;  $00^{\circ} 41.065' E$ ; 5m depth CD) and the XBeach model  
 460 domain (7 x 5 km yellow rectangle, at 10 m grid resolution). (d) Location of Brancaster  
 461 West Marsh (middle polygon), two natural saltmarsh areas (side polygons), corresponds  
 462 to the red square in panel c, elevation at Ordnance Datum Newlyn (OD): the sea level  
 463 height datum in the UK. The middle marsh and the marsh on its left were used to



464 calculate wave attenuation by vegetation. Background image corresponds to RGB  
 465 Sentinel-2 imagery from ESA (2021) in panels b and c.  
 466 Source: Based on data from Environment Agency (2020)  
 467

468 LAI values were derived from Sentinel-2 MSI imagery from July 23<sup>rd</sup>, 2019 for summer  
 469 and January 29<sup>th</sup>, 2020 for winter seasons based on an empirical Gaussian processes  
 470 regression (GPR) model calibrated for that site ( $R^2= 0.99$  and  $0.89$  respectively) from  
 471 Figueroa-Alfaro *et al.* (2021). Finally, LAI values were classified into 6 classes (A-F)  
 472 (Figure 7) based on the “natural breaks” classification and then the mean of each class  
 473 (Table 3) was input in the model.



474

475 Figure 7 Remote sensed LAI classes of natural and artificial saltmarshes  
 476 LAI is classified from low (A) to high (F) values are their distribution within the  
 477 saltmarshes are shown. White spaces contain no vegetation.  
 478

479

Table 3 Mean remote-sensed LAI values used as input in the model

Class		A	B	C	D	E	F
<b>Surface (%)</b>		26%	17%	28%	23%	5%	1%
<b>LAI</b>	Summer	0.51	0.86	1.25	1.66	2.08	2.54
<b>(m<sup>2</sup>/m<sup>2</sup>)</b>	Winter	0.49	0.71	0.86	1.03	1.23	1.43

480

Surface (%) shows the coverage per each saltmarsh class (A - F)

481

### 482 3.2.2. Potential outcomes of wave attenuation estimations

483 The LAI-based model, when calibrated, provides good results for the Chesapeake site  
484 based on data and comparison to the FSA-based model (Figure 2). Given the suitability  
485 of our LAI-based model, we can transfer this to another location with the type of  
486 vegetation and wave conditions for this site, using the calibrated  $C_d$  to assess the  
487 efficacy of managed realignments such as our Brancaster site (artificial saltmarsh)  
488 which was created to provide flood protection as a nature-based mitigation strategy. The  
489 storm simulated is a potential event during winter months and the following  
490 hydrodynamic data (historically recorded) are used; water level elevation (2.56 m at  
491 Ordnance Datum - OD) as the high water level, significant wave height (2.80 m), peak  
492 period (14.0 s), and wave direction ( $360^\circ$ ) which is approximately normally-incident. To  
493 have a better visualisation of the wave attenuation effect due to vegetation, the cross-  
494 shore direction is showed as x-axis (Figures 8 and 9) by flipping 90 degrees anti-  
495 clockwise relative to Figure 7. Seasonality will also influence flood protection provided  
496 since vegetation structure, expressed as LAI, varies from season to season. Saltmarsh  
497 vegetation is fully grown in summer and some senescent in winter.

498 XBeach is run for a future scenario in which the artificially armoured sand dunes  
499 fronting the saltmarsh vegetation are removed (Myatt-Bell *et al.*, 2002) allowing  
500 saltmarshes to attenuate wave energy rather than the dunes. Three scenarios are  
501 simulated: without vegetation, with summer vegetation and with winter vegetation. The  
502 root-mean-square wave height ( $H_{rms}$ ) for sea-swell waves ( $H_{rms_{ss}}$ ) is based on the wave  
503 energy output; the infra-gravity (IG) wave height ( $H_{rms_{ig}}$ ) is derived from the water  
504 level variance, and wave setup is calculated as the difference between the simulated  
505 mean water level across the domain and the offshore mean water level. Finally, the

506 absolute and relative wave height and wave setup differences between non-vegetated  
507 scenario and vegetated scenario (both summer and winter vegetation) are assessed.

508 Under the non-vegetated scenario, once the incident wave height is mainly reduced to  
509 0.13 m due to topography, the sea-swell height at the saltmarsh offshore boundary is  
510 reduced substantially from approximately 0.13 to 0.05 m due to depth-induced wave  
511 breaking (Figure 8a). Under vegetated scenarios, there is an additional reduction in sea-  
512 swell wave height of up to 0.08 m, which is similar in summer (Figure 8b) and winter  
513 (Figure 8c). Most of the energy reduction occurs near the leading edge of the marsh due  
514 to depth-limited wave breaking. The additional wave height reduction due to saltmarsh  
515 vegetation equals around a maximum of 75% of the wave height on the inland portion  
516 of the saltmarsh (Figure 9a and 9b). When comparing natural and artificial saltmarshes,  
517 both show similar wave height reduction. Interestingly, there are slightly higher waves  
518 immediately on the offshore boundary of the saltmarsh vegetation that might be related  
519 to an increment of the local water depth associated with IG wave reflection (Figure 8d)  
520 as well as an increase of wave setup (Figure 8g), thereby reducing depth-induced wave  
521 breaking of sea-swell waves.

522 Infra-gravity (IG) waves can become important contributors to the total nearshore water  
523 level during storm conditions (van Thiel de Vries *et al.*, 2008). Under the non-vegetated  
524 scenario, there are two areas with IG wave heights up to 0.7 m in the artificial marsh  
525 while IG wave height reduction is a maximum of 0.3 m in the natural saltmarsh area  
526 (Figure 8d). The IG wave height is substantially reduced by saltmarsh vegetation during  
527 both seasons with a wave height reduction up to 0.21 m in the artificial saltmarsh while  
528 about 0.07 m reduction is found within the natural saltmarsh (Figure 8e and 8f). A small  
529 increase in IG wave height is also found at the offshore boundary of the saltmarshes

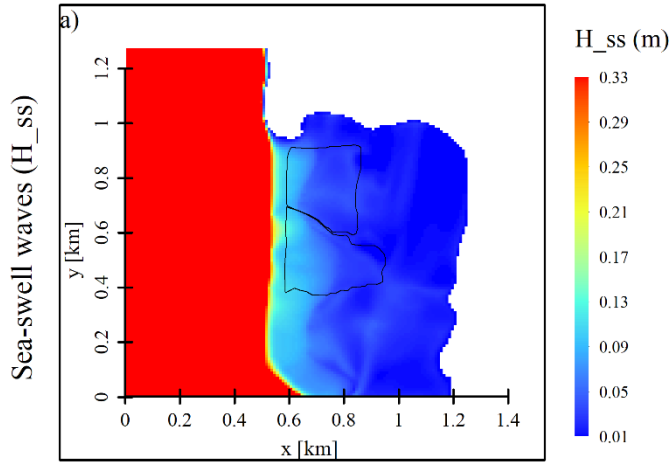
530 which is due to IG wave reflection off the saltmarsh leading edge (Figure 8e and 8f).  
531 Notably, around 30% of IG wave height is reduced due to saltmarsh vegetation on both  
532 seasons (Figure 9c and 9d). Behind the saltmarshes, there is also a deeper area (non-  
533 vegetated in the model) in which IG waves are being reflected back and forth  
534 (resonance).

535 The wave setup (increase in nearshore mean water level due to wave breaking) reaches  
536 up to 0.49 m at the offshore boundary of both saltmarshes and decreasing more rapidly  
537 in the artificial saltmarsh than in the natural saltmarsh areas, under the non-vegetated  
538 scenario (Figure 8g). Similar to IG waves, wave setup is substantially decreased by  
539 saltmarsh vegetation with a reduction up to 0.12 m in the artificial saltmarsh while  
540 approximately 0.03 m reduction is shown in the natural saltmarsh. Setup is much  
541 smaller in the natural saltmarsh without vegetation and this reduction may be related to  
542 the bathymetry-topography. There is also a small increase in wave setup at the offshore  
543 boundary of the saltmarshes followed by a small decrease (Figure 8h and 8i). Similar to  
544 the attenuation of IG waves, around 30% of wave setup is reduced but only in the  
545 artificial saltmarsh while the natural marsh provides relatively small attenuation (Figure  
546 9e and 9f). The attenuation of wave setup within the saltmarsh leads to lower mean  
547 water levels directly onshore (approximately 0.05 m).

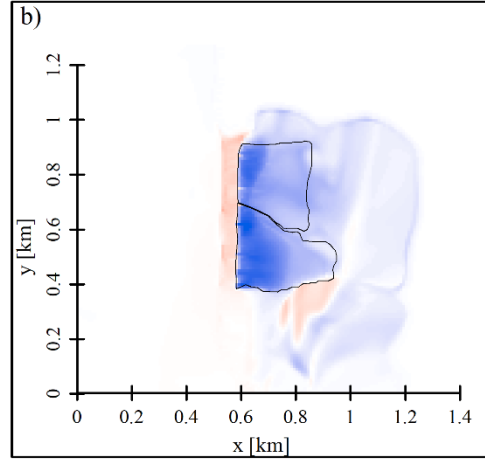
548 Overall, our results show that the artificial saltmarsh provides similar rates of wave  
549 attenuation as a natural saltmarsh in terms of sea-swell wave height during both  
550 seasons, meaning the vegetation scheme can effectively provide wave height reduction.  
551 Saltmarshes are also able to attenuate IG wave energy and wave setup, providing  
552 additional risk reduction. In comparison, the artificial saltmarsh (having greater IG  
553 energy to start with) tends to produce slightly more attenuation than the natural

554 saltmarsh in terms of IG wave height and wave setup during both seasons (Figure 8 and  
555 9). Given that validation data is not available, our result are expressed as relative wave  
556 dissipation due to vegetation.

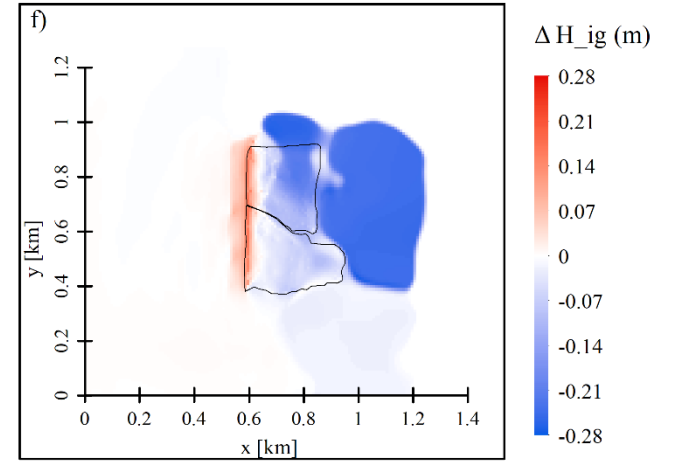
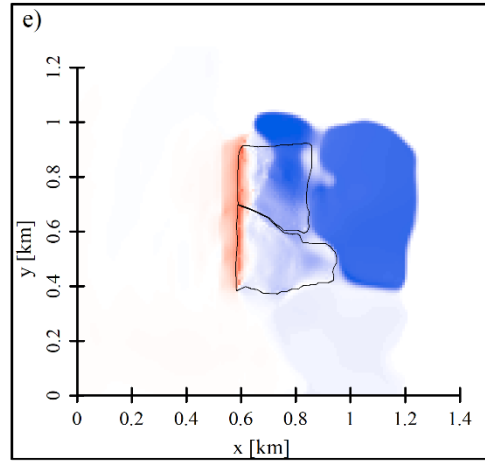
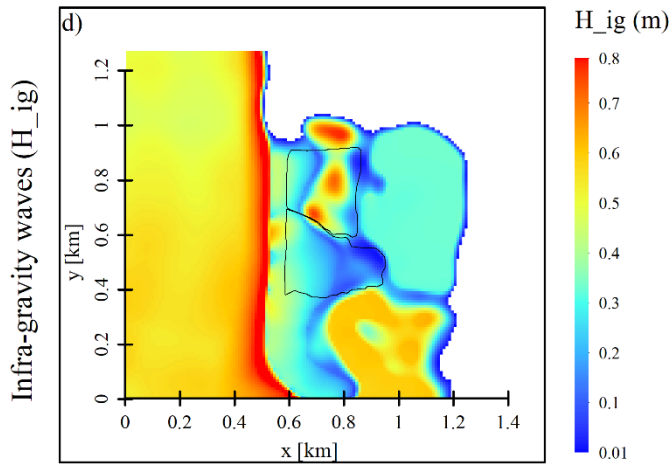
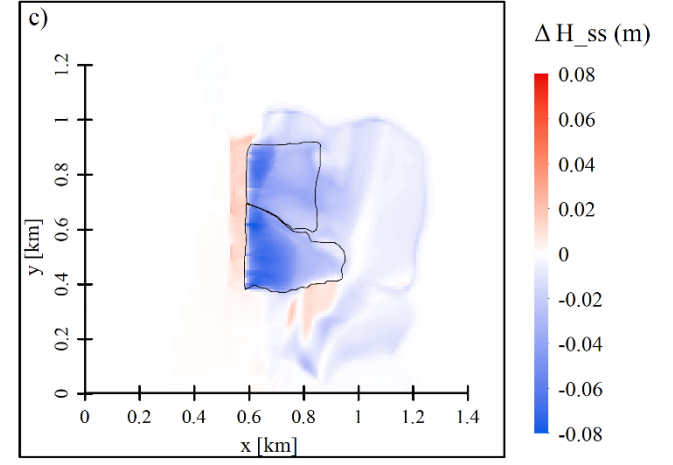
No vegetation

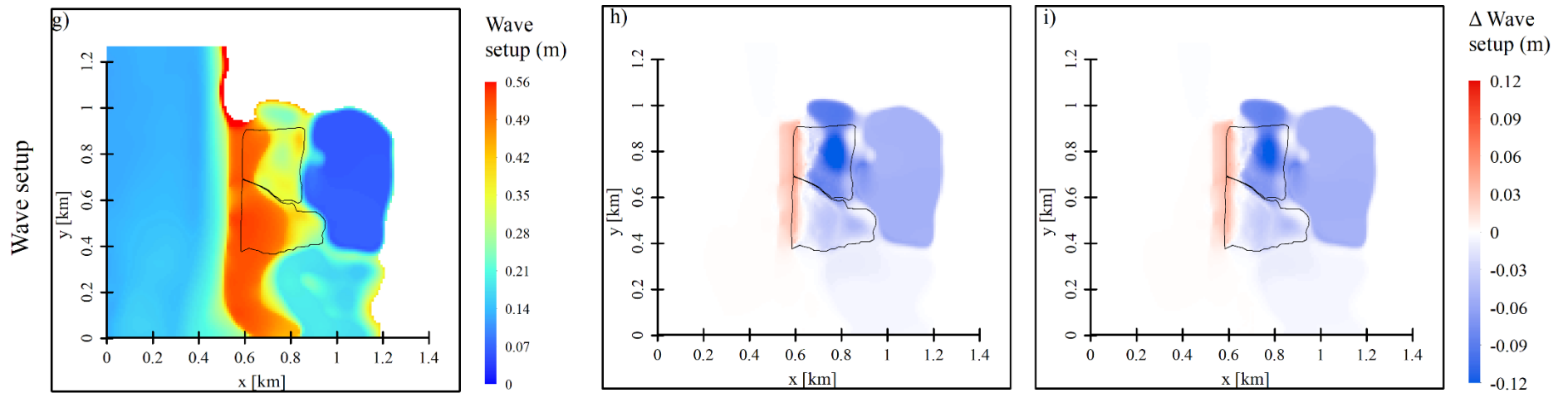


Summer Vegetation



Winter Vegetation





558

559

Figure 8 Wave attenuation at Brancaster site

560

Wave height decay of sea-swell waves (a) and infra-gravity waves (d) and variation of wave setup (g). Differences between simulations with summer vegetation and without vegetation (b, e, and h) and between simulations with winter vegetation and without vegetation (c, f, and i) of sea-swell waves (a, b, and c), infra-gravity waves (d, e, and f), and wave setup (g, h, and i).

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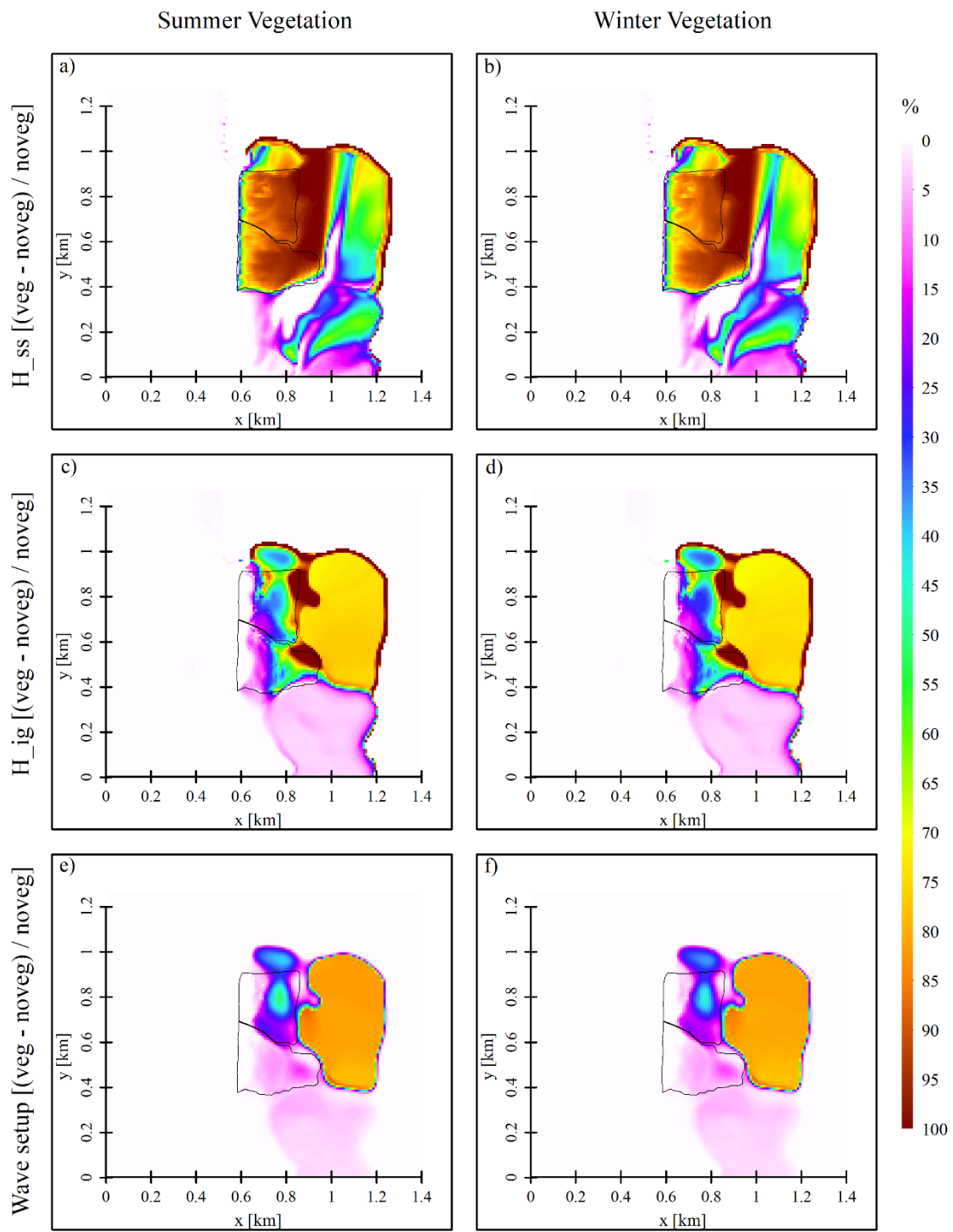
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Figure 9 Relative wave attenuation at Brancaster site  
 Relative wave height differences of sea-swell waves (a, b), and infra-gravity waves (c, d), and the relative difference in wave setup (e, f) between simulations with vegetation in summer (a, c, and e) and winter (b, d, and f) compared to the scenario without vegetation.



## 574 4. Discussion

### 575 4.1. Comparison of FSA-based and LAI-based models

576 Most studies of wave attenuation by vegetation focus on the determination of the drag  
577 coefficient of vegetation ( $C_d$ ) (Jadhav *et al.*, 2013) that must be calibrated in advance to  
578 be used in hydrological models (Mendez and Losada, 2004). Currently, empirical  
579 formulas are incorporated from literature to obtain  $C_d$  (Marsooli *et al.*, 2017) based on  
580 local plant properties, and hydrodynamic and topographical characteristics (Yang *et al.*,  
581 2012). Our results using FSA as the vegetation input show that the range of wave  
582 attenuation derived from the four empirical formulations of  $C_d$  (for the same vegetation  
583 species) in the Chesapeake Bay study site spans the field-observed wave attenuation  
584 values (Figure 2) and the Jadhav formulation most accurately simulates wave heights  
585 for the Chesapeake Bay dataset of Garzon *et al.* (2019a). It has been demonstrated by  
586 Garzon *et al.* (2019b) and again in this paper that the use of empirical formulations  
587 tends to be adequate to estimate wave attenuation; however, it requires wave and  
588 vegetation measurements to derive  $C_d$  as part of the estimations.

589 Our results using LAI as the vegetation descriptor assess a range of constant values of  
590  $C_d$  in the calibration and identify an optimal  $C_d = 1.9$  (Figure 4). Overall, the error  
591 statistics on both FSA-based and LAI-based models seem to be very similar, meaning  
592 that the LAI-based model is an effective alternative to the FSA-based model. This gives  
593 an advantage to the LAI-based model because it uses one remote-sensed input  
594 parameter instead of three field-based inputs. In this way, it is possible to cover larger  
595 saltmarsh vegetation areas in which field data might be difficult to obtain. Likewise,

596 time consumed in field vegetation surveys can be minimized without losing significant  
597 model accuracy and provides more complete spatial coverage.

598 Nevertheless, some shortcomings of the LAI-based model should be considered in its  
599 application. As described in section 2.2.2, it is assumed hydrodynamic conditions are  
600 shallow water in low-lying coastal environments and near-emergent or emergent  
601 vegetation conditions where wave attenuation mainly relies on water depth. Emergent  
602 condition is also an assumption that may not always be valid, for instance, during a  
603 storm surge when entire marshes are submerged. As a result, the date of the data  
604 collection should be selected carefully by considering these conditions in shallow  
605 waters. Related to the assumption of  $C_d$ , the physical meaning of this coefficient is  
606 already complex when using the field-based approach (as we are assuming plants to be  
607 rigid cylinders) and become more complex with LAI representing FSA. Given that our  
608 paper also assumed a constant  $C_d$  value, further investigations are needed to estimate  
609 this value and apply the LAI-based model in many sites to validate the method and  
610 provide more robust and accurate results based on the monitoring and management  
611 requirement of the specific study area.

#### 612 **4.2. Impact of variation of $C_d$ and vegetation**

613 Since the drag coefficient ( $C_d$ ) is an essential parameter in wave attenuation by  
614 vegetation, it is important to consider its impact in wave attenuation modelling. Our  
615 results show that varying  $C_d$  produces significant (and comparable) uncertainty in both  
616 the FSA-based model (Figure 2) and the LAI-based model (Figure 4). One reason for  
617 the uncertainty of the FSA-based model is the uncertainty associated with the field-

618 based vegetation parameters while the uncertainty of the LAI-based model depends on  
619 the uncertainty of a single parameter (LAI).

620 The FSA-based model incorporated  $C_d$  values from empirical formulations ranging  
621 from  $\sim 1$  to  $\sim 2$  (Figure 2) while the LAI-based model used constant  $C_d$  values from 0.9  
622 to 2.9 (Figure 4), both covering similar areas of wave attenuation. In order to have  
623 similar wave attenuation conditions, the  $C_d$  values in the LAI-based model were  
624 selected from the optimal  $C_d$  value tested when matching the observed wave attenuation  
625 values in the field (Figure 2). That is why  $C_d = 1.9$  and increments of 0.5 were chosen in  
626 the LAI-based model. Although there was not validation data for the UK site, this paper  
627 focused on the relative wave attenuation between non-vegetated and vegetated  
628 scenarios. Further studies may estimate  $C_d$  in the UK site for validated results as well as  
629 validating the method in many sites.

630 Some uncertainties in wave attenuation estimation are also due to the initial assumption  
631 of uniform vegetation properties (Foster-Martinez *et al.*, 2018). The traditional and most  
632 used model (FSA-based model) considers the height, diameter, and density of  
633 vegetation (Anderson and Smith, 2015; Marsooli *et al.*, 2016; Marsooli *et al.*, 2017).  
634 However, incorporating sampling variation (Table 1) leads to significant uncertainty in  
635 attenuation modelling based on FSA in the Chesapeake Bay study site. Wave  
636 attenuation prediction using the FSA-based model, therefore, has a higher uncertainty  
637 level than using a single LAI value measured from satellite imagery in the LAI-based  
638 model as our results evidence. Thus, LAI is measurement across the entire saltmarsh  
639 that can be more easily obtained compared to the FSA parameters. Overall, both  
640 methods require validation of  $C_d$  for different vegetation, locations, seasons, etc.

### 641 4.3. Wave attenuation modelling application in 2D

642 One of the main techniques to monitor managed realignments is ecological monitoring  
643 (Adnitt *et al.*, 2007) such as vegetation surveys to evaluate the establishment of  
644 saltmarsh vegetation. Managed realignments often take around 4-5 years or more to  
645 establish their vegetation. For example, restored saltmarshes in the Great Bay Estuary,  
646 US show plant colonisation may be achieved within 7 years (Morgan and Short, 2002).  
647 At the Brancaster study site, the scheme was created in 2002 (Rees and Burns, 2014);  
648 and so, it is hypothesised that its saltmarsh vegetation is fully established and  
649 contributes to wave attenuation as well as a natural saltmarsh. This is confirmed by our  
650 maps of the relative wave height variation of sea-swell waves (Figure 9a and 9b). Maps  
651 of wave attenuation of infra-gravity (IG) waves (Figure 9c and 9d) and wave setup  
652 (Figure 9e and 9f) mainly show higher wave attenuation on the restored saltmarsh  
653 (given the higher IG energy at the beginning) rather than in the natural saltmarsh,  
654 proving significant evidence of potential flood protection.

655 The level of wave attenuation of sea-swell waves due to saltmarsh vegetation seems to  
656 be higher at the offshore boundary of the vegetated field and partially due to depth  
657 limited breaking. Previous studies have shown an exponential decrease of wave height  
658 due to wave propagation when crossing a vegetation field (Yang *et al.*, 2012; van  
659 Wesenbeeck *et al.*, 2017) with idealised bottom topography (Parvathy and Bhaskaran,  
660 2017). Similarly, our results show exponential wave attenuation starting at the offshore  
661 boundary of the saltmarshes. This pattern is also seen in 1D wave attenuation modelling  
662 in XBeach which displays the exponential wave height decay inside two marshes  
663 (Garzon *et al.*, 2019b) including the Chesapeake, US site.

664 Our results of sea-swell wave attenuation are important and confirm previous studies of  
665 wave attenuation (van Rooijen *et al.*, 2016; Ozeren *et al.*, 2017) given that both IG  
666 waves and wave setup are affected by saltmarsh vegetation as well. Both contribute  
667 directly to the total near-shore water level but also have an indirect impact allowing  
668 more sea-swell wave energy to propagate through. Wave setup is also affected by  
669 vegetation on distinct coastal configurations (van Rooijen *et al.*, 2016). The slight  
670 increase in wave height on the offshore area seems to mainly occur due to IG waves.  
671 These waves appear to be partially reflected by the saltmarsh vegetation and create  
672 higher local water depths in which the sea-swell waves are able to travel to a small  
673 distance further because of the increased water depth.

674 Finally, some studies have found that seasonality also plays an important role since  
675 vegetation may be present or absent during winter in some environments (Reef *et al.*,  
676 2018). The presence of vegetation in numerical models is essential in simulating  
677 hydrodynamic conditions in saltmarsh platforms (Ashall *et al.*, 2016) and, posteriorly,  
678 predicting wave attenuation on coastal environments over time. Garzon *et al.* (2019b)  
679 showed reduced protection against waves during winter than fall in saltmarshes in the  
680 Chesapeake Bay, US. However, another study found wave attenuation from *Spartina*  
681 *foliosa* (California cordgrass) did not significantly vary between summer and winter  
682 (Foster-Martinez *et al.*, 2018). Given that our study lacks validation data, our results  
683 found similar relative wave attenuation using summer and winter saltmarsh vegetation  
684 which are the same for both natural and artificial saltmarshes. The next step would be to  
685 validate our outcomes for this site. Further investigations may also explore other types  
686 of saltmarsh vegetation communities with different seasonal vegetation (annual or

687 perennial plants) given that using LAI makes it easier to assess seasonality and relate it  
688 to wave attenuation.

#### 689 **4.4. General application for coastal risk assessments**

690 Coastal managers may benefit from this method following several straight-forward  
691 steps. First, retrieval of remotely-sensed saltmarsh LAI from free and open-access  
692 Sentinel-2 MSI imagery. Although there are few studies of saltmarsh retrieval derived  
693 from Sentinel-2 (i.e. Darvishzadeh *et al.*, 2019), potential saltmarsh LAI may be  
694 retrieved from different saltmarsh communities. Usually, saltmarsh vegetation is made  
695 of mixed canopy but dominant species should be considered to be quantified in terms of  
696 LAI. Second, confirmation of the assumptions required for the LAI-based model.  
697 Saltmarshes are commonly found on low-lying coastal areas (Pontee and Parsons, 2009)  
698 having emergent vegetation and under shallow conditions (Shi *et al.*, 2016); as a result,  
699 these assumptions should be confirmed in most of the cases. Third, the direct  
700 application of the XBeach wave model. As described in our method (see section 2.2.2),  
701 the current code and settings of the XBeach model can be used to estimate wave  
702 attenuation using LAI as input representing vegetation structure of the saltmarsh.

703 In terms of drag coefficient ( $C_d$ ), this parameter is relevant but complex to estimate  
704 since it depends on the hydrodynamic conditions and vegetation parameters such as  
705 stem height, diameter, and density (Shafer and Yozzo, 1998; Suzuki *et al.*, 2012;  
706 Marsooli *et al.*, 2016). In order to use our LAI-based method, it is ideal to use an  
707 already estimated  $C_d$  of the study site. However, if it is unknown, a constant value may  
708 be used such as the typical value of  $C_d = 1$  (i.e. Van Loon-Steensma, 2014) for  
709 comparing relative alternatives. Finally, the output generated should be carefully

710 interpreted. Although reduction of sea-swell waves can provide a good insight of wave  
711 attention, changes of infra-gravity waves and wave setup should be considered as  
712 complementary outputs. Likewise, the outputs need to be clarified under the  
713 assumptions described in the LAI-based model and they might not be valid under other  
714 conditions such as submerged vegetation, deep water (relative to the wave length), or  
715 large storm events where wave attenuation by vegetation is not significant.

## 716 **5. Conclusions**

717 Numerical models can be used to estimate and predict wave attenuation by vegetation.  
718 This is important for monitoring coastal environments that are particularly vulnerable to  
719 wave-induced flooding. Field-derived input data for the modelling such as structural  
720 parameters of vegetation are difficult to obtain, but remote sensing techniques offer a  
721 faster and cheaper way to obtain vegetation parameters such as LAI. We conclude that  
722 the use of LAI as a vegetation parameter in the proposed LAI-based wave attenuation  
723 model is a suitable alternative given its similar accuracy to the traditional FSA-based  
724 model which uses field data.

725 Uncertainties of vegetation input parameters in numerical modelling may influence  
726 uncertainties of the wave attenuation estimations. We identified that variation of  $C_d$   
727 values has a slightly higher impact on wave attenuation in the FSA-based model rather  
728 than in the LAI-based model. Likewise, the former model is sensitive to plant properties  
729 of height, diameter, and density given the natural variability which is hard to measure  
730 and produced a moderate uncertainty on wave attenuation. In contrast, the LAI-based  
731 model partially generated low wave attenuation uncertainty due to the single remote-  
732 sensed LAI input, covering the spatial variability in the saltmarsh.

733 Our practical application using the LAI-based model evidences an easier and faster  
734 approach to obtaining structural parameters of saltmarsh vegetation that can be used as  
735 input in wave attenuation models such as XBeach. Predictions derived from modelling  
736 may support evidence to increase the implementation of natural-based flood control  
737 schemes such as managed realignments. In our study, there is evidence that the level of  
738 wave attenuation due to saltmarsh vegetation in the managed realignment is as effective  
739 as that seen in natural saltmarsh in terms of the wave height variation in wind-generated  
740 sea-swell waves. The Brancaster managed realignment also partially provides more  
741 wave attenuation than natural saltmarsh in terms of wave height of infra-gravity waves  
742 and wave setup because the artificial saltmarsh has more IG energy than the natural  
743 saltmarsh. This result may be site specific, as a result of the topography/bathymetry.

744 In this study, the seasonality does not have a prominent impact on wave attenuation  
745 estimations. The FSA-based model only gives measurements for a specific moment,  
746 similar to the LAI-based model. However, remotely-sensed LAI as a temporal input can  
747 easily provide estimates of seasonal variation of wave attenuation. Further investigation  
748 is required to explore the application of the LAI-based model to other types of saltmarsh  
749 communities and to other regions.

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759 **Declaration of competing interest**

760 The authors declare that they have no known competing financial interests or personal  
761 relationships that could have appeared to influence the work reported in this paper.

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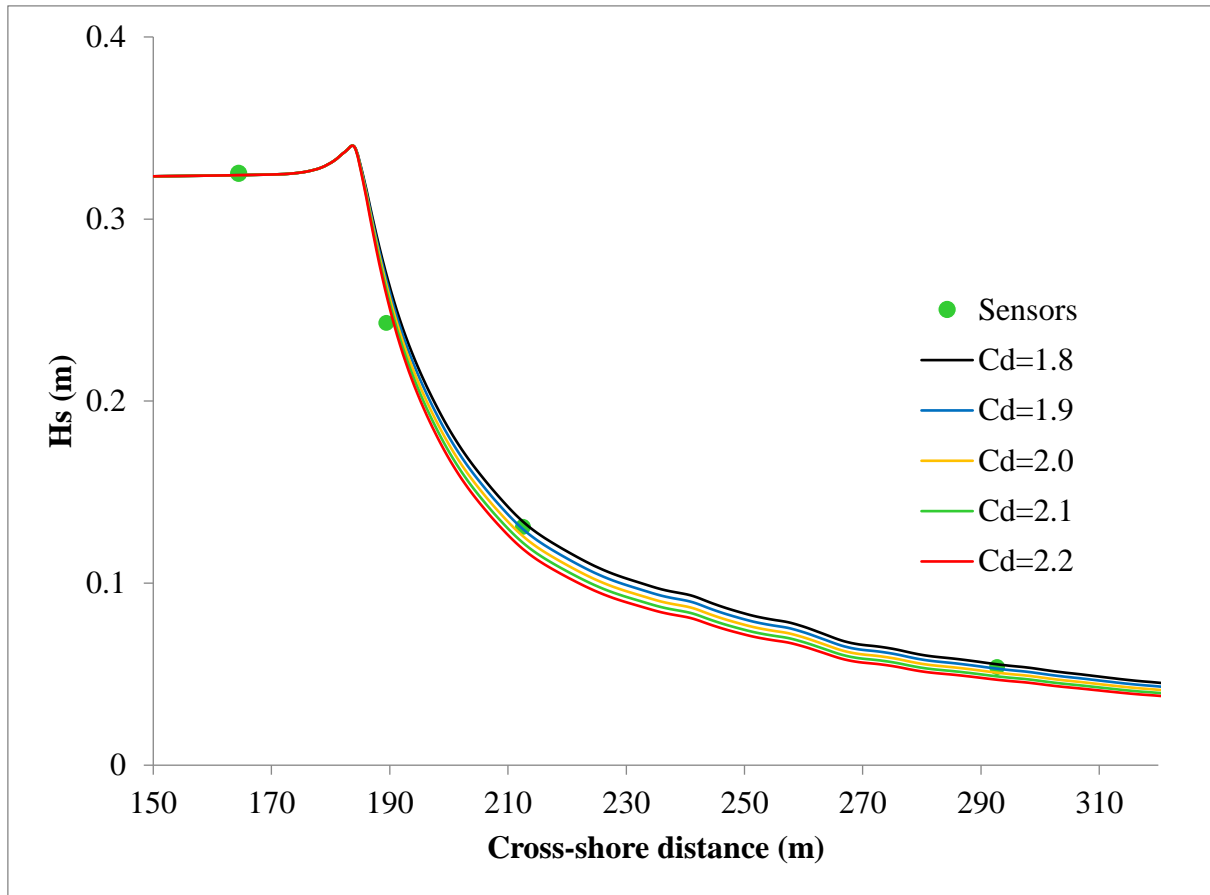
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771 **Appendices**

772 Appendix A. Calibration of LAI-based model using  $H_s$  of the average of the 15 wave  
773 conditions



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Figure A.1 Wave height decay using LAI-based model

Using a range of  $C_d$  constant values (solid lines) for one representative wave condition ( $H_s = 0.31$  m,  $T_p = 2.71$ ).

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Table A.1 Error statistics using the LAI-based model

The coefficient of determination ( $R^2$ ), the root mean square error (RMSE), the scatter index (SCI), and the relative bias (R. bias) are shown.

$C_d$	Sensor 2				Sensor 3				Sensor 4			
	R2	RMSE	SCI	R. bias	R2	RMSE	SCI	R. bias	R2	RMSE	SCI	R. bias
$C_d=1.8$	0.16	0.03	0.14	-0.11	0.75	0.02	0.13	-0.02	0.86	0.01	0.21	-0.01
$C_d=1.9$	0.17	0.03	0.13	-0.10	0.76	<b>0.02</b>	<b>0.12</b>	<b>0.01</b>	0.86	<b>0.01</b>	<b>0.21</b>	<b>0.04</b>
$C_d=2.0$	0.18	0.03	0.12	-0.09	0.76	0.02	0.13	0.04	0.87	0.01	0.23	0.08
$C_d=2.1$	0.19	0.03	0.11	-0.08	0.77	0.02	0.14	0.06	0.87	0.01	0.24	0.11
$C_d=2.2$	<b>0.19</b>	<b>0.03</b>	<b>0.10</b>	<b>-0.06</b>	<b>0.78</b>	0.02	0.16	0.09	<b>0.87</b>	0.01	0.26	0.15

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## 1048 **Figures**

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