



# Measuring access to high-modulation-rate envelope speech cues in clinically fitted auditory prostheses

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## Measuring access to high-modulation-rate envelope speech cues in clinically fitted auditory prostheses

--Manuscript Draft--

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<b>Section/Category:</b>	Psychological and Physiological Acoustics
<b>Keywords:</b>	dip-listening; glimpsing; modulations; dynamic range compression
<b>Abstract:</b>	<p>The signal processing used to increase intelligibility within the hearing-impaired listener introduces distortions in the modulation patterns of a signal. Trade-offs have to be made between improved audibility and the loss of fidelity. Acoustic hearing impairment can cause reduced access to temporal fine structure (TFS), while cochlear implant processing, used to treat profound hearing impairment, has reduced ability to convey TFS, hence forcing greater reliance on modulation cues.</p> <p>Target speech mixed with a competing talker was split into 12-22 frequency channels. From each channel, separate low-rate (EModL, &lt; 16 Hz), and high-rate (EModH, &lt; 300 Hz) versions of the envelope modulation were extracted, which resulted in low or high intelligibility, respectively. The EModL modulations were preserved in channel valleys, and cross-faded to EModH in channel peaks. The cross-faded signal modulated a tone carrier in each channel. The modulated carriers were summed across channels and presented to hearing-aid and cochlear-implant users. Their ability to access high-rate modulation cues, and the dynamic range of this access, was assessed. Clinically fitted hearing aids resulted in 10% lower intelligibility than simulated high-quality aids. Encouragingly, cochlear implantees were able to extract high-rate information over a dynamic range similar to that for the hearing-aid users.</p>

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*High-modulation-rate envelope cues in auditory prostheses*

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3 **Measuring access to high-modulation-rate envelope speech cues in clinically**

4 **fitted auditory prostheses**

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17 **Abstract**

18           The signal processing used to increase intelligibility within the hearing-impaired listener  
19 introduces distortions in the modulation patterns of a signal. Trade-offs have to be made between  
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21 temporal fine structure (TFS), while cochlear implant processing, used to treat profound hearing  
22 impairment, has reduced ability to convey TFS, hence forcing greater reliance on modulation cues.

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24 channel, separate low-rate ( $E_{modL}$ ,  $< 16$  Hz), and high-rate ( $E_{modH}$ ,  $< 300$  Hz) versions of the envelope  
25 modulation were extracted, which resulted in low or high intelligibility, respectively. The  $E_{modL}$   
26 modulations were preserved in channel valleys, and cross-faded to  $E_{modH}$  in channel peaks. The cross-  
27 faded signal modulated a tone carrier in each channel. The modulated carriers were summed across  
28 channels and presented to hearing-aid and cochlear-implant users. Their ability to access high-rate  
29 modulation cues, and the dynamic range of this access, was assessed. Clinically fitted hearing aids  
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31 were able to extract high-rate information over a dynamic range similar to that for the hearing-aid users.

32

33 *Keywords:* dip-listening, glimpsing, dynamic range compression, modulations, hearing aids, cochlear  
34 implants, auditory perception, speech intelligibility

35 **I. INTRODUCTION**

36           The dynamic range of acoustic signals processed by the healthy human hearing system, spanning  
37 the range between audibility and discomfort, is around 100 dB, but less below 200 Hz and above 10 kHz.  
38 With sensorineural hearing impairment, discomfort thresholds exhibit a wide scatter for the same degree  
39 of impairment, but show little increase with increasing impairment until hearing threshold exceeds 60 dB  
40 HL (Storey & Dillon, 1998). This reduced dynamic range between audibility and discomfort causes  
41 recruitment, (Fowler, 1936; Steinberg & Gardner, 1937), remediated in hearing aids (HA) by the use of  
42 multi-channel dynamic range compression (DRC) (Villchur, 1973; White, 1986). For profound hearing  
43 losses, direct electrical stimulation of the cochlea can be used to replace acoustic stimulation by using a  
44 cochlear implant (CI). Since the dynamic range between threshold of hearing and threshold of discomfort  
45 for the electrical signals presented is typically between 5 and 30 dB (Fu & Shannon, 1998; Loizou et al.,  
46 2000;), DRC is also essential in CIs (Dillier et al., 1980; Wilson et al., 1988;)

47           The effectiveness of DRC in the remediation of hearing impairment has resulted in debate  
48 (Plomp, 1988; Villchur, 1988) and much experimentation (see reviews in Souza, 2002; Moore, 2008).  
49 Although the concept of DRC is old, the flexibility of digital signal processing has seen multiple fields of  
50 applicability and configurations proposed for the design of DRC circuits, initially in broadcast audio  
51 (McNally, 1984; Stikvoort, 1986; Giannoulis *et al.*, 2012), but extending to hearing aids (reviews in  
52 Kates, 2005; Dillon, 2012a), and cochlear implants (Stöbich *et al.*, 1999; McDermott *et al.*, 2002; Boyle  
53 *et al.*, 2009; Khing & Swanson, 2013). The debate and experimentation centres around the number of  
54 frequency bands in which DRC should be performed, the speed with which the DRC should operate, and  
55 the balance between the restoration of audibility and the permissible amount of distortion of spectro-  
56 temporal modulations, which are important contributors to speech intelligibility and quality (Xu *et al.*  
57 2005; Xu & Zheng 2007; Whitmal, 2007; Souza & Rosen, 2009; Kates, 2010, 2011).

58           Generally, the restoration-of-audibility-promotes-intelligibility argument proposes the use of fast  
59 time constants in multiple channels of DRC (*e.g.* Villchur, 1988) in order to promote audibility of low-  
60 level portions of the signal. The low-distortion-promotes-intelligibility argument favours slower time

61 constants, preserving the fidelity of envelope modulations (Plomp, 1988) and in the process, sacrificing  
62 short-term audibility. However, this sacrifice appears beneficial in noisy listening situations and where  
63 the richness of acoustic cues is impoverished, such as in noise-carrier vocoding (Stone & Moore, 2007).  
64 It should also be noted that, apart from DRC, other non-linear signal processing that is used in hearing  
65 prostheses, such as adaptive noise reduction and adaptive directional microphones, distorts envelope  
66 modulation. Also, like DRC, these are implemented on a multi-channel basis and with varying time  
67 constants (Dillon, 2012b). Depending on choice of time constants, these additional signal processing  
68 strategies may also be expected to contribute to modulation distortion on perceptually-relevant timescales.  
69 Additionally, the sophistication of digital signal processing permits algorithmically-defined  
70 modifications of interactions between the component processing blocks of a hearing aid, so that  
71 characterising the performance of a system by standard parameters, such as attack and release times, and  
72 compression ratios (ANSI, 2003), cannot fully characterise the expected performance in either the short  
73 (sub 100-ms) or long-term (several seconds).

74         Distortion in general, and especially distortion of the signal envelope by signal processing, such  
75 as by DRC, is of great interest in the remediation of hearing impairment. Since both hearing loss and age  
76 are associated with decreased sensitivity to the temporal fine structure (TFS) of an audio signal (Buss *et*  
77 *al.*, 2004; Hopkins & Moore, 2007, 2011; Hopkins *et al.*, 2008; Grose & Mamo, 2010), the hypothesis  
78 arises that the hearing-impaired (HI) listener is more reliant than a young normal-hearing (NH) listener on  
79 the envelope modulations of a signal. CI listeners are almost entirely dependent on the envelope  
80 modulations since CI processing either largely, or totally, discards the TFS (Zeng, 2004).

81         Some recent models for predicting speech intelligibility place emphasis on the important  
82 contribution of modulation, specifically considering the signal-to-background ratio (SBR) in the  
83 modulation domain (Dubbelboer & Houtgast 2008; Jørgensen *et al.*, 2013). There is a subtle difference  
84 between the two models: Dubbelboer and Houtgast explicitly model the effect of distortions produced by  
85 non-linear signal processing as contributing to the “noise”, so their model requires a reference signal of  
86 the clean (unprocessed) target, which is not always practical. The alternative approach, used in the



87 Jørgensen and Dau family of models (which also require a reference signal, but of the noise alone), only  
88 generates an estimate of the audible speech modulations, thereby ignoring intermodulations between  
89 target and background produced by non-linear processing. However, the estimated preserved speech  
90 modulations will incorporate effects of any non-linear processing, such as the reduced dynamics, and  
91 hence altered (long-term) SBR (Naylor & Johannesson, 2009). The successful prediction of results from  
92 these models indicate that reduction of the signal envelope power, as well as the addition of distortions  
93 and noise, is a major contributor to speech intelligibility, even without consideration of the supporting  
94 role of TFS (reviewed in Moore, 2014).

95         For a wearable hearing prosthesis, there is a need to assess the perceptual consequences, if any, of  
96 the device, and assigning the cause of the cost. In doing so, it is possible to identify areas where the  
97 technical design of the prosthesis, rather than perceptual limitations of the participant, affect performance.  
98 For example, in a speech-intelligibility task, normal-hearing listeners were assessed either wearing  
99 hearing aids or unaided (Cubick & Dau, 2016; Cubick et al. 2018). The wearing of high-fidelity hearing  
100 aids appeared to show little or no disadvantage in a co-located masker condition, but produced worse  
101 performance in a separated masker condition (Cubick et al., 2018), when compared to unaided listening.  
102 This pattern of results was attributed to distortion of spatial cues due to the non-ideal location of the  
103 microphones (behind-the-ear location and omni-directional pattern). These differences were smaller than  
104 those measured when a lower-bandwidth, lower-fidelity hearing aid was used (Cubick & Dau, 2016).  
105 Besides the non-linearities often produced by analogue acoustic transducers and their amplifiers, the  
106 distortions introduced by signal processing can also be expected to produce modulation- and inter-  
107 modulation-distortion components. While these are physical components, their sources of origin, and the  
108 relationship between them can also cause perceptual confusion. Stone et al. (2009) reported that the  
109 action of fast-acting DRC on a two-talker mixture required greater effort on the part of young, NH,  
110 listeners to separate the keywords from the mixture. This they attributed to a loss of independence in the  
111 separate modulations patterns of the component talkers: previously independent sound sources had

112 acquired a common component of modulation due to the fast-acting DRC, perceptually making them  
113 appear to be less separate.

114         One way to assess the degree of the perceptual consequences possibly produced by signal  
115 processing on modulations is by manipulating the ability of the listener to access them. High-rate  
116 envelope modulations (greater than about 15 – 30 Hz) appear to be an important contributor to speech  
117 intelligibility, at least in vocoder processing (Dudley, 1936; Whitmal, 2007; Souza & Rosen, 2009).  
118 Stone *et al.* (2010) reported measures of manipulating this perceptual access. They band-pass filtered a  
119 speech-in-competing-talker signal into either 8 or 15 contiguous channels. Within each channel, they  
120 low-pass filtered the full-modulation-bandwidth envelope to produce a restricted-modulation-bandwidth  
121 version of the same envelope. The resulting envelope was used to modulate a tone carrier at the centre of  
122 the respective channel, before recombining the individual channels. When the full bandwidth envelope  
123 signal was used, the resulting intelligibility was high, but it fell markedly when the restricted-bandwidth  
124 version was used. Additionally, Stone *et al.* selectively switched in the restricted-bandwidth version as a  
125 function of short-term signal level within a channel. In one of their configurations, as the channel signal  
126 valleys were progressively filled with restricted-bandwidth information, intelligibility progressively  
127 decreased, mapping the ability of the listener to access information in the signal dips. This mapping,  
128 relating intelligibility to the relative level of the switch from restricted to full-bandwidth, can be used to  
129 define an intensity-importance function (IIF, Boothroyd, 1990), a description of the relative importance of  
130 speech information as a function of level in a signal channel. Boothroyd's IIF was relevant to unmodified  
131 speech, i.e. containing both envelope and TFS cues, whereas that for Stone *et al.* was relevant to envelope  
132 modulations only. The shape of an IIF for unmodified speech, is described in ANSI (1997) as being  
133 rectangular with level, spanning +/-15 dB about the channel mean. Studebaker & Sherbecoe (2002),  
134 using band-limited speech reported more rounded functions, tailing away asymmetrically to either side  
135 from a peak centred near the channel mean. Stone *et al.* (2010) reported that their measured *envelope*  
136 IIFs for NH listeners had a rounded shape, similar to those of Studebaker & Sherbecoe (2002). The  
137 envelope IIFs measured for HI listeners in Stone *et al.* (2012a) were similar in shape and dynamic range

138 to that they had previously reported for NH listeners (Stone *et al.* 2010), but displaced to a slightly higher  
139 level, relative to the channel root-mean-square (rms).

140 To date, the reported IIFs have been based on group data, assuming a degree of homogeneity in  
141 performance across participants. Besides the heterogeneity in audiograms observed between hearing-  
142 impaired listeners, supra-threshold performance in psychoacoustic tasks also demonstrates a  
143 heterogeneous nature, even for the same audiogram. To account for this heterogeneity, fitting of hearing  
144 prostheses usually involves a stage of fine tuning based either on subjective preferences or on objective  
145 measures. The experiment to be reported therefore wished to investigate the possible heterogeneity in  
146 IIFs in hearing-impaired listeners. Measuring individual access to high-rate modulations may reveal  
147 across-participant differences in the ability to benefit from high-rate envelope modulations, providing  
148 insight into real-world difficulties, as well as revealing possible differences in the effectiveness of the  
149 signal processing used in different hearing prostheses.

150 The first part of the experiment reported here used the envelope processing technique of Stone *et*  
151 *al.* (2012a) to compare access to high-rate envelope modulations after processing by two types of hearing  
152 aids. Stone *et al.* (2012a) only used a linear, simulated high-fidelity hearing aid with their participants,  
153 and reported results on a group-average, rather than individual, basis. The experiment reported here  
154 extended the previous work on HAs by comparing the performance of the simulated high-fidelity HA to  
155 that of a clinically-fitted HA, and additionally measured and reported IIFs on a per-participant, rather than  
156 group basis. Additionally, the data allowed the quantification of the loss in performance in access to  
157 modulation cues due to the compromises involved in the design of a wearable device (distortions due to  
158 non-linear signal processing, as well as possible distortions from analogue stages in the wearable device).

159 The second part of the experiment used the same processing technique with a group of CI users.  
160 Compared to HA processing, the envelope distortion produced by CI processing is more complicated. In  
161 a CI, at least two stages of DRC are employed, the first acting on the short-term signal in a small number  
162 of frequency bands, and the second applying instantaneous DRC to the extracted channel envelope  
163 applied at each electrode (Wilson *et al.*, 1988; Fu & Shannon, 1998). Instantaneous DRC can be expected

164 to generate a whole series of distortion components in the modulation frequency domain. However, the  
165 ability to present the channel signal directly to frequency-specific regions of the cochlea, bypassing the  
166 channel mixing that has to occur prior to acoustic presentation, means that the distortion components may  
167 be at least partly cancelled out by the instantaneous non-linearity at the electrode-neural interface, if the  
168 correct mapping function is chosen. Early work with CIs showed that, although this mapping function  
169 does affect intelligibility, an exact match of the function mapping envelope amplitude to electrode current  
170 was not important to produce the highest intelligibility (Fu & Shannon, 1998). Additionally, temporal  
171 modulation transfer functions (TMTFs) measured for CI users are similar in shape and absolute  
172 sensitivity to those obtained by NH listeners and possibly even better (Shannon, 1992), with some  
173 showing a tendency to a relatively improved characteristic in the modulation range of 50-100 Hz. The  
174 processing technique of Stone *et al.* (2012a) should therefore be applicable to CI users, albeit with some  
175 modifications to accommodate differences in device configurations. Since signal delivery for the CI  
176 participants had to be via each users own device, it was not possible to make comparison with a  
177 ‘reference’ CI. This second part of the experiment, apart from measuring individual IIFs, permitted the  
178 comparison of the performance effected by CI processing against that achieved by another hearing-  
179 impaired group, HA users, such as the degree of benefit available from high-rate modulation cues and the  
180 dynamic range of individual IIFs in each group.

181

## 182 **II. METHODS**

### 183 **A. Participants**

184 Participants were recruited via local UK National Health Service (NHS) audiology clinics (HAs), a  
185 volunteer panel (CIs and HAs) and the Richard Ramsden centre for auditory implants at the NHS-run  
186 Manchester Royal Infirmary (CIs). The study and access to participants was approved by the NRES  
187 Committee North West - Greater Manchester South, approval number 14/NW/1365.

188 All participants had to be aged over 18 years, fluent speakers of English from birth, and in  
189 generally good health for travel to the test site. All participants received a “Participant Information  
190 Sheet” at least 24 hours ahead of their first appointment.

191

192 **1. Selection criteria unique to HA participants**

193 Additional prerequisites for eligibility for this group were having:

- 194 1) A sensorineural hearing loss, at least moderate-to-severe in the better (aided) ear, averaged over 1, 2  
195 and 4 kHz, but not exceeding 70 dB HL at any frequency below 8 kHz.
- 196 2) A negligible conductive component to the loss ( $\leq 10$  dB at any frequency, 0.25 to 4 kHz),
- 197 3) The absence of an extensive “dead region” in the cochlea of the test ear as assessed using the  
198 Threshold-Equalizing Noise test (TEN(HL), Moore *et al.*, 2004), but allowing for a ‘fail’ at any single  
199 frequency between 0.5 and 4 kHz.
- 200 4) No history of middle ear dysfunction and an intact tympanic membrane.
- 201 5) Been a daily user of a hearing aid in the test ear for at least 6 months and for at least 5 hours per day.
- 202 6) Been fitted with a current generation (primarily NHS) hearing aid (typically < 4 yrs ) and were happy  
203 with the fit.

204 HA participants were tested using a single ear. 19 (8 female) HA participants completed the  
205 testing. Their details are given in Table I. Figure 1 shows the mean and standard deviation (SD) of the  
206 audiograms of the tested ears.

207 -----

208 Figure 1 about here

209 -----

210 **2. Selection criteria unique to CI participants**

211 Additional prerequisites for eligibility for this group were: 1) that they should be successful users of their  
212 device, capable of achieving moderate to high sentence intelligibility (> 70%) at SBRs of +10 dB or less  
213 (speech-spectrum weighted noise).

214 2) that they had been using the device regularly for at least 1 year (> 8 hours/day, 5 days/week) and were  
215 happy with the fit.

216

217 The requirement for CI participants to be successful users of their device was necessary due to  
218 the need to test at SBRs where:

219 (a) within each channel, the (fluctuating) background would overlap with the dynamic range of the  
220 speech-plus-background signal that had previously been shown to be relevant for HI listeners (Stone *et*  
221 *al.*, 2012a), typically between about -8 and +8 dB relative to the channel rms, and

222 (b) their word-intelligibility scores were sufficiently high (> 50%) that the participant was not  
223 demotivated by their apparent lack of ability in some (necessarily) low-scoring conditions.

224 CI participants with unilateral implants were tested through their implant alone. 18 CI  
225 participants (8 female) completed the testing. Their details are given in Table II.

226

## 227 **B. Stimuli**

228 The target speech consisted of lists of sentences from the IEEE corpus (IEEE, 1969). Either the first 48  
229 lists for the HA participants (24 lists per aid), or the first 24 lists for the CI participants were selected for  
230 presentation. They were scored by counting keywords reported correctly. The sentences were spoken by  
231 a male speaker of southern British English. Prose passages of 60-sec duration, as well as material from  
232 the Adaptive Sentence Lists (ASL, MacLeod & Summerfield, 1990) and IEEE lists 61-72 were used as  
233 training material.

234 The background noise was a single male talker reading a continuous prose passage where pauses  
235 for breath had been edited out. Only natural-sounding gaps remained between sentences. All speech  
236 material was available at a sampling rate of 22.05 kHz. Although the ASL material used for training only  
237 had an 8-kHz bandwidth, all other material had a bandwidth of up to 11 kHz.

238

## 239 **C. Processing**

240 The processing was very similar to that used by Stone *et al.* (2012a), the core of which was a 15-channel  
241 tone-carrier vocoder which preserved the signal envelope in each channel, while discarding the original  
242 TFS within the channel. Here the number of channels,  $N$ , was constant for group HA ( $N=16$ ), but varied  
243 (8 – 22) with participant in group CI, according to their individual device. An  $N$ -channel filterbank  
244 implemented by finite impulse response (FIR) filters was used to separate the signal into channels. In  
245 each channel, two versions of the envelope were generated by half-wave rectification and low-pass  
246 filtering with a forward and backward pass of a 2-pole Infinite-Impulse-Response filter (Chebyshev Type  
247 II design, with stopband ripple set to  $-36$  dB per pass) giving a minimum out-of-band attenuation of  $-72$   
248 dB. This ensured linear phase and good stop-band rejection, but allowed a small amount of “overshoot”  
249 in the time-domain response. The different versions were achieved by the use of different corner  
250 frequencies. One filter, the low-rate or 'L' filter, had a  $-3$  dB point of 16 Hz. The 10%-90% rise time of  
251 its step response was 22 ms. The second filter, the high-rate or 'H' filter, had a  $-3$  dB point which was  
252 the lower of either 300 Hz or 0.866 times the channel bandwidth. This latter restriction ensured that a  
253 good representation of the original channel envelope was extracted but possibly at the cost of preserving  
254 small amounts of the channel carrier (TFS) in low-frequency channels. The channel bandwidth in all  
255 processing conditions always exceeded 16 Hz, so that there was no need to modify the corner frequency  
256 of any of the L filters.

257 In each channel a logical ‘switching signal’ (binary-valued, 0 or 1), was created by comparing the  
258 instantaneous value of the L-filtered envelope with an adjustable ‘switching threshold’. The switching  
259 signal was defined as being 1 if the L-filtered envelope was above the switching threshold and 0  
260 otherwise. The switching signal was then filtered with a 2-pole, minimum overshoot Bessel-derived low-  
261 pass filter, whose corner frequency was twice that of the L filter, to give a 10-90% rise time of 11.5 ms,  
262 except for low-bandwidth channels, where the corner frequency was scaled so that the rise time was three  
263 times the reciprocal of the channel centre frequency, so as to reduce the potential for production of high-  
264 level in-channel modulation products.

265 Stone et al. (2012a) used the switching signal in two ways to generate separate test conditions,  
266 which they labelled L/H or H/L. The results from their L/H condition appeared less stable (poorer fits of  
267 a model to the data) than those from their H/L condition, so we focus just on this second condition.

268 Within each channel, a composite envelope signal, *Comp*, was generated by the weighted sum of  
269 the H and L signals:

$$270 \quad \text{Comp} = Swf.H + (1 - Swf).L \quad (1)$$

271 where *Swf* is the filtered switching signal, and H and L are the H-filtered and L-filtered channel envelope  
272 signals, respectively. As the switching threshold increased, more of an envelope valley was filled with  
273 the L-filtered signal. The filtering of the switching signal acted as a cross-fade between the two logical  
274 values so as to remove audible artefacts at the switching points. The composite envelope was used to  
275 modulate a sinusoidal carrier at the linear centre frequency of the channel. The FIR filter used to extract  
276 the channel signal was then re-applied to ensure no out-of-channel leakage of (modulated) signal energy.  
277 The channel signals were then combined for presentation to the participant.

278 Switching thresholds, expressed as the level relative to the long-term (i.e. sentence-list duration)  
279 rms of the channel envelope, were  $-\infty$ ,  $-13$ ,  $-7$ ,  $-2$ ,  $+2$ ,  $+7$ ,  $+13$ , and  $+\infty$  dB. The range, and spacing,  
280 were chosen on the basis of the previously measured IIFs for hearing-aided participants (Stone et al.  
281 2012a). These values were assessed in 8 separate conditions, presented in a counterbalanced order using  
282 a Latin square. Separate counterbalancing was performed for each participant group. The values of  $-\infty$   
283 and  $+\infty$  dB meant that the composite envelope comprised either the H-filtered or the L-filtered envelope,  
284 respectively. With all-H-filtered envelope, intelligibility should be at its maximum, and with all-L-  
285 filtered envelope, it should be at its minimum.

286 The mean percentage of time that a channel signal was above the switching threshold was 100,  
287 45, 29, 17, 8, 3, 0.5 and 0 %, for thresholds of  $-\infty$ ,  $-13$ ,  $-7$ ,  $-2$ ,  $+2$ ,  $+7$ ,  $+13$ , and  $+\infty$  dB respectively.  
288 These percentages are averages across channels 4 to 12 of the 16-channel HA processing, using an SBR  
289 of +8 dB, the average across the HA participants when tested using their own aids. These channels span



290 the frequency range 400 to 4000 Hz, (see Table III), where the band importance function of the Speech  
291 Intelligibility Index (SII) is maximum (ANSI, 1997).

292

### 293 *1. Processing specific to HA participants*

294 The real-ear insertion gain (REIG) of each participant's hearing aid was assessed using the 60-sec  
295 duration International Speech Test Signal (ISTS, Holube *et al.*, 2010) presented over a loudspeaker. The  
296 acoustic signal was recorded in the meatus of the participant by means of an ER-7c (Etymotic Research  
297 Inc., Elk Grove, Ill) probe microphone whose tip was placed within 4-6 mm of the tympanic membrane.  
298 The output of the microphone pre-amplifier was recorded on a H2 hand-held digital recorder (Zoom  
299 Corporation, Tokyo, Japan) for off-line analysis. Two measures were performed, once with no HA  
300 present and the meatus open, with a replay level of at least 60 dB(A), and once with the meatus closed by  
301 the eartip of the active hearing aid in place, at a replay level of 60 dB(A). The eartips were typically soft  
302 dome fittings, providing very little attenuation of the acoustic path directly from the loudspeaker to the  
303 meatus. The equal-or-higher replay level in the open-meatus condition was done to ensure that the  
304 recorded power spectrum was always above the noise floor of the probe microphone (and recording)  
305 system. In practice this lead to an upper frequency limit of 6 kHz. REIGs did not show gain exceeding  
306 0dB for frequencies above 6 kHz in any aid. The higher replay level for the open-meatus condition was  
307 compensated for in calculation of the REIG. The REIG was taken as the smoothed difference of the  
308 power-spectral densities of the two recordings.

309 Two versions of the processed training and test speech signals were generated, one with, and one  
310 without, the REIG applied to the source speech+interfering speaker signal before the vocoder processing.  
311 The two versions were required so that the HA participant could be tested either with their own hearing  
312 aid (OWN) or with a simulated, linear hearing aid (SIM) so that they could also be tested open meatus  
313 (*i.e.* without their clinical aid), but with the same REIG as for their clinical aid.

314 The 16-channel vocoder that was used had each channel being  $2\text{-ERB}_N$  wide, where  $\text{ERB}_N$   
315 indicates the width of the normal auditory filter (Glasberg & Moore, 1990). Although Stone *et al.*

316 (2012a) used a 15-channel vocoder, due to the higher sampling rate of the speech material used here, the  
317 extra channel occupied a frequency region not present in the earlier work. Hence the lowest 15 channels  
318 were common in extent between the two experiments. Due to the degree of hearing impairment of the  
319 participants, their auditory filter widths were expected to be broader than normal by a factor of around 2  
320 (Moore, 2007) so the modulation sidebands around each tone carrier were unlikely to be resolvable. The  
321 edge frequencies and carrier frequencies for the 16 channels are given in Table III.

322

## 323 **2. Processing specific to CI participants**

324 The number of channels and channel edges of the vocoder were selected for each participant to match the  
325 channel mappings in their clinical fitting. This ensured that the envelope information of each vocoder  
326 channel would be presented in the middle of the corresponding analysis channel in the implant. The  
327 processing in the devices manufactured by Cochlear only extracted the channel envelope, while some of  
328 the devices from MedEl employed processing that attempted to provide information about the channel  
329 carrier as well as the envelope. This strategy (FS<sup>TM</sup>) could be applied in up to 4 of the low-frequency  
330 channels. For participants with MedEl devices, the corresponding channels were processed by preserving  
331 the original channel signal for the peaks and replacing the valleys with a tone carrier modulated by the L-  
332 filtered envelope. Although fundamental frequency ( $f_0$ ) carrier information was still available in the low  
333 frequency channels, so permitting the FS processing to operate, its quality was degraded by the  
334 progressive removal of this information in the valleys. All other aspects of the experimental processing  
335 were the same as for the other CI devices.

336

## 337 **D. Equipment and presentation**

338 All signals were processed on a PC and replayed under control of MATLAB<sup>TM</sup> (Mathworks, Natick, MA)  
339 via external sound cards, either an Edirol UA-25 (Roland Corporation, Hamamatsu, Japan) or a Scarlett  
340 2i2 (Focusrite, High Wycombe, UK). The soundcards were connected via a 20-dB attenuator to a Tannoy  
341 VXP6 loudspeaker (the version as manufactured by Tannoy Ltd, Coatbridge, Scotland, UK). The

342 loudspeaker was sited at a distance of 1 metre from the participant, who was seated near the middle of a  
343 double-walled, sound-treated room with dimensions 4.9 x 3.5 x 2.5 metres (length x width x height).  
344 Sound levels were measured at the position of the participant's head, but without the participant present.  
345 Due to the use of concentric dual-cone drivers in the VXP6, the loudspeaker behaved like an acoustic  
346 point source, and was therefore more robust to errors of relative placement of the participant's head  
347 between measures than from two-way loudspeakers with physically separated drivers.

348

#### 349 **E. Procedure**

350 HA participants attended for three, and CI participants attended for two, test sessions, each of which was  
351 intended to last, at most, for 2 hours. In the first session participants had the experiment protocol  
352 explained to them before giving written consent to any experimental procedures. Since the level of  
353 cognitive ability can be a confounding factor in experiments involving DRC, (Lunner and Sundewall-  
354 Thoren, 2007), each participant performed Part A of the Trail Making Task (Reitan, 1958) and two Digit  
355 Span Tests (Forward and Backward, Wechsler, 1997). The Trail Making task required a participant to  
356 draw connecting lines between number points in ascending order on a sheet of paper while being timed to  
357 complete the task. The Digit Span tests measure the longest sequence of digits that can be held in  
358 working memory, requiring recall either in order (“Forward”) or mentally manipulated into reverse order  
359 (“Backward”). One CI participant (C7) failed to complete the Trail Making Task, indicating a mental  
360 competence of “pathological” for this test, a diagnosis later confirmed as early vascular dementia.  
361 However, he was able to complete the digit span task as well as the intelligibility testing, so apart from  
362 analyses requiring a score from the Trail Making Task, his results were retained.

363

#### 364 **1. Procedure specific to HA participants**

365 For the HA participants, tympanometry, audiometry and the TEN(HL) test (Moore *et al.* 2004)  
366 were performed to check for conformance with the selection criteria detailed above.

367 Any hearing prosthesis in the non-test ear was removed and the meatus plugged with a well-fitted  
368 expanding foam earplug. For one participant (H9), with a deeply inserted solid mould, and a mild-to-  
369 moderate low-frequency hearing loss, the earplug took the form of the non-test aid inserted but switched  
370 off.

371 At this point in the first session, the REIG of the test HA was measured, and analysed offline to  
372 produce an REIG for the processing required in the SIM condition. If the REIG appeared too low for the  
373 degree of loss, the participant was referred back to their dispensing clinic for re-fitting before any further  
374 testing was performed. If the re-fitting did not seem appropriate testing was stopped for that participant.

375

## 376 ***2. Procedure specific to CI participants***

377 For the CI participants, the non-test ear was either (i) left unplugged if the unaided hearing thresholds  
378 exceeded 60 dB HL, (ii) de-activated in the case of bilateral implantation (C13) or (iii) the hearing aid  
379 function of electro-acoustic stimulation (EAS) was de-activated (C9).

380 The participant was encouraged to set the processor controls in anticipation, such that  
381 conversational speech from the experimenter was at a comfortable level, and to choose their regular  
382 clinical program for coping with speech in a moderately noisy environment. Once selected, these settings  
383 were checked for comfort during initial training, but not changed throughout the duration of the  
384 experiment itself. All signal input to the processor came via the device microphone(s). Table II  
385 additionally gives a list of processor features, such as noise reduction, microphone directionality and the  
386 ability to select from a range of programs. Only C1 did not have access to a noise-reduction program.

387

## 388 ***3. Procedure common to both HA and CI participants***

389 For the remainder of the first session, the participants were trained to recognise the vocoded  
390 speech, by presentation of material with increasing levels of difficulty, with the giving of feedback to  
391 their oral responses. This training lasted about 1 hour. All participants used their own hearing devices

392 during training. Although HA participants could generally recognise the difference between unprocessed  
393 and vocoded signals, the CI participants could not.

394 At the start of the second session, a further 15-20 minutes of training was performed. HA  
395 participants were presented with material processed for either the SIM or the OWN HA conditions, in a  
396 counterbalanced allocation across their sessions two and three. CI participants were presented with just  
397 the vocoded signal, as for their first session. For the final two lists of sentence training material,  
398 processed with the H-rate envelope filter, the SBR was adaptively varied between sentences, in steps of 3  
399 dB, in a 1-up, 1-down paradigm. If the participant reported correctly more than three of the five keywords  
400 in a sentence, the SBR was decreased. If they reported fewer than three keywords correct, the SBR was  
401 increased. If three keywords were reported correctly the SBR was left unchanged. This method therefore  
402 tracked the SBR necessary to achieve approximately 60%-correct. A logistic curve was fitted to the data  
403 and used to predict the SBR at which the participant would achieve a score of about 70%.  
404 The equation of the fitted function was:

$$I = P_{ceil} - \frac{(P_{ceil} - P_{floor})}{(1 + \exp(-\beta(SNR - t_{50})))} \quad (2)$$

405 where  $I$  is the intelligibility in percent words correct,  $P_{ceil}$  is the asymptotic (high or ceiling) score in  
406 silence,  $P_{floor}$  is the asymptotic (low or floor) score for chance performance,  $\beta$  is a scaling factor that  
407 determines the slope of the transition region, and  $MP$  is the value of the switching threshold at the  
408 midpoint of the  $I$  curve between  $P_{ceil}$  and  $P_{floor}$ .

409 This predicted SBR was therefore expected to offer around 70% intelligibility for the all-H-  
410 filtered condition, and to decline with increasing removal of H-filtered cues. Results should therefore lie  
411 on the steepest portion of the individual's Performance-Intensity (P-I) function and (largely) avoiding  
412 floor or ceiling effects. During a short pause in the testing, test signals for the 8 conditions of the test  
413 proper were generated at the predicted SBR. The test proper occupied the remainder of the session. The  
414 third session (HA participants only) was identical in structure to the second session, except that the

415 processing was adapted for the aid (OWN or SIM) on which the participant had not yet been tested. The  
416 results of the logistic regression to set the test SBR were saved for later re-analysis (Sect III.C.1).

417 The presentation level for HA participants was set to 60 dB(A), around that for speech of  
418 “normal” level (62.3 dB SPL, ANSI, 1997). This had several purposes:

419 (a) to reduce the audibility of the components of speech transmitted via open domes since the domes do  
420 not provide much attenuation of external sounds, especially at low frequencies where the attached hearing  
421 aids deliver little gain.

422 (b) to ensure greater reliance on the aided frequency range where the individual channel bandwidths  
423 permitted an H-filter bandwidth much greater than the L-filter bandwidth, and therefore greater potential  
424 for change in intelligibility.

425 (c) to ensure that any leakage of sound to the (plugged) non-test ear would be of low level, and make only  
426 a small, or nil, contribution to intelligibility.

427 (d) it was a level sufficiently high that the valleys of a fluctuating signal were either close to, or above,  
428 the compression threshold of the HA DRC, ensuring repeated activation of any non-linear signal  
429 processing.

430 Where available in the manufacturer’s data sheets, details of the dynamic behaviour of the  
431 compressors in the HAs are given in Table I. It should be noted that some of these values are  
432 questionable. For instance an attack time of 1 ms appears unlikely for hearing aids employing overlap-  
433 add Fourier transform processing where the frame lengths are typically 5-10 ms and the overlap is  
434 typically 50% or less. Additionally, for high compression ratios, such fast attack times would produce  
435 audible distortion. As previously stated, with many of the signal processing functions interlinked in  
436 modern HAs, the actual processing speed is difficult to determine.

437 For the CI participants, their second session was structured identically to the second session of  
438 the HA participants, except that they were tested through their own device with an acoustic presentation  
439 level of 65 dB (A) at their unoccupied seat. This was closer to the levels typically used to set up the

440 implant, and, since participants were either ear-plugged or profoundly deaf at low frequencies, was not  
441 likely to produce audibility via an acoustic path.

442 Previous work using HA participants (Stone *et al.*, 2012a) showed an average difference in  
443 intelligibility of around 36% between maximum and minimum, provided that the SBR was chosen to  
444 avoid ceiling and floor effects. Stone *et al.* (2012a) used 2 lists per test condition and relied on inter-list  
445 variability being counterbalanced across many participants, a method which worked well with group  
446 results. Here we wished to measure the performance of each participant, so we increased the number of  
447 lists assessed to 3, as well as assessing 8 rather than 7 switching thresholds. The 8 conditions, with 3 lists  
448 per condition, combined with the training and any other administrative procedures, produced a session  
449 duration of less than two hours. During all training and testing, the participants were given opportunities  
450 for rest and refreshment, preferably during the interval at the end of testing each processing condition.

451 The processing conditions were presented to the participants within each session in a  
452 counterbalanced order by use of a Latin square. For the HA participants, as well as the randomisation of  
453 their test session order (SIM then OWN, or vice-versa), a different Latin square was used in each session.  
454 Since the presentation order of the lists, as well as the lists used, was fixed within each session, the  
455 counterbalancing ensured that, across a square, all groups of three lists were assessed in each of the  
456 processing conditions. A fully counterbalanced data set was achieved across either 16 HA participants  
457 (two sessions by eight processing orders) or 8 CI participants (eight processing conditions). For  
458 participants beyond these minimum numbers, a second Latin square was used, different from the first.

459

### 460 **III. RESULTS**

461 The general pattern of results measuring speech intelligibility as a function of switching threshold for  
462 each participant was expected to be similar to that for the H/L condition of Stone *et al.* (2012a), the group  
463 mean results of which are plotted as filled circles in Figure 2.

464

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465

Figure 2 about here

466

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467 These mean data, shown in Fig. 2, were fitted with a logistic function defined by Eqn. (2), but with  $P_{ceil}$   
 468 and  $P_{floor}$  replaced by  $P_H$  and  $P_L$ , the asymptotic (high) score for an all-H-filter envelope signal,  $P_L$  and the  
 469 asymptotic (low) score for an all-L-filter envelope signal.  $SBR$  is replaced by  $sw$ , the switching threshold.  
 470  $\beta$  and  $MP$  are as described for Eqn. (2). For reasons of clarity, we will refer to this variant of Eqn. (2) as  
 471 Eqn. (2,  $P_H, P_L, sw$ ). For the data of Fig. 2,  $\beta$  and  $MP$  had values of 0.276 and +0.2, respectively. The  
 472 total change modelled in this example was  $59.3\% - 22.7\% = 36.6\%$ , well above the standard deviation  
 473 likely from assessment of 150 keywords in the triad of lists used to assess each condition (4.1%).

474 In the results presented below, in order to check for possible bias in mean score from each triad of  
 475 lists used to assess each condition, the individual data were transformed into rationalised arcsine units  
 476 (RAU, Studebaker, 1985), and a grand mean was calculated for each triad, across the 16 listeners of each  
 477 group who were tested in the fully populated Latin Square. The triad means were normalised so that the  
 478 mean of the individual means was unity. The range of individual triad means was :

- 479 (1) for HA participants tested in their first session (IEEE lists 1-24), 0.94 to 1.13,
- 480 (2) for HA participants tested in their second session (IEEE lists 25-48), 0.90 to 1.09,
- 481 (3) for CI participants tested in their single session (IEEE lists 1-24), 0.89 to 1.12.

482 The individual scores for each triad were deliberately under-corrected by dividing by the mean  
 483 for each triad raised to an exponent of 0.75. This method of under correction and the particular exponent  
 484 value were selected because it minimised the total fitting error across all participants when modelling the  
 485 P-I functions for each participant, according to Eqn. (2,  $P_H, P_L, sw$ ). All scores were then converted back  
 486 to percent correct, and all further analyses were performed on these scores.

487 For the experiments reported here, percent word intelligibility as a function of switching  
 488 threshold is plotted in separate panels for each participant in Figures 3 (HA data) and 4 (CI data). The  
 489 HA panels comprise two data sets, one for OWN, and one for SIM aid. The logistic-function fits  
 490 produced by Eqn. (2,  $P_H, P_L, sw$ ) are plotted as solid lines with different colours (online version,  
 491 grayscale, print version). The parameters of the fitted functions are shown in Table IV(a) for the HA



492 participants, and Table IV(b) for the CI participants. Table IV also shows the difference score,  $(P_H - P_L)$ ,  
493 in percent, to indicate the scale of benefit from the high-rate modulations to both participant groups.

494 The general pattern of results for each participant in Figs. 3 and 4 follows that expected from Fig.  
495 2 above, with some noteworthy exceptions. In what follows, data were tested for normality before  
496 statistical analyses were performed and any instances in which the assumption of normality was violated  
497 is explicitly stated.

498

#### 499 **A. HA intelligibility data**

500 For some participants (H5, H6, H14, H16 and H18), performance with the two aids was remarkably  
501 similar, despite some differences in test SBRs. Most participants exhibited the expected 30-40% change  
502 in intelligibility between the all-H and all-L conditions  $(P_H - P_L)$ , for both OWN and SIM aids and the  
503 fitted functions fall close to the data. However, H2 (OWN), and H15 (OWN and SIM) showed very small  
504 changes (17.7, 13.9 and 21.1 %, respectively). Additionally, the low- $P_H$  score for H15 (OWN) would  
505 have been located on a portion of the intelligibility-SBR function that typically has a shallower slope,  
506 hence making differences hard to detect. The group mean values of  $(P_H - P_L)$  were 34.6 % and 36.4 %,  
507 for OWN and SIM aids respectively, which is similar to the previously reported 35.6 % of Stone *et al.*  
508 (2012a).

509

-----

510

Figure 3 about here

511

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#### 512 **B. CI intelligibility data**

513 In general the data for the CI participants were much noisier than for the HA participants, with some data  
514 difficult to interpret (C1 and C14). C9 and C13 showed an excellent ability to use the high-rate cues, with  
515 a near-50% change in intelligibility, in the same range as achieved by the best HA participants. It was  
516 more common for the CI participants to exhibit changes of 25 % or less (10/18 participants). The mean  
517 value of the benefit measure,  $(P_H - P_L)$ , was only 25.7 %.

518 -----

519 Figure 4 about here

520 -----

521 **C. Comparisons within the HA data**

522 ***1. The perceptual 'cost' of a clinically fitted versus a high-quality simulated hearing aid***

523 Due to the bypassing of the miniature microphone and receiver in the SIM aid, as well as a lack of non-  
524 linear signal processing, it was expected that the SIM aid would perform at least as well as the OWN aid  
525 and usually better. The protocol therefore called for the use of either the same, or lower, SBR as used in  
526 the OWN condition. Consequently, 10 out of 19 participants were tested at a lower SBR in the SIM  
527 condition, but with only a 1 or 2 dB difference.

528 In order to compare the data from the two HA systems at an equal SBR, the scores in the SIM  
529 condition were adjusted by a per-participant correction factor. The parameters of the logistic regression  
530 to the data obtained from the measuring of the adaptive tracks using Eqn. (2), which had been used to set  
531 the SBR used for testing, were also used to calculate the mean value of  $\beta$  ( $\beta$ , mean = 0.4182, SD=0.259)  
532 in the all-H processing condition. Although  $\beta$  could be expected to vary as a function of SBR, the per-  
533 participant adaptive tracks were noisy, and were only available calculated over two sentence lists.  
534 Averaging of the individual  $\beta$  over the participants produced a more reliable estimate. Assuming that  $P_{ceil}$   
535 can approach 100%, and  $P_{floor}$  to 0% (for an open set speech test), Eqn. (2) can be used to map a  
536 normalised P-I function using  $\beta$ . The SBR at which the reported value of  $P_H$  was achieved in the SIM  
537 condition with this normalised P-I function was noted. This SBR was then increased by the difference in  
538 SBR between the OWN and SIM condition, and the resulting prediction of intelligibility read off from the  
539 normalised P-I function at this new value of SBR. The full set of corrected values are shown in Table  
540 IV(a) as the final column, labelled  $P_{HCorr}$ . The adjusted values are shown in bold print.

541 A comparison of  $P_{HCorr}$  for the SIM condition against  $P_H$  for the OWN condition is shown in  
542 Figure 5.

543 -----

544 Figure 5 about here

545 -----

546 Of the 19 points of the data set, only one point lies below the diagonal line of performance equality. The  
547 mean score for the OWN aid was 61.5%, while the mean corrected score for the SIM aid was 71.1%. The  
548 mean difference in scores was 9.6%, with an SD of 6.7%. However, the difference data were not  
549 normally distributed, so a Wilcoxon signed-rank test was performed, which revealed a significant  
550 difference,  $z(18) = 8$ ,  $p < 0.0001$ . Using the normalised P-I function with the slope defined by  $\beta$ , the  
551 mean difference is equivalent to an SBR benefit of 1.0 dB in the SIM compared to the OWN condition.

552 A comparison of the difference scores,  $(P_H - P_L)$ , between the SIM and the OWN conditions  
553 showed a mean difference of 1.8%, with an SD of 7.7%, which was not significant  $t(18) = 1.00$ . The 1.0  
554 dB performance benefit of SIM over OWN therefore seems to be due to better access to all modulation  
555 rates rather than to just the high-rate cues.

556

## 557 **2. The ability to access low-level, high-rate envelope cues compared between the OWN and SIM aids.**

558 Despite the experiment being intended to measure individual performance, the individual data shown in  
559 Figs. 3 and 4 were ‘noisy’, making some results hard to interpret. Since  $P_H$  and  $P_L$  in Eqn. (2,  $P_H, P_L, sw$ )  
560 represent asymptotic values that were well sampled in the data, there was a risk that  $\beta$  and  $MP$  largely co-  
561 varied so as to minimise the fitting error. We therefore created a perceptually relevant measure of how  
562 far below channel RMS level the participants were able to extract high-rate envelope information, which,  
563 at the same time, linked  $\beta$  and  $MP$ . This ‘Valley’ measure,  $V_{10}$ , was defined as the value of switching  
564 threshold at which a 10% decrease in the relative change between  $P_H$  and  $P_L$  had been reached, *i.e.*, the  
565 introduction of low-rate cues in the valleys was just starting to reduce intelligibility. Across participants,  
566 the range of  $(P_H - P_L)$  varied between 13.9 and 57.2 %. Defining  $V_{10}$  as a fixed percentage change in  
567 intelligibility below the individual’s  $P_H$  would translate to operating at a different point on the transition  
568 region defined by Eqn. (2,  $P_H, P_L, sw$ ) for each individual. Choice of a relative measure effectively  
569 compares performance at the same relative point on the individual’s IIF, independent of its overall

570 magnitude ( $P_H - P_L$ ). Using Eqn. (2,  $P_H, P_L, sw$ ), normalising by setting  $P_H$  and  $P_L$  to 100 and 0,  
 571 respectively, we solve for  $V_{10}$ , the switching threshold when  $I = 90$  by using the individual's values of  $\beta$   
 572 and  $MP$ . This gives:

$$573 \quad V_{10} = MP - \log_e(9) / \beta \quad (\text{units of dB}) \quad (3)$$

574 Values for  $V_{10}$  are given in Table IV for all participants.

575 Data from three HA participants (H10, H12 and H18) were removed from this analysis. From  
 576 Fig. 3 it is seen that these participants had at least one trace that did not reach an asymptotic value of  $P_H$ ,  
 577 even by the lowest switching threshold tested. In previous work (Fig. 2), we observed asymptotic  
 578 performance at about  $-15$  dB relative to the channel RMS, and the associated  $V_{10}$  would be several dB  
 579 higher. Consequently, in order to remove outliers in  $V_{10}$  produced by poor fits to the data, if one of the  
 580 {SIM, OWN} data pair contained a value below  $-15$  dB, that pair was rejected. The choice of the cut-off  
 581 of  $-15$  dB was also near where there was a gap in the distribution of the individual data ( $-21.9$  and  $-18.2$   
 582 for H12 and H18, respectively).

583 -----  
 584 Figure 6 about here  
 585 -----

586 Figure 6 shows the scatter plot between the measures of  $V_{10}$  for both the SIM and the OWN aids.  
 587 The Pearson correlation coefficient for these data was  $r = 0.601$ ,  $14$  *df*, ( $p < 0.02$  two-tailed). This  
 588 significant correlation implies that the participants were performing in a similar fashion between  
 589 conditions. However, there was no significant difference between the  $V_{10}$  measures for the OWN and  
 590 SIM conditions (mean =  $1.59$ , SD =  $4.64$  dB,  $t(15) = 1.38$ , NS). We interpret this to mean that the  
 591 similarity in performance was due to factors related to the participant and, disappointingly, not the change  
 592 in processing between SIM and OWN conditions in permitting perceptual access to the valleys of the  
 593 channel envelopes.

594

595 **3. The possible influence of participant-related factors from the HA data set**

596 Partial correlations were performed between Test SBR and a number of variables, while controlling for  
597 the score on the digit span test. The aim of this analysis was to establish the extent to which cognitive  
598 factors may mask some interesting relations. The different partial correlations assessed whether Test SBR  
599 was related to  $P_H$ , access to high-rate envelope cues ( $P_H - P_L$ ), mean low-frequency audiogram (averaged  
600 over 250 and 500 Hz), mean high-frequency audiogram (averaged over 2, 3 and 4 kHz), and difference  
601 between the low-and high-frequency mean audiogram (a measure of audiogram slope). These revealed a  
602 correlation between Test SBR and ( $P_H - P_L$ ) (OWN aid,  $r = -0.530$ , 16 *df*,  $p = 0.024$ ; SIM aid,  $r = -0.601$ ,  
603 16 *df*,  $p = 0.008$ , uncorrected) indicating that participants tested at a high SBR received less benefit from  
604 the high-rate envelope cues. We will return to this in the Discussion.

605 In the same set of partial correlations, a correlation was observed between  $P_H$ , and ( $P_H - P_L$ )  
606 (OWN,  $r = 0.597$ , 16 *df*,  $p = 0.009$ ; SIM, *n.s.*). This hints that achieving a high difference score was  
607 limited by the starting value of  $P_H$ , and that, with a low starting value, a possible floor effect was  
608 introduced in the all-L condition, despite the goal of adjusting the test SBR so that the all-H condition  
609 achieved a score fairly high on the psychometric function. In practice this goal was not always met ; *eg*  
610 H15 had a  $P_H$  of 28% in the OWN condition (as seen in Table IV(a)). Post-hoc analysis of her data  
611 showed erratic performance during the setting of the Test SBR, at least for the OWN condition, which  
612 may well have continued during testing as well.

613 For the SIM aid, a correlation was observed between  $P_H$  and mean high-frequency audiogram ( $r =$   
614  $-0.675$ , 16 *df*,  $p = 0.002$ ), indicating a possible lack of audibility of the high-rate modulation components  
615 in the valleys of the signal due to the linear processing used. One might expect audibility also to have an  
616 effect on the maximum benefit obtained from high-rate modulations, but no correlation between ( $P_H - P_L$ )  
617 and mean high-frequency audiogram was observed.

618

#### 619 **D. Comparison of HA and CI data**

620 **1. The ability to access high-rate envelope cues compared between acoustic and electric hearing**  
621 ***prostheses.***

622 Figure 7 shows a histogram of  $(P_H - P_L)$  values for HA OWN and CI. The choice of bin spacing is  
623 arbitrary, but gives a smoothed representation of the data distributions. For both groups there was a wide  
624 scatter in the benefit achieved from the high-rate cues; the SDs of the HA and CI data sets were 10.4 and  
625 10.9% respectively. Using a two-tailed, unpaired  $t$ -test, the mean difference in  $(P_H - P_L)$  between the HA  
626 (OWN) and CI groups was 9.0% (standard deviation, SD, 3.5%), giving  $t(35) = 2.55, p = 0.015$ . CI users  
627 do not appear to be as able as the HA users to make use of high-rate modulation information. We will  
628 qualify this interpretation later.

629 -----  
630 Figure 7 about here  
631 -----

632 **2. The ability to access low-level high-rate envelope cues compared between HAs and CIs.**

633 The same  $V_{10}$  measure was generated from the CI data set using Eqn. (3). Data from participants  
634 C2 and C11 were excluded because their  $V_{10}$  measure (-28.6 and -18.2 dB, respectively) was less than -15  
635 dB, and likely erratic. Figure 8 shows the histograms of the  $V_{10}$  measures for the three hearing prostheses  
636 (OWN, SIM and CI). The pairs of mean, (SD) in dB for each device were (i) OWN : -5.9, (4.9) with 17  
637 participants, SIM -6.6, (5.5) with 18 participants, and CI -3.6, (5.7) with 16 participants. Pooling the data  
638 for the OWN and SIM conditions due to the non-significant difference reported in III.C.2 above, the  
639 difference between the HA and CI conditions gave a value of  $t(27) = -1.59, p = 0.10$ , also non-significant.

640 -----  
641 Figure 8 about here  
642 -----

643 **E. Relationships between two of the cognitive measures.**

644 For both participant groups, there were strong correlations between scores for the Trail Making task and  
645 the Digit Span Backwards tasks, (HA data :  $r = -0.686, 17 df, p < 0.001$ ; CI data:  $r = -0.675, 16 df, p =$   
646  $0.003$ ) but only weaker correlations between the other measures. Participant C7 ('pathological' Trail-

647 making score) was excluded from these correlations. The factor 'Age' did not correlate with any of the  
648 cognitive measures in the HA group and was weakly correlated with Digit Span Forward in the CI group  
649 ( $r = -0.491, p = 0.045, 16 df$ ).

650

#### 651 **F. Dependence of Test SBR on Age**

652 In the CI group there was a moderately strong dependence of Test SBR on Age, Pearson  $r = 0.659, (16$   
653  $df), p = 0.003$ . This was primarily due to four of the participants aged in excess of 65 years who were  
654 tested at SBRs of +15 dB or higher (C3, C7, C12 and C17). This dependence on Age was not apparent in  
655 either of the HA groups, Pearson  $r \leq 0.412, (17 df), p \geq 0.08$ , but a much narrower (and quantised) range of  
656 Test SBRs were used with these groups, compared to the CI group. This narrower range may mask a  
657 similar effect, but not observable without collecting much more data.

658

#### 659 **IV. DISCUSSION**

660 The perceptual cost in accessing envelope modulations by using a clinically-fitted non-linear hearing aid  
661 compared to a high-quality simulated linear hearing aid was measured as being 1 dB in SBR. This is  
662 similar to the disadvantage found for discriminating co-located speech-in-speech masking when  
663 comparing binaural linear HAs against unaided listening (Cubick et al. 2018). Although these individual  
664 costs, 1dB, appear small, because they come from differing aspects of the acoustic scenario (non-linear  
665 and binaural respectively), they have the potential to add up to a more significant disadvantage, especially  
666 if there are similar small disadvantages associated with other changes in the acoustic scenario.

667 Encouragingly, the benefit to intelligibility from high-rate modulation cues to both HA and CI  
668 users was similar on some measures, such as the perceptually relevant dynamic range over which these  
669 cues were available, but differed on others, such as the gain in intelligibility possible from these cues. In  
670 HA users, this gain in intelligibility was very similar to that previously reported by Stone et al. (2012),  
671 around 36%, but much less in CI users, around 26%. As with many studies, these interpretation needs to  
672 be qualified.

673

674 **A. Dependence of benefit from high-rate modulations on test SBR : a parallel with observations of**  
675 **masking release**

676         The dependence of ( $P_H - P_L$ ) benefit on Test SBR (section III.C.3 above) has a parallel in the  
677 work of Oxenham and Simonson (2009). They investigated the release from masking that occurred when  
678 extrinsic modulations were applied to a speech-spectrum-shaped noise. They observed a greater masking  
679 release at more negative SBRs. In their work, the masking release disappeared as the SBR approached 0  
680 dB. Further exploration by Bernstein and Grant (2009), and Bernstein and Brungart (2011), demonstrated  
681 that this occurred as a by-product of speech statistics, specifically the peaked shape of the speech IIF (*i.e.*  
682 the perceptual statistics, and not the statistics of the physical distribution of speech levels, Dunn & White,  
683 1940). At low SBRs only the peaks of speech were not masked by the continuous noise, and these peaks  
684 occurred for a very small fraction of time: listeners would only be able to access a small portion of the  
685 IIF. Introduction of dips in the masker fluctuations briefly unmasked levels of the speech distribution that  
686 had a higher perceptual importance, whereas the peaks of the fluctuating masker only reduced access to  
687 parts of the speech signal which had a lower importance. The sum of the importance made more  
688 accessible became greater than the sum made less accessible, leading to a benefit in intelligibility.  
689 However, at high SBRs, the slope, and hence cumulative importance of the IIF distribution changes. At  
690 high SBRs a large proportion of the IIF is available and the introduction of masker fluctuations does not  
691 introduce proportionately as much extra cumulative importance in the dips compared to that lost by the  
692 peaks of the fluctuating masker. The resulting difference between the gains and losses in cumulative  
693 importance is much smaller, hence a smaller benefit from masker fluctuations is observed. A similar  
694 explanation would suffice for the dependency of ( $P_H - P_L$ ) benefit being observed here at lower SBRs.  
695 However, the fact that this was observed while the SBR was still positive, compared to previously at  
696 negative SBRs, is probably related to our use of a speech, rather than a steady masker. The speech  
697 masker has a wider distribution of short-term (10-ms or greater) levels than a continuous masker. The  
698 peaks of the speech masker extend up to 11 dB above the mean level (1-% exceedance level, the level



699 exceeded by the signal for only 1 % of the time, Moore *et al.*, 2008) and so can reach levels sufficient to  
700 interfere with the target speech in its mid-range levels while at positive SBRs.

701 Using this finding (observed in the HA users), it is therefore possible that the benefit to  
702 intelligibility reported here by the CI users from the high-rate envelope cues may have been under-  
703 estimated since CI users were, on average, tested at higher SBRs than those used for the HA users. A  
704 more nuanced comparison of test SBRs between the two groups is left to Section IV.B below.

705

706 **B. Comparison of the relative access to high-rate modulations provided by HAs or CIs despite**  
707 **differences in processing and delivery**

708 The means and (SDs) of the Test SBRs for the two groups were 7.9 and (1.4) dB for HA OWN and 12.4  
709 and (4.4) dB for CI, respectively. This 4.5 dB difference was significant,  $t(35) = 4.20$ , ( $p < 0.001$ ). As  
710 previously noted, some of this difference was driven by a small number of the older CI participants tested  
711 at SBRs exceeding +15 dB. Excluding these participants altered the CI Test SBR mean and (SD) to 9.6  
712 and (2.1) dB, reducing the difference to 1.7 dB, ( $t(28) = 2.45$ ,  $p = 0.02$ ). Despite the difference in Test  
713 SBR, and apart from the difference in amount of benefit of access to high-rate envelope cues (Fig. 7),  
714 there was a lack of other differences between other measures of HA and CI performance, such as the  
715 across-group scatter in benefit of high-rate cues, and the similarity of the  $V_{10}$  measure. This suggests that,  
716 on average, the signal processing in CIs is leading to a perceptual performance using envelope  
717 modulations that is similar to the delivery of modulation information via an acoustic hearing aid. The  
718 perceptual cost of the simplification of modulation information for electrical stimulation does not render  
719 too much modulation information inaccessible (as inferred from the loss of about 1.7dB SBR). It should  
720 be noted, however, that the CI participants were pre-selected to be at the better end of performance on  
721 clinical tests of speech intelligibility (greater than 70% word intelligibility at an SBR of +10 dB for BKB  
722 sentences presented in speech-spectrum shaped noise).

723

724 **C. The overall pattern of the results**

725 For several participants, the fitted functions show a step change in intelligibility over a narrow range of  
726 switching threshold, but where the fits look very good (H15 OWN, H15 SIM, C5, C12 and C18).  
727 Conversely, there are a few participants whose data also exhibit this step change, but are poorly fitted to  
728 the data (H11 SIM, H12 SIM, H15 OWN, H15 SIM, and C1, C3, C10, C14, C15, C17). It is noteworthy  
729 that this degree of heterogeneity in response is similar across both groups. One possible explanation is  
730 variation in attention or fatigue during the test. Each condition was tested with 30 sentences, and took at  
731 least 10 minutes to complete. If attention varied during the test session, performance in some individual  
732 conditions may have been unrepresentative of stable performance. A faster rotation of the within-  
733 participant test condition by use of smaller blocks of sentences may have provided a better form of  
734 counterbalancing.

735         Although participants exhibited a wide range of abilities to access high-rate modulations in a  
736 vocoded signal, on a group basis, the intermediate processing conditions between the all-H and all-L  
737 conditions did not produce any extra insight into participant or device behaviour. This may have  
738 happened because the processing reported here assesses performance with a wide-band signal (speech) to  
739 produce a single IIF. If the abilities of the participant varied across frequency then an average IIF may  
740 not reflect frequency-specific IIF performance. It is also possible that the IIF for high-rate modulations  
741 varies across frequency (as with audio frequency IIFs, Studebaker & Sherbecoe, 2002). Hence a single  
742 figure-of-merit, such as the  $V_{10}$  measure, may be insufficient to capture the subtlety of the variation in  
743 success of modelling individual results.

744         The overall pattern of results suggest that refining the accuracy of this processing technique  
745 would centre on:

- 746 (1) Homogenisation across participants of Test SBR and intelligibility achieved in the  $P_H$  condition, such  
747 as by the use of audio-visual cues or reduced-size speech corpora (Bernstein & Grant, 2009; Bernstein  
748 and Brungart, 2011).
- 749 (2) Further interleaving of test conditions to overcome short-term variations in possible fatigue.

750 (3) Exploration of whether the step change in fitted P-I functions observe in Figs. 3 and 4 is consistent in  
751 participants, and to what it could be related, such as an unoptimised match of hearing prosthesis to the  
752 participant.

753

## 754 **V. CONCLUSIONS**

755         The ability to benefit from high-rate envelope modulations ( $> 16$  Hz) in a two-talker separation  
756 task using tone-carrier vocoded processing was explored as a function of depth in the channel envelopes  
757 at which the high-rate information was made available. The Signal-to-Background Ratio (SBR) was  
758 adjusted for each participant in order to set best performance to about 70% so that the effect of processing  
759 was measured on the steepest part of the Performance-Intensity functions.

760         For HA participants, the 'cost' of a clinically fitted non-linear hearing aid over a simulated linear  
761 aid with the same insertion gain in accessing these higher-rate modulations was estimated as a 1.0 dB loss  
762 of SBR (for a competing talker background). The dynamic range of modulations made accessible by the  
763 processing did not seem to differ between the clinical and the simulated aids. There was no evidence of a  
764 distortion of high-rate cues by the OWN aid over and above the generally poorer performance across all  
765 rates of modulation.

766         The finding of a negative correlation between the Test SBR and the degree of benefit obtained  
767 from the high-rate modulations by the HA participants appears to be another example where the statistics  
768 of speech perception (as measured by modulation-based intensity importance functions, IIFs), and not just  
769 hearing ability, influence results. This is similar to the findings of Bernstein and Grant (2009) who  
770 explained their results in terms of full-audio IIFs. The similarities between the two unrelated experiments  
771 re-emphasises the need to control for Test SBR when comparing results across participants.

772         CI participants were much less able than HA participants to make use of high-rate envelope cues.  
773 Apart from one star performer, C15, CI participants were generally tested at higher SBRs. The  
774 demonstration among HA participants, that Test SBR influences the degree of benefit obtained, suggest  
775 that even the lower degree of benefit from the high-rate cues among the CI participants could partly be

776 due to speech statistics rather than the underlying deficits caused by the more severe hearing losses that  
777 are a pre-requisite for cochlear implantation.

778         A further note of optimism for the CI population was that the dynamic range over which they  
779 could access high-rate modulation cues was very similar to that of the HA population. Despite the highly  
780 synthetic signal delivered via a CI, and uncertainties about matching stimulation to the non-linearities at  
781 the neural interface, it is encouraging to see that CI processing is able to enable a functionally similar  
782 access to speech cues.

783

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792

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941

942 **TABLE I.** Demographic data for the participants using hearing aids, including cognitive test  
 943 results (Digit Span and Trail Making, see section II.C for precise details). (Abbreviations:  
 944 RsndDan = Resound Danalogic, Prog = Progressive, NG = not given in data sheet, NIHL =  
 945 Noise Induced Hearing Loss, \* denotes that other compression systems occur in series, so other  
 946 attack and release times may be in play).

Subject Identifier	Gender	Age at Testing (Years)	Diagnosis	HA Make	HA Model	Years of Hearing Impairment	Compression: number of channels ( $N$ ), attack & release times (ms)	Years of Hearing Aid Use	( $L=Left$ , $R=Right$ )Ear Tested	Pure Tone Average, dBHL (1,2 & 4 kHz)	Test SBR dB 'OWN'	Test SBR dB 'SIM'	Digit Span (Forward)	Digit Span (Backward)	Trail Making (secs)
H1	F	64.4	Ototoxicity	Oticon	Spirit Zest	2	$N=NG$ $NG,NG$	2	L	43	5	5	14	9	16
H2	M	73.4	Presbycusis	Oticon	Spirit Zest	Prog	$N=NG$ $NG,NG$	5	R	53	8	8	10	6	30
H3	M	69.1	Presbycusis	RsndDan	i-FIT 71	>3	$N=17$ $12^*,30^*$	>3	L	37	8	8	12	8	22
H4	F	59.8	Presbycusis	RsndDan	i-FIT 71	>2	$N=17$ $12^*,30^*$	1.5	L	37	8	6	11	7	18
H5	M	70.2	Unknown	Oticon	Spirit Zest	10	$N=NG$ $NG,NG$	9	R	50	6	6	9	5	30
H6	M	71.3	NIHL/Ototoxic	Oticon	Spirit Synergy	8	$N=NG$ $NG,NG$	6	L	33	7	5	14	9	16
H7	F	67.2	Unknown	RsndDan	i-FIT 71	>10	$N=17$ $12^*,30^*$	9	R	32	7	7	8	4	35
H8	M	72.8	NIHL	RsndDan	i-FIT 71	>10	$N=17$ $12^*,30^*$	8	L	38	7	5	13	11	19
H9	M	69.6	NIHL	Oticon	Spirit Zest	7	$N=NG$ $NG,NG$	7	L	53	8	8	10	3	50
H10	M	73.7	Menieres	Oticon	Spirit Zest	>10	$N=NG$ $NG,NG$	>10	L	50	10	9	9	8	36
H11	M	74.2	Unknown	Oticon	Spirit Zest	>20	$N=NG$ $NG,NG$	20	R	47	8	7	16	8	21
H12	M	75.5	Presbycusis	RsndDan	i-FIT 71	10	$N=17$ $12^*,30^*$	5	R	52	7	5	11	6	30
H13	F	74.4	Unknown	RsndDan	i-FIT 71	>10	$N=17$ $12^*,30^*$	>10	L	62	10	10	13	6	30

*High-modulation-rate envelope cues in auditory prostheses*

H14	F	57.6	Familial	RsndDan	i-FIT 71	>20	N=17 12*,30*	12	R	43	7	5	8	2	48
H15	M	72.7	NIHL	Phonak	Nathos Micro	>20	N=16 1, 50	14	L	58	10	10	9	6	27
H16	F	68.4	Presbyacosis	RsndDan	i-FIT 71	>10	N=17 NG,NG	11	L	50	7	5	6	5	34
H18	F	75.5	Trauma / Presbyacosis	RsndDan	i-FIT 71	>10	N=17 12*,30*	>6	R	40	8	6	9	11	20
H19	F	71.8	Presbyacosis	Phonak	Nathos Micro	>10	N=16 1, 50	2	R	58	9	9	8	6	21
H25	M	72.5	Presbyacosis	RsndDan	Linx	>10	N=17 NG,NG	2	R	58	10.3	9.6	11	8	47

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950 **TABLE II.** Demographic data for the participants using cochlear implants, including cognitive  
 951 test results (Digit Span and Trail Making, see section II.C for precise details). Abbreviations:  
 952 NR = noise reduction, Dir = directional microphone, Multi-prog = multiple programs available,  
 953 NK = not known, CSOM = Chronic suppurative otitis media.

Subject Identifier	Gender	Age at Testing (Years)	Diagnosis	Implant Make	Implant Model	Number of Active Channels	(NR/Dir/Multi-prog)Processor features	(pre implantation)Years of Deafness	Years of Implant Use	Test SBR (dB)	Digit Span (Forward)	Digit Span (Backward)	Trail Making (secs)
C1	M	49.9	Meningitis	Cochlear	Freedom	18	N/Y/N	5	24.9	10	14	8	23
C2	M	50.6	Progressive	Medel	Opus 2	12	Y/N/Y	Progressive over 20 years	1.4	12	9	8	18
C3	M	70.6	Progressive	Medel	Opus 2	12	Y/N/Y	5	1.5	18	9	6	21
C4	M	45.9	Progressive	Medel	Opus 2	12	Y/N/Y	9	1.0	8	8	7	20
C5	F	55.8	Progressive	Cochlear	CP810	20	Y/Y/Y	20	4.2	9	9	7	17
C6	F	44.6	Progressive (Idiopathic)	Cochlear	CP910	22	Y/Y/Y	11	13.1	12	11	8	21
C7	M	70.9	Progressive (Idiopathic)	Cochlear	CP910	20	Y/Y/Y	NK	NK	18	9	3	> 300
C8	M	65.4	CSOM	Medel	Opus 2	12	Y/N/N	2	1.8	13	8	8	30
C9	F	22.1	Progressive	Medel	EAS Duet Opus 2	9	Y/Y/Y	6	9.7	10	12	4	37
C10	F	43.3	Meningitis	Cochlear	CP810	20	Y/Y/Y	0.25	17.1	9	15	11	16
C11	M	43.6	Head Injury	Cochlear	CP810	21	Y/Y/Y	3	9.8	13	9	6	18
C12	F	67.2	Congenital Rubella	Medel	Opus 2	9	Y/N/N	Progressive over many years	15.1	20	8	4	30
C13	M	65.8	Menieres	Medel	Tempo+/Opus 2 (Bilateral - tested Tempo+ only)	8	Y/N/Y	3	20.0	10	12	10	19.5
C14	M	82.0	Idiopathic (Sudden)	Cochlear	Freedom	21	Y/Y/Y	4	19.0	19	8	5	25
C15	F	51.6	Progressive (Familial)	Cochlear	CP810	20	Y/Y/Y	Progressive over many	10.4	5	12	8	16

*High-modulation-rate envelope cues in auditory prostheses*

								years					
C16	F	63.6	Progressive	Medel	Rondo	10	Y/N/Y	7	17.6	10	12	6	22
C17	F	76.6	Familial Progressive	Cochlear	CP910	18		Progressive over many years	13.0	18	7	4	29
C18	M	55.8	Head Injury	Cochlear	CP910	20	Y/Y/Y	18	16.5	10	12	9	18

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957 **TABLE III.** Channel edge, tone-carrier and bandwidth (BW) frequencies, all in Hz, for the 16-  
958 channel vocoder used in the HA processing experiments.

959 Edge : 100 179 276 397 548 734 964 1250 1604 2043 2588 3263 4099 5136 6422 8015 9990  
960 Carrier : 139 228 337 473 641 849 1107 1427 1824 2316 2925 3681 4618 5779 7218 9002  
961 BW: 79 97 121 151 184 230 286 354 439 545 675 836 1137 1286 1593 1875

961

962

963 **TABLE IV.** Parameters from curve fittings to the data of Figs. 3 and 4, using Eqn. (2,  $P_H$ ,  $P_L$ ,  
 964  $sw$ ). “Id” denotes “Subject Identifier”, as used in Tables I and II. Values of  $P_H$  and  $P_L$ , and their  
 965 differences, are expressed in percent. For the HA SIM group,  $P_{HCorr}$  is the predicted  $P_H$  as if  
 966 tested at the same SBR as HA OWN. Values to which corrections have been made are shown in  
 967 bold. See text for details of this correction.  $V_{10}$  indicates the ‘valley measure’, in dB, described  
 968 in section III.C.2.

969 (a) Hearing aid (HA) participants.

970	OWN							SIM						
971	Id	$P_H$	$P_L$	$\beta$	MP	$P_H - P_L$	$V_{10}$	$P_H$	$P_L$	$\beta$	MP	$P_H - P_L$	$P_{HCorr}$	$V_{10}$
972	<hr/>													
973	H1	56.1	13.6	0.216	0.2	42.4	-10.0	65.9	15.5	0.130	4.3	50.5	65.9	-12.6
974	H2	40.4	22.7	1.777	-1.6	17.7	-2.8	49.8	24.8	0.232	-3.9	25.0	49.8	-13.4
975	H3	72.4	44.1	0.142	5.8	28.2	-9.7	83.2	55.8	0.197	1.6	27.3	83.2	-9.6
976	H4	74.2	37.3	1.386	5.2	37.0	3.6	69.5	26.0	0.304	3.2	43.6	<b>84.0</b>	-4.0
977	H5	43.8	3.3	0.506	2.8	40.5	-1.5	48.7	5.1	0.543	5.2	43.6	48.7	1.1
978	H6	76.4	45.4	0.201	-3.1	31.1	-14.1	71.2	27.4	0.172	-1.5	43.7	<b>85.1</b>	-14.3
979	H7	50.3	20.4	0.229	2.6	29.9	-7.1	67.8	40.2	0.324	1.2	27.5	67.8	-5.6
980	H8	71.8	14.6	0.270	4.6	57.2	-3.5	63.1	19.5	0.325	2.5	43.6	<b>79.8</b>	-4.3
981	H9	53.9	26.1	0.168	4.1	27.8	-9.0	66.0	25.5	0.204	2.2	40.5	66.0	-8.5
982	H10	65.9	34.3	0.184	5.1	31.5	-6.8	64.1	28.8	0.132	1.5	35.3	<b>73.1</b>	-15.2
983	H11	55.7	26.7	0.175	-0.9	29.1	-13.5	69.4	54.8	6.215	-7.0	14.6	<b>77.5</b>	-7.4
984	H12	64.9	25.0	0.140	-6.2	39.9	-21.9	61.5	26.6	7.700	-2.0	34.9	<b>78.7</b>	-2.3
985	H13	47.9	19.6	0.340	-0.2	28.3	-6.7	56.6	23.5	0.203	1.7	33.1	56.6	-9.1
986	H14	76.9	41.6	0.348	4.8	35.3	-1.5	73.0	34.1	0.340	-0.1	38.9	86.2	-6.6
987	H15	28.0	14.1	21.52	0.2	13.9	0.1	47.1	26.0	7.521	5.9	21.1	47.1	5.6
988	H16	73.2	26.6	0.284	5.1	46.6	-2.7	68.1	14.4	0.236	2.9	53.7	<b>83.2</b>	-6.5
989	H18	78.2	29.4	0.224	-0.7	48.8	-10.6	74.7	26.7	0.166	1.7	48.0	<b>87.2</b>	-11.5
990	H19	77.6	37.8	0.165	-4.9	39.8	-18.2	67.4	35.1	7.387	1.8	32.3	67.4	1.5
991	H25	60.5	28.2	0.509	0.3	32.3	-4.1	56.3	22.8	0.144	3.4	33.4	<b>63.3</b>	-11.9

992

993 Means and (SD) of  $(P_H - P_L)$  : OWN 34.9 (10.4), SIM 36.4, (10.3) percent.

994

995 **TABLE IV (cont).**

996 (b) Cochlear implant (CI) participants

997	Id	$P_H$	$P_L$	$\beta$	$MP$	$P_H - P_L$	$V_{10}$
998							
999	C1	46.9	30.7	7.288	-2.0	16.2	-2.3
1000	C2	61.0	33.4	0.084	-2.4	27.6	-28.6
1001	C3	80.3	56.6	7.054	2.1	23.8	1.8
1002	C4	58.4	29.9	0.219	1.7	28.5	-8.4
1003	C5	36.8	16.4	6.063	2.2	20.4	1.8
1004	C6	78.6	46.0	0.169	-1.7	32.6	-14.7
1005	C7	61.4	46.5	0.569	0.7	14.9	-3.2
1006	C8	60.2	36.1	0.889	0.7	24.1	-1.8
1007	C9	54.5	5.8	0.418	1.1	48.7	-4.2
1008	C10	44.4	32.1	18.48	10.0	12.4	7.7
1009	C11	59.8	34.5	0.147	-3.3	25.3	-18.2
1010	C12	56.7	21.2	6.902	1.8	35.4	1.5
1011	C13	48.1	0.0	0.241	3.0	48.1	-6.1
1012	C14	52.8	39.9	5.622	-6.7	12.8	-7.1
1013	C15	54.5	32.8	6.553	1.9	21.6	1.6
1014	C16	58.2	23.3	0.346	-1.2	34.9	-7.5
1015	C17	63.7	46.4	0.842	-9.1	17.3	11.7
1016	C18	62.5	44.8	15.87	-4.8	17.7	-4.9

1017

1018 Mean and (SD) of  $P_H - P_L$ : 25.7 (10.9) percent.

1019



1020 **Figure captions**

1021 FIG. 1. Mean audiogram data for the tested ears of the HA group. Errorbars show  $\pm 1$  standard  
1022 deviation (SD).

1023 FIG. 2. Data from condition H/L of Stone et al. (2012a), plotting average word intelligibility as  
1024 a function of switching threshold for a group of 12 subjects with a simulated HA, all  
1025 tested at an SBR of +5 dB.

1026 FIG. 3. Word intelligibility plotted as a function of switching threshold for individual subjects  
1027 using HAs and fits to the data. For all panels, the ordinate spans a range of 80%, but with  
1028 varying offsets. Each panel is labelled with the participant identifier as well as the test  
1029 SBRs in dB as a subscript pair (OWN, SIM). (Color online)

1030 FIG 4. Individual CI participant data plotted as word intelligibility as a function of switching  
1031 threshold, as well as regression fits to these sets. For all panels the ordinate spans a range  
1032 of 65%, but with varying offsets. Each panel is labelled with the participant identifier as  
1033 well as the test SBR in dB, as a subscript.

1034 FIG. 5. Scatterplot of SBR-corrected  $P_H$  (i.e.  $P_{HCorr}$ ) for the HA SIM condition against  $P_H$  for the  
1035 HA OWN condition. All measures are in percent correct. The diagonal line indicates  
1036 where the points would lie if intelligibility were equal for the two conditions. (Color  
1037 online)

1038 FIG. 6. Comparison of the Valley measures,  $V_{10}$ , in the SIM condition and the OWN condition,  
1039 excluding pairs where  $V_{10}$  was less than -15 dB. (Color online)

1040 FIG. 7. Histograms of  $(P_H - P_L)$ , the benefit in percentage intelligibility gained from the high-  
1041 rate cues for the CI group (light/yellow bars) and the HA OWN group (dark/blue bars).  
1042 (Color online)

1043 FIG. 8. Histogram of the Valley measure,  $V_{10}$ , across all three aiding conditions, but excluding  
1044 individual data where the valley measure was less than  $-15$  dB. (Color online)

















