



## Health and Social Care Systems

[Link to publication record in Manchester Research Explorer](#)

### **Citation for published version (APA):**

Coleman, A., Shields, J., & Gilling, T. (2020). Health and Social Care Systems. In A. Bonner (Ed.), *Local Authorities and the social determinants of health* Bristol University Press.

### **Published in:**

Local Authorities and the social determinants of health

### **Citing this paper**

Please note that where the full-text provided on Manchester Research Explorer is the Author Accepted Manuscript or Proof version this may differ from the final Published version. If citing, it is advised that you check and use the publisher's definitive version.

### **General rights**

Copyright and moral rights for the publications made accessible in the Research Explorer are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

### **Takedown policy**

If you believe that this document breaches copyright please refer to the University of Manchester's Takedown Procedures [<http://man.ac.uk/04Y6Bo>] or contact [uml.scholarlycommunications@manchester.ac.uk](mailto:uml.scholarlycommunications@manchester.ac.uk) providing relevant details, so we can investigate your claim.



# Health and social care systems

*Anna Coleman, Jolanta Shields and Tim Gilling*

## Introduction

The National Health Service (NHS) has a key role in improving population health in Britain. Yet despite progress, health inequalities persist (PHE, 2018a), as noted in Chapters 1 and 2. Life expectancy has increased for some groups, including those living with complex, multiple and chronic conditions, but others have experienced a widening of the inequality gap (Raleigh, 2018); see Chapters 1 and 2 for a social determinants perspective on health inequalities. The demographic shift towards an ageing and growing population places new demands on the health care system, raising questions about its long-term sustainability (Guzman-Castillo et al, 2017). Successive governments have pursued centralisation, delegation, devolution and privatisation as means of addressing growing demands across the health and social care system (Peckham et al, 2005). Recently, in England, a clear consensus has emerged to embed (public) health in all policies (HiAP), taking into account wider determinants of health and including prevention (LGA, 2016).<sup>1</sup> Local Authorities (LAs) are seen to be uniquely positioned to facilitate this transformation, being close to local communities with an understanding and responsibility for issues such as environment, employment, housing and education. These socio-economic factors are seen to significantly impact on people's health and wellbeing in a way that the NHS cannot address alone. The NHS Long Term Plan (NHSE, 2019) and the earlier 'Prevention is Better than Cure' document (DHSC, 2018) set out the government's ambition to reshape the existing health care model by strengthening public health and prioritising primary medical and community health services. Central to this are Integrated Care Systems (ICSs), planned to be in place across the whole of England by April 2021, which provide a stronger basis from which the NHS, LAs and other organisations can work together on prevention, wellbeing and health. However, on average, local government spending on services has fallen by 21 per

1 cent in real terms since 2009–10 and these cuts have not been equally  
2 distributed across the country, being greater in more deprived areas  
3 (Amin-Smith and Phillips, 2019, p 2).

4 Against this backdrop, this chapter offers a critical analysis of the  
5 role of LAs in relation to promoting health and wellbeing for local  
6 communities. Taking Dahlgren and Whitehead's 'rainbow model'  
7 (1991) as a starting point (see Preface to this volume), the chapter  
8 traces recent policy initiatives and illustrates the complexity involved  
9 in tackling inequalities in the newly emerging health care systems.  
10 The chapter begins by briefly outlining the relevant policy and  
11 legislative context to better understand the role of LAs in advancing  
12 the public health agenda. It then draws on the example of health  
13 and social care devolution in Greater Manchester (GM) to illustrate  
14 both the opportunities and challenges faced by local organisations  
15 in operationalising and implementing national policy in the context  
16 of austerity.

17

## 18 **The wider policy context**

19

20 The role of local government in public health functions, as detailed  
21 in Part III of this volume, can be traced to the social and economic  
22 developments in the 19th century that resulted in rapid urbanisation  
23 with poor housing and workplace provision (Gorsky et al, 2014).  
24 Since then, public health duties have expanded, conferring more  
25 responsibility for population health on local government. However,  
26 public health functions were incorporated within the NHS in 1974  
27 and did not return until 2013 (see Chapter 16). The White Paper  
28 *Healthy Lives, Healthy People* (Department of Health, 2010), informed  
29 by the findings from the Marmot Review (Marmot et al, 2010),  
30 proposed a new approach to public health whereby 'local government  
31 and local communities [...] [would be] at the heart of improving health  
32 and wellbeing for their populations' (Department of Health, 2010,  
33 p 4). This was a significant shift from prioritising clinical treatment  
34 to prevention of illness with a focus on interventions to address the  
35 wider determinants of health (identified by Dahlgren and Whitehead,  
36 1991) seen to be closely aligned with the functions of LAs, examples  
37 of these being housing, leisure, transport and planning. The Health  
38 and Social Care Act (HSCA12, ref 195(1)) transferred responsibility  
39 for health improvement (as part of public health responsibilities) to LAs  
40 and obliged them to establish Health and Wellbeing Boards (HWBs)  
41 in their local area to work closely with Clinical Commissioning  
42 Groups (CCGs), NHS England and local communities through local

Healthwatch (the independent advocates for people who use health and social care services).

The HSCA12 also created Public Health England (PHE), an executive agency of the Department of Health and Social Care, as well as the other bodies detailed in Table 3.1. These changes echoed the wider commitment of the Coalition government, articulated under the Localism Act (2011), aimed at devolving decision-making powers from national government to the local level. The landscape is complex as, for example, responsibilities for improving the health of local populations, including a reduction in health inequalities, sit with upper tier and unitary local authorities (those with social services responsibilities), while the delivery of some public health functions, including protection and promotion of health (for example, immunisation and screening services), rests with the NHS.

The previous 20 years had seen numerous calls for health and care services and other services impacting on the wider determinants of health to become more integrated. However, in England there has long been a fundamental tension as NHS services are free at the point of use and means-tested social care is provided by local government. Policy initiatives in England have included the 2006 NHS Act Section 75 flexibilities, HWBs, the Better Care fund (from 2013) and

**Table 3.1:** Selected healthcare and associated organisations introduced under HSCA12

Organisation	Description
Clinical Commissioning Groups (CCGs)	Health commissioning organisations replacing Primary Care Trusts (PCTs) in April 2013. Responsible for planning and buying of NHS healthcare. CCGs are membership organisations led by family doctors (GPs) to gain a clinical voice.
NHS England (NHSE)	Arm's-length executive body with delegated (via annual mandate) responsibility to deliver health services. It sets the priorities and direction of the NHS and encourages the national debate to improve health and care.
Public Health England (PHE)	An executive agency, sponsored by the Department of Health and Social Care, which exists to protect and improve the nation's health and wellbeing, and reduce health inequalities. It advises government and supports action by local government, the NHS and the public, and health protection.
Health and Wellbeing Boards (HWBs)	Hosted by upper-tier local authorities, bringing together the NHS, public health, adult social care and children's services, including elected representatives and others, to plan how best to meet the needs of their local population and tackle local inequalities in health. Set local strategic direction.

1 Integrated Care pilots (from 2013). There were also some wider public  
 2 sector initiatives (including elements of health, local authorities and  
 3 national government) introduced to promote place-based approaches  
 4 to funding and service configuration, including Total Place Pilots in  
 5 2009, Whole Place community budgets in 2011, the 2013 troubled  
 6 families initiative and latterly English devolution deals (Miller and  
 7 Glasby, 2016).

8 The Five Year Forward View (NHSE, 2014) called for a ‘radical  
 9 upgrade in prevention and public health’ with ‘stronger public-health  
 10 related powers for local government and elected mayors’ giving shared  
 11 responsibility for health and social care of local population (NHSE,  
 12 2014, para 4). The document consolidated earlier efforts for joint  
 13 working and local health leaders were asked to come together in  
 14 44 geographically defined ‘footprints’ across England, to produce  
 15 Sustainability and Transformation Plans (STPs) (later known as  
 16 Partnerships) for transforming services using an allocated funding  
 17 envelope (NHSE, 2014). It also introduced New Care Models, with  
 18 50 ‘Vanguards’ selected to trial the development of new ways of  
 19 integrated working (Checkland et al, 2019). At the same time, many  
 20 initiatives focused on integrated person-centred care involving both  
 21 the NHS and LAs (see Table 3.2).

22 The NHS Long Term Plan (NHSE, 2019) sets out the ambition  
 23 for joined-up care that reduces dependency on emergency care and  
 24 supports local approaches to blend health and social care budgets,  
 25

26 **Table 3.2:** Initiatives introduced to focus on integrated person-centred care  
 27

28 Initiative	Description
29 Better Care Fund	2015–16 £3.8 billion of pooled funding into a single budget for health and social care services (2015–16) to work closely together to protect adult social services while reducing demand for acute beds. Provided a context where the NHS and local authorities work together, as equal partners, with shared objectives. Plans are developed in a local area by the relevant CCG(s) and owned by the relevant HWB.
35 Personal Health Budgets	Part of the NHS’s comprehensive model of personalised care to support healthcare and wellbeing of individuals. Planned and agreed between an individual and the CCG.
38 Troubled Families Programme	Administered by the Department for Communities and Local Government and funded by central government, it involves LAs identifying and working with ‘troubled families’ via dedicated workers, and only receiving payment on the successful completion of the case; for instance, moving a family into permanent employment.

among other initiatives (NHSE, 2019, p 4). Under ICSs, NHS organisations, in partnership with LAs and others, will take collective responsibility for managing resources and improving the health of the population they serve. The long-awaited Adult Social Care Green Paper is also expected to strengthen the approach, prioritising person-centred integrated care. Local government has a vital role to play in delivering this agenda through its public health and social care functions. Local communities are integral to the health care system and play an important part at population and individual level (often asset-based), with individuals taking greater responsibility for their health and wellbeing. However, budget pressures in adult social care present challenges across many areas.

### **Place based planning and integrated care systems**

The strength of place-based initiatives in England is that they focus on the impact of the wider determinants of health, not just ill-health. In turn, this draws attention to the key functions of LAs, which were described by Lyons (2007, p 51) as ‘place-shaping’ – ‘the creative use of powers and influence to promote the general well-being of a community and its citizens’. The legitimacy of LAs as ‘democratically accountable stewards’ is central to this approach, allowing LAs to respond and shape services in the way that these are responsive to local needs (Department of Health, 2011, p 1). As planning authorities, LAs, for instance, have an opportunity to influence the built environment so that it supports adopting healthy lifestyles (leisure, transport, housing, and so on). For example, a refreshed memorandum of understanding (PHE, 2018b) was signed by over 25 stakeholders in 2018, emphasising the importance of housing in supporting health and setting out a shared commitment to joint action across government, health, social care and housing sectors in England. Likewise, the licensing powers of LAs allow them to consider the wider impact of fast food and gambling outlets, particularly if these are to be established close to schools. For example, in Sheffield, the council adopted an innovative approach by framing tobacco and obesity as commercial determinants rather than lifestyle choices, shifting investment directly towards control and enforcement as well as interventions that sought to change public attitudes (LGA, 2019a). In 2013, Coventry City Council became a ‘Marmot City’, an initiative spanning seven LAs working with public and voluntary sector organisations on innovative projects that aimed to reduce inequalities by embedding six policy objectives of the Marmot Review (2010).<sup>2</sup> Since adopting the status, Coventry has reported



1 a narrowing of the life expectancy gap between the most affluent  
2 and most deprived and improvements in educational, employment  
3 and health outcomes (Faherty and Gaulton, 2017). Attention has  
4 additionally been drawn to the fact that LAs are major employers  
5 as well as ‘anchor intuitions’ closely connected to the wellbeing  
6 of the populations they serve (LGA, 2018a). The Department for  
7 Communities and Local Government (DCLG, 2017) estimated in  
8 2017 that the total procurement expenditure of LAs stood at over  
9 £60 billion, meaning these organisations also have the potential to  
10 indirectly impact on the lives and ‘the conditions of many more  
11 workers that they do not employ’ (LGA, 2018a, p 27).

12 The focus on ‘place’ as a source of problems as well as solutions is not  
13 new, and has been widely used in regeneration studies (Lawless et al,  
14 2010). In particular, New Labour’s Neighbourhood Renewal (SEU,  
15 2001) set out to tackle inequality and social exclusion by empowering  
16 local communities and strengthening the role of LAs through Local  
17 Strategic Partnerships. These were not dissimilar to what STPs/  
18 ICSs are trying to achieve, although the emphasis is now strongly on  
19 integration between health and social care.

20 For LAs, ‘place’ matters, although the term takes different meanings  
21 and purposes. Increasingly, though, LAs are using place-based  
22 initiatives to address complex health inequalities. Since the passing of  
23 the Social Value Act (2012) and HSCA 2012, public bodies have had  
24 to consider the wider social, environmental and health implications  
25 of their commissioning decisions, with LAs required to improve  
26 health outcomes and reduce health inequalities. HiAP, mentioned  
27 earlier, provides an important collaborative framework to achieve this  
28 ‘by incorporating health considerations into decision making across  
29 sectors, policy and service areas, and addressing wider determinants  
30 of health’ (PHE, 2016, p 4). In Liverpool, the council extended the  
31 approach to include Health in all Policies and Places, emphasising the  
32 role of LAs in driving this agenda forward (LGA, 2019a, p 8).

33 Dorling (2010), however, argues that defining inequalities in terms  
34 of LAs’ boundaries is not necessarily helpful as LAs engage at different  
35 levels, including regional, national and recently system level. He also  
36 suggests that focusing on single measures such as income or population  
37 size is likely to miss important cleavages, an example being the extreme  
38 variations between areas and neighbourhoods. Purdam (2017) makes  
39 this point compellingly by using the Metrolink map in GM to  
40 illustrate, for instance, how male life expectancy at birth in Rochdale  
41 is nine years shorter than in Milnrow, areas only three tram stops apart.  
42 In this sense, the concept of place is far more nuanced and contested

especially in the context of STPs/ICSs (predicted to cover populations between 1 and 3 million) with their emphasis on collaboration as a panacea for deeply entrenched structural problems. Hammond and colleagues (2017, p 225) argue that ‘turning down the noise on political contestation through evoking notions of local consensus’ risks obscuring the reality of austerity policies and their impact on LAs that are delivering health and social care to the local population.

According to the National Audit Office (NAO, 2018), LAs have been facing significant challenges since 2010–11 as funding has been reduced despite growing demand, particularly for social care. The fiscal stress under which LAs operate means that balancing statutory provision requirement with financial survival is likely to affect the quality of public health interventions. The NAO offers some optimism, citing cases where LAs were able to make progress by successfully commissioning for quality and best value (NAO, 2018). The Local Government Association (LGA) also reported a largely positive impact of relocating public health to LAs in England, claiming these were able to deliver ‘better outcomes [in a number of areas] at less cost than the NHS did when they controlled public health’ (LGA, 2019b, p 3). However, a note of caution is sounded in relation to the proper funding for LAs’ public health and meaningful engagement with councils to make further progress around prevention and avoid a postcode lottery. This is particularly pertinent in the light of the recent analysis by the BBC based on the resilience index prepared by the Chartered Institute of Public Finance and Accountancy (CIPFA), which found that 11 LAs were close to fully exhausting their reserves within four years’ time if no action was taken (CIPFA, 2018; BBC, 2019).

The organisational landscape around which public health functions operate is increasingly complex and changing. Commissioning in complexity is discussed in Chapter 13. The earlier development of some STPs lacked public visibility and left a legacy that has been difficult to overcome (Coleman, 2016). The absence of wider engagement of all parties (LAs, the public) in early development resulted in local priorities having to be retrofitted into the plans. Recently, Corcoran (2019) identified 11 separate governance and accountability challenges that NHS and LAs may face when seeking to work more collaboratively. For instance, the report drew attention to how LAs talk about places and residents whereas the NHS tends to talk about premises and patients. It also highlights different funding regimes, planning cycles and geographies that can be problematic for decentralisation and local integration, alongside the enduring issues of historically embedded siloed thinking and organisational focus and oversight. The NHS



1 Long Term Plan (NHSE, 2019), while intended to take a system-wide  
2 view, appears to be ‘written by the NHS for the NHS, not for the  
3 whole health and care system, since the funding settlement excludes  
4 public health, social care, education and training’ (Humphries, 2019).  
5 This resonates with the report by the Health and Social Care Select  
6 Committee, ‘First 1000 days of life’ (DHSC, 2019), which noted  
7 the structural problems with government financing that hinder early  
8 local interventions. Although the government claims it encourages  
9 departments ‘to work across traditional boundaries to deliver improved  
10 public services’, the reality can be far more complex in practice. The  
11 Centre for Public Scrutiny (CfPS), suggest that this may be because  
12 political and organisational cultures have not yet had time to adapt.  
13 Central to the process of transformation is ‘good governance [...]’  
14 from which councils can build and sustain the changes and respond to  
15 local needs’ (CfPS, 2019, p 5). According to the CfPS, the traditional  
16 aspects of governance find themselves increasingly at odds with the  
17 emerging models, prompting calls for new forms of decision-making.  
18 This may involve, for instance, creating a ‘constitution for the place’  
19 (see the Wigan and Preston model, Chapter 8) or, as the CfPS calls it,  
20 ‘the community constitution’, which emphasises collaboration based  
21 on transparent lines of accountability, responsibility and ownership for  
22 the agreed outcomes (CfPS, 2019).

23 This approach is particularly pertinent in the context of the reforms  
24 introduced by HSCA12, which resulted in territorial boundaries that  
25 do not necessarily align with developing STPs/ICSs and can cut across  
26 LAs and HWBs. The ICSs, for instance, are intended to operate at  
27 three levels simultaneously: system (working together to set priorities,  
28 plan and agree the overall level of integration, 1–3 million population),  
29 place (within the system and focused on planning localised services  
30 alongside the delivery of secondary and community care, 250,000–  
31 500,000 population) and neighbourhoods (centred around primary  
32 care networks with general practitioner (GP) networks covering  
33 populations of 30,000–50,000 and with multidisciplinary teams  
34 working together to provide primary and community care). This  
35 raises questions about levels of accountability and rights of patients,  
36 residents and communities to health and social care services. This  
37 matters if HWBs are to produce joint strategic needs assessments that  
38 look at the current and future health and care needs for their local  
39 area, allowing to better plan and commission health, wellbeing and  
40 social care services within the LA.

41 While the argument for developing ICSs is compelling, and Corcoran  
42 (2019) provides a series of potential enablers (e.g. joint appointments

and shared objectives) that have already been used to drive the progress, this should not preclude the case for careful examination of proposals. In this context, LAs have a number of important roles through their decision-making and scrutiny arrangements. LAs with social care responsibilities have powers to review issues relating to planning and delivery of health services and in certain circumstances can refer proposals for major changes to health services to the Secretary of State (CfPS, 2017). As well as powers relating to NHS bodies, there is a key role for scrutiny committees to review the actions that LAs are taking to improve public health (Ferry and Murphy, 2018). Overview and scrutiny and other forms of local assurance have a vital role to play, providing democratic and other links between national policymakers, commissioners, providers and the communities they serve. As champions of local needs, elected representatives and others with an assurance role help to safeguard the quality and safety of health and social care as well as promoting actions on the wider determinants of health. The current challenge is for the NHS and LA scrutiny to develop agreed ways of working to facilitate effective timely scrutiny of strategic issues, outcomes expected from ICSs and people's experiences of local services.

Deloitte's (2019) report suggests nine success criteria against which to measure a more joined-up, population-oriented approach to health and care that STPs/ICSs could utilise. These include developing a common language to enable better data sharing; provision of funding, infrastructure and leadership support; agreeing appropriate performance measures (KPIs) across systems; establishing wider public engagement in prevention; and promoting patient activation and empowerment. The development of integrated ways of working is presently dependent on establishing successful local alliances rather than changes to primary legislation, meaning progress is varied and can be delayed by unresolved problems related to, for example, VAT liabilities, KPIs and exemptions that are different for NHS trusts and private providers.

The dominance of central institutional policy and regulatory frameworks plays an important role in affecting the development of STPs/ICSs. Earlier reforms introduced by the HSCA12 weakened regional structures, questioning the resilience of the health and social care system to deliver government policy (Exworthy et al, 2017).

The devolution and decentralisation agenda are problematic when LAs have no control over national funding streams, albeit increased autonomy over spending. According to the LGA (2018b), funding for adult social care faces a gap of by £3.5 billion by 2025. This is

1 significant since much of the NHS Long Term Plan (NHSE, 2019) is  
2 centred on initiatives that aim to tackle wider determinants of health  
3 with an expectation that LAs would be able to help close the inequality  
4 gap. The increasing deficits in the acute (NHS) sector also mean that  
5 planning around long-term goals in health and social care is difficult.  
6 There is still an uncertainty about the vision for social care, and until  
7 the Green Paper is published the issue of prevention is open to debate.

## 9 **Learning: health and social care devolution in** 10 **Greater Manchester**

12 An insight into devolved metropolitan authorities is presented in  
13 Chapter 5. The devolution of health and social care in GM illustrates  
14 some of the issues of establishing place-based healthcare initiatives that  
15 require the involvement of local government by referencing health  
16 and social care devolution in GM. Since 2015, local partners have  
17 taken ‘devolved control’ of the region’s health and social care budgets  
18 (£6 billion per annum for the 2.8 million population). GM prioritised  
19 the creation of an integrated system, with distinctive local provision,  
20 and increased scope for joint commissioning, the pooling of public  
21 resources, the creation of provider alliances and promotion of new  
22 ways of working (Walshe et al, 2018).

23 In February 2015, plans to devolve decisions over health and social  
24 care spending in GM to a newly established strategic partnership  
25 board (GMHCP) (AGMA, 2015) were announced. This initial deal  
26 was negotiated quickly by key leaders (including the LA) across GM  
27 following wider devolution powers being granted to GM in November  
28 2014. The new GMHCP Board brought together 10 LAs, 12 (latterly  
29 10 owing to a merger) CCGs, 15 NHS trusts and foundation trusts,  
30 and NHS England, which set out an ambitious strategy – ‘Taking  
31 Charge’ (GMCA, 2015) – including reforms, governance arrangements  
32 and targets. Four high-level reform themes were proposed: upgraded  
33 population health prevention, transformed community-based care and  
34 support, standardised acute and specialist care, and standardised clinical  
35 support and back office services. Developments were facilitated by  
36 stable GM leadership and close political cooperation of the 10 LAs  
37 over 20 years, and more recently joint working by the 10 CCGs across  
38 the GM footprint.

39 Since the 2015 devolution agreement, much effort has gone into  
40 enhancing relationships, setting up governance arrangements and  
41 agreeing strategies and plans. The GM Partnership has embraced  
42 complexity and started tackling reconfiguration across the whole

system. Walshe et al (2018) describe a ‘soft’ devolution due to a lack of statutory authority and formal levers for use over NHS organisations and fewer over LAs. The associated Transformation Fund (extra funding to facilitate change) has been used imaginatively to encourage change, but this is non-recurrent funding and the system still needs to operate effectively and meet national targets against which individual organisations are still measured.

The inclusion of LAs and other relevant organisations, as well as health, has encouraged change across the system. This has included delivery of integrated care via ten single commissioning functions based on the local authority footprints that work across health and social care; local single hospital services bringing together providers of hospital-based services; and a series of local care organisations to facilitate the joined up working of community health services, social care, GP services, mental health services, voluntary services and private sector providers (Walshe et al, 2018).

At the time of writing, health and social care devolution in GM remains in transition and it is too early to gauge its success (Walshe et al, 2018). In April 2019 (three years after the GMHCP went live) two interrelated initiatives have come together: the GM Model of Public Services announced in December 2018 (following on from the GM Strategy ‘Our People, Our Place’, GMCA, 2017), proposed that every area of public service should have health benefits as an objective: housing, education, work, digital and transport connections, environment and so on. It suggests that the complex challenge of improving the population’s health is now being locally addressed in ways that national government could not accomplish. In parallel, the five-year Prospectus released by the GMHCP in April 2019 (GMHCP, 2019) sets out ambitions for a population health system, where inclusive economic growth is a main theory that focuses on upstream prevention rather than cure. Linkages to the forthcoming GM industrial strategy and Northern Powerhouse initiatives will also be of great interest.

Despite progress, some historic challenges endure. The gap in health inequalities with the rest of England remains in many areas, while the health economy is struggling to meet increasing demand for services and to reach some of the national target measures, such as Accident and Emergency discharge times (Dunhill, 2019a). Recent national policy changes, integrating NHS England, NHS Improvement and PHE responsibilities, have resulted in a new regional level director for the North West being appointed, to whom the leader of GM’s health and social care devolution programme will now report rather

1 than directly to NHS England's Chief Finance Officer. While GM  
2 suggest this is no more than creating a clearer single line of reporting  
3 to facilitate ongoing change and improvement locally, others suggest  
4 a dilution of local autonomy (Dunhill, 2019b).

## 6 **Discussion and conclusion**

7  
8 The wider determinants of population health conceptualised by  
9 Dahlgren and Whitehead (1991) as rainbow-like layers of influence  
10 illustrate the interdependence of multiple factors on the health and  
11 wellbeing of the population; see Chapter 2, Figure 2.1. The model is  
12 useful for identifying policy responses that are holistic and therefore  
13 extend beyond the narrow medical model of illness. The NHS can  
14 no longer be exclusively to treat the sick but a service that works  
15 in partnerships to address the wider determinants of health, many  
16 of which (transport, housing, worklessness, for example) are heavily  
17 influenced by LAs. In this chapter, the authors have illustrated how  
18 in the last decade the policy has shifted towards integration, where  
19 a variety of organisations are now responsible for setting strategic  
20 direction, service provision and encouraging asset-based working  
21 to reduce social inequalities together. Policymakers are looking for  
22 smarter ways of working, which focus on upstream prevention and  
23 general wellbeing as well as treating ill health, shifting the mindset  
24 from reactive to proactive developments. These initiatives bring  
25 attention to the third layer of the rainbow model, with, for example,  
26 social and community networks in association with LAs playing a  
27 greater role in facilitating conditions to ensure people stay healthy  
28 and independent for as long as possible. At the same time, to solve  
29 enduring 'wicked issues' (Rittel and Webber, 1973), organisations  
30 across all sectors (health, local government, voluntary, etc) are having  
31 to find ways of effectively working together to meet local demands  
32 within constrained budgets.

33 As the chapter has demonstrated, this is challenging in a system  
34 that is constantly evolving and in which organisations have unique  
35 institutional logics, governance, accountability, funding, budgets and  
36 decision-making cycles that do not align easily. Even with political  
37 will, the progress is dependent on reconciling difficult issues to do with  
38 regulation that cut across organisations and sectors and varying levels  
39 of responsibility for particular aspects of health and wellbeing. The  
40 layering of new initiatives upon old ones has also created challenges,  
41 with some programmes effectively operating in direct conflict, an  
42 example of this being integrated working and increased competition.



Changes to legislation may be required to overcome these obstacles (for example, moving funding away from being activity-based to population-based) and it had been hoped the NHS Long Term Plan (NHSE, 2019) and the long-anticipated adult social care Green Paper (still awaited at the time of writing, May 2020) would help to clarify this. The policy responses, however, need to be formulated and implemented through the meaningful engagement and intersectoral partnerships rather than driven by crisis in the acute sector. Different sectors need to be recognised as having more expertise in certain areas and, despite the cultural challenges, be included in all developments that look to integrated ways for working. Recognising where power is located in the local system and who is driving change will help to increase accountability but also inject the necessary pragmatism to ensure realistic expectations. This is important if community and social networks, in which LAs are integral, are to be fully engaged in tackling the causes of complex health inequalities.

This will be even more pertinent in the post COVID-19 pandemic landscape, with potentially different and more complex needs emerging as a result. There is already evidence that links the disease to inequality (Ahmed et al, 2020) with figures from the Office for National Statistics (Barr, 2020) suggesting that residents in areas of deprivation have experienced double the death rates of those in affluent areas. What is apparent is that the risks from COVID-19 are further exacerbated by social and economic inequalities, and issues linked to ethnicity, gender, age and underlying health conditions (Begum et al, 2020). Significantly, though, the current pandemic exposes the fragilities of the health, social care and public health systems in England that will need to be addressed to adequately respond to enduring inequalities in UK society and the increasingly global nature of health challenges.

## Notes

- <sup>1</sup> In the UK, the devolved administrations of England, Scotland, Wales and Northern Ireland have adopted different approaches to health and social care. In this chapter the authors focus on the English situation. For further information, see Part II of this book.
- <sup>2</sup> 1) giving every child the best start in life; 2) enabling all children, young people and adults to maximize their capabilities and have control over their lives; 3) creating fair employment and good work for all; 4) ensuring a healthy standard of living for all; 5) creating and developing sustainable places and communities; 6) strengthening the role and impact of ill-health prevention.



## References

- 1  
2 AGMA (Association of Greater Manchester Authorities) (2015) 'Greater  
3 Manchester Health and Social Care Devolution: Memorandum of  
4 Understanding'. Available from: [http://www.nhshistory.net/mou%20](http://www.nhshistory.net/mou%20(1).pdf)  
5 (1).pdf [Accessed 9 April 2020].
- 6 Ahmed, F., Ahmed, N.E., Pissarides, C. and Stiglitz, J. (2020) 'Why  
7 inequality could spread COVID-19' *Lancet Public Health*, 5(5): e240.
- 8 Amin-Smith, N. and Phillips, D. (2019) 'English council funding:  
9 what's happening and what's next?' Institute of Fiscal Studies. Available  
10 from: <https://www.ifs.org.uk> [Accessed 18 June 2019].
- 11 Barr, C. (2020) 'Deprived areas have double death rates of affluent',  
12 *The Guardian*, 1 May. Available from: [https://www.theguardian.](https://www.theguardian.com/politics/live/2020/may/01/uk-coronavirus-live-job-cuts-end-lockdown-politics-covid-19-latest-updates?page=with:block-5eabe3278f08a459b6585968#block-5eabe3278f08a459b6585968)  
13 [com/politics/live/2020/may/01/uk-coronavirus-live-job-cuts-](https://www.theguardian.com/politics/live/2020/may/01/uk-coronavirus-live-job-cuts-end-lockdown-politics-covid-19-latest-updates?page=with:block-5eabe3278f08a459b6585968#block-5eabe3278f08a459b6585968)  
14 [end-lockdown-politics-covid-19-latest-updates?page=with:block-](https://www.theguardian.com/politics/live/2020/may/01/uk-coronavirus-live-job-cuts-end-lockdown-politics-covid-19-latest-updates?page=with:block-5eabe3278f08a459b6585968#block-5eabe3278f08a459b6585968)  
15 [5eabe3278f08a459b6585968#block-5eabe3278f08a459b6585968](https://www.theguardian.com/politics/live/2020/may/01/uk-coronavirus-live-job-cuts-end-lockdown-politics-covid-19-latest-updates?page=with:block-5eabe3278f08a459b6585968#block-5eabe3278f08a459b6585968)  
16 [Accessed 1 May 2020].
- 17 Begum, M., Verma, A. and Starling, B. (2020) 'How inequalities  
18 are affecting the response to COVID-19'. Available from: [http://](http://blog.policy.manchester.ac.uk/posts/2020/04/how-inequalities-are-affecting-the-response-to-covid-19/)  
19 [blog.policy.manchester.ac.uk/posts/2020/04/how-inequalities-are-](http://blog.policy.manchester.ac.uk/posts/2020/04/how-inequalities-are-affecting-the-response-to-covid-19/)  
20 [affecting-the-response-to-covid-19/](http://blog.policy.manchester.ac.uk/posts/2020/04/how-inequalities-are-affecting-the-response-to-covid-19/) [accessed 1 May 2020].
- 21 BBC (2019) 'English councils warned about "exhausting" reserve cash'.  
22 Available from: <https://www.bbc.co.uk/news/uk-england-48280272>  
23 [Accessed 20 June 2019].
- 24 CfPS (Centre for Public Scrutiny) (2017) 'Accountability and scrutiny:  
25 the issues for local government in a changing political environment'.  
26 London: CfPS.
- 27 CfPS (Centre for Public Scrutiny) (2019) 'Governance, culture and  
28 collaboration'. London: CfPS.
- 29 Checkland, K., Coleman, A., Billings, J., MacInnes, J., Mikelyte, R.,  
30 Laverty, L. and Allen, P. (2019) 'National evaluation of the Vanguard  
31 new care models programme: interim report: understanding the  
32 national support programme'. University of Manchester, LSHTM  
33 and University of Kent.
- 34 CIPFA (Chartered Institute of Public Finance and Accountancy)  
35 (2018) 'Measured resilience in English authorities'. London: CIPFA.
- 36 Coleman, A. (2016) 'Secrecy and service challenges in the new NHS  
37 – can STPs deliver?' Manchester Policy. Available from: [http://](http://blog.policy.manchester.ac.uk/posts/2016/12/secrecy-and-service-challenges-in-the-new-nhs-can-stps-deliver/)  
38 [blog.policy.manchester.ac.uk/posts/2016/12/secrecy-and-service-](http://blog.policy.manchester.ac.uk/posts/2016/12/secrecy-and-service-challenges-in-the-new-nhs-can-stps-deliver/)  
39 [challenges-in-the-new-nhs-can-stps-deliver/](http://blog.policy.manchester.ac.uk/posts/2016/12/secrecy-and-service-challenges-in-the-new-nhs-can-stps-deliver/) [Accessed 2 April 2019].
- 40 Corcoran, F. (2019) 'Delivering effective governance and accountability  
41 for integrated health and care'. London: CfPS.
- 42

- Dahlgren, G. and Whitehead, M. (1991) *Policies and Strategies to Promote Social Equity in Health*, Stockholm: Institute for Futures Studies. 1  
2
- Deloitte (2019) *The Transition to Integrated Care Population Health Management in England*, London: Deloitte Centre for Health Solutions. 3  
4
- Department of Health (2010) 'Healthy lives, healthy people: our strategy for public health in England'. London: The Stationery Office. 5  
6
- Department of Health (2011) 'Public health in local government: commissioning responsibilities factsheet'. London: The Stationery Office. 7  
8  
9
- DHSC (Department of Health and Social Care) (2018) 'Prevention is better than cure: our vision to help you live well for longer'. Crown Copyright, DHSC. 10  
11  
12
- DHSC (Department of Health and Social Care) (2019) 'Policy paper: government response to the health and social care select committee report on "First 1000 days of life"'. Available from: <https://www.gov.uk/government/publications/government-response-to-the-first-1000-days-of-life-report/government-response-to-the-health-and-social-care-select-committee-report-on-first-1000-days-of-life?> [Accessed 27 July 2019]. 13  
14  
15  
16  
17  
18  
19
- DCLG (Department for Communities and Local Government) (2017) 'Local government financial statistics England No. 27 2017'. Available from: [https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/627895/LGFS27\\_Web\\_version.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/627895/LGFS27_Web_version.pdf) [Accessed 12 June 2019]. 20  
21  
22  
23  
24
- Dorling, D. (2010) 'Using the concept of "place" to understand and reduce health inequalities', in F. Campbell (ed) *The Social Determinants of Health and the Role of Local Government*, pp 16–25, London: Improvement and Development Agency. 25  
26  
27  
28
- Dunhill, L. (2019a) 'Regulators intervene in Devo Manc', *Health Services Journal* (25 February). Available from: [www.hsj.co.uk/acute-care/regulators-intervene-in-devo-manc/7024502.article](http://www.hsj.co.uk/acute-care/regulators-intervene-in-devo-manc/7024502.article) [Accessed 27 June 2019]. 29  
30  
31  
32
- Dunhill, L. (2019b), "'Watered down" Devo Manc gets regional line manager', *Health Services Journal* (17 April). Available from: <https://www.hsj.co.uk/north-west/watered-down-devo-manc-gets-regional-line-manager/7024901.article> [Accessed 27 June 2019]. 33  
34  
35  
36
- Exworthy, M., Powell, M. and Glasby, J. (2017) 'The governance of integrated health and social care in England since 2010: great expectations not met once again?' *Health Policy*, 121(11): 1124–30. 37  
38  
39
- Faherty, G. and Gaulton, L. (2017) 'Working together to reduce health inequalities in the Marmot City of Coventry', *Primary Health Care*, 27(2): 26–9. 40  
41  
42

- 1 Ferry, L. and Murphy, P. (2018) ‘What about financial sustainability of  
2 local government! – a critical review of accountability, transparency,  
3 and public assurance arrangements in England during austerity,  
4 *International Journal of Public Administration*, 41(8): 619–29.
- 5 GMCA (Greater Manchester Combined Authority) (2015) ‘Taking  
6 charge of our health and social care in Greater Manchester’. Available  
7 from: [http://www.gmhsc.org.uk/wpcontent/uploads/2018/05/  
8 Taking-Charge-summary.pdf](http://www.gmhsc.org.uk/wpcontent/uploads/2018/05/Taking-Charge-summary.pdf) [Accessed 9 April 2020].
- 9 GMCA (Greater Manchester Combined Authority) (2017) ‘Our  
10 people, our place: Greater Manchester strategy’. Available from:  
11 [https://www.greatermanchester-ca.gov.uk/ourpeopleourplace  
12](https://www.greatermanchester-ca.gov.uk/ourpeopleourplace) [Accessed 2 April 2019].
- 13 Gorsky, M., Lock, K. and Hogarth, S. (2014) ‘Public health and English  
14 local government: historical perspectives on the impact of “returning  
15 home”’, *Journal of Public Health*, 36(4): 546–51.
- 16 GMHCP (Greater Manchester Health and Social Care Partnership)  
17 (2019) ‘Taking charge: the next 5 years. Our prospectus’. Available  
18 from: [www.gmhsc.org.uk/wp-content/uploads/2019/03/GMHSC-  
19 Partnership-Prospectus-The-next-5-years-pdf.pdf](http://www.gmhsc.org.uk/wp-content/uploads/2019/03/GMHSC-Partnership-Prospectus-The-next-5-years-pdf.pdf) [Accessed 2 April  
20 2019].
- 21 Guzman-Castillo, M., Ahmadi-Abhari, S., Bandosz, P., Capewell,  
22 S., Steptoe, A., Singh-Manoux, A., Kivimaki, M., Shipley, M.J.,  
23 Brunner, E.J. and O’Flaherty, M. (2017) ‘Forecasted trends in  
24 disability and life expectancy in England and Wales up to 2025: a  
25 modelling study’, *The Lancet Public Health*, 2(7): 307–13.
- 26 Hammond, J., Lorne, C., Coleman, A., Allen, P., Mays, N., Dam, R.,  
27 Mason, T. and Checkland, K. (2017) ‘The spatial politics of place and  
28 health policy: exploring sustainability and transformation plans in the  
29 English NHS’, *Social Science and Medicine*, 190: 217–26.
- 30 HSCA12 (Health and Social Care Act) (2012) Available from: [https://  
31 www.legislation.gov.uk/ukpga/2012/7/contents/enacted](https://www.legislation.gov.uk/ukpga/2012/7/contents/enacted) [Accessed  
32 2 April 2019].
- 33 Humphries, R. (2019) ‘The NHS, local authorities and the long-term  
34 plan: in it together?’, London: King’s Fund. Available from: [www.  
35 kingsfund.org.uk/blog/2019/03/nhs-local-authorities-long-term  
36 plan](http://www.kingsfund.org.uk/blog/2019/03/nhs-local-authorities-long-term-plan) [Accessed 9 April 2020].
- 37 Lawless, P., Foden, M., Wilson, I. and Beatty, C. (2010) ‘Understanding  
38 area-based regeneration: the new deal for communities programme  
39 in England’, *Urban Studies*, 47(2): 257–75.
- 40 LGA (Local Government Association) (2016) ‘Health in all policies:  
41 a manual for local government’, London: Local Government  
42 Association, Ref.1.4.

LGA (Local Government Association) (2018a) ‘Nobody left behind: maximising the health benefits of an inclusive local economy’, London: Local Government Association, Ref. 22.15.	1 2 3
LGA (Local Government Association) (2018b) ‘Majority of people unprepared for adult social care costs’. Available from: <a href="https://www.local.gov.uk/about/news/majority-people-unprepared-adult-social-care-costs">https://www.local.gov.uk/about/news/majority-people-unprepared-adult-social-care-costs</a> [Accessed 10 April 2019].	4 5 6 7
LGA (Local Government Association) (2019a) ‘Public health transformation six years on: partnerships and prevention’, London: Local Government Association, Ref.22.38.	8 9 10
LGA (Local Government Association) (2019b) ‘Improving the public’s health: local government delivers’, London: Local Government Association, Ref.1.88.	11 12 13
Localism Act (2011) UK Government. Available from: <a href="http://www.legislation.gov.uk/ukpga/2011/20/contents/enacted">http://www.legislation.gov.uk/ukpga/2011/20/contents/enacted</a> [Accessed 27 June 2019].	14 15 16
Lyons, M. (2007) ‘Place shaping: a shared ambition for the future of local government’, London: Department of Communities and Local Government.	17 18 19
Marmot, M., Allen, J., Goldblatt, P., Boyce, T., McNeish, D., Grady, M. and Geddes, I. (2010) ‘The Marmot review: fair society, healthy lives: the strategic review of health inequalities in England post-2010’. Available from: <a href="http://www.parliament.uk/documents/fair-society-healthy-lives-full-report.pdf">www.parliament.uk/documents/fair-society-healthy-lives-full-report.pdf</a> [Accessed 9 April 2020].	20 21 22 23 24
Miller, R. and Glasby, J. (2016) ‘Much ado about nothing? Pursuing the “holy grail” of health and social care integration under the coalition’, in R. Mannion, M. Exworthy and M. Powell (eds) <i>Dismantling the NHS? Evaluating the Impact of Health Reforms</i> , pp 171–89, Bristol: Policy Press.	25 26 27 28 29
NAO (National Audit Office) (2018) ‘Financial sustainability of local authorities 2018’. Available from: <a href="https://www.nao.org.uk/report/financial-sustainability-of-local-authorities-2018/">https://www.nao.org.uk/report/financial-sustainability-of-local-authorities-2018/</a> [Accessed 10 April 2019].	30 31 32 33
NHSE (NHS England), Public Health England, Health Education England, Monitor, Care Quality Commission and Trust Development Authority (2014) ‘Five Year Forward View’. Available from <a href="http://www.england.nhs.uk/wp-content/uploads/2014/10/5yfv-web.pdf">www.england.nhs.uk/wp-content/uploads/2014/10/5yfv-web.pdf</a> [Accessed 9 April 2020].	34 35 36 37 38
NHSE (NHS England) (2019) ‘The NHS long term plan’. Available from: <a href="https://www.longtermplan.nhs.uk">https://www.longtermplan.nhs.uk</a> [Accessed 2 April 2019].	39 40 41 42

- 1 Peckham, S., Exworthy, M., Powell, M. and Greener, I. (2005)  
2 'Decentralisation, centralisation and devolution in publicly funded  
3 health services: decentralisation as an organisational model for  
4 health-care in England'. Technical report. Available from: [http://  
5 researchonline.lshtm.ac.uk/id/eprint/3582134](http://researchonline.lshtm.ac.uk/id/eprint/3582134) [Accessed 2 April  
6 2019].
- 7 PHE (Public Health England) (2016) 'Local wellbeing, local growth:  
8 overview'. London: Public Health England Press Office. Available  
9 from: [https://assets.publishing.service.gov.uk/government/uploads/  
10 system/uploads/attachment\\_data/file/560598/Health\\_in\\_All\\_  
11 Policies\\_overview\\_paper.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/560598/Health_in_All_Policies_overview_paper.pdf) [Accessed 12 June 2019].
- 12 PHE (Public Health England) (2018a) 'Health profile for England  
13 report: 2018'. London: Public Health England Press Office. Available  
14 from: [https://www.gov.uk/government/publications/health-profile-  
15 for-england-2018](https://www.gov.uk/government/publications/health-profile-for-england-2018) [Accessed 2 April 2019].
- 16 PHE (Public Health England) (2018b) 'Improving health and care  
17 through the home: a national memorandum of understanding'.  
18 London: Public Health England Press Office. Available from: [https://  
19 assets.publishing.service.gov.uk/government/uploads/system/  
20 uploads/attachment\\_data/file/691239/Health\\_Housing\\_MoU\\_18.  
21 pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/691239/Health_Housing_MoU_18.pdf) [Accessed 2 April 2019].
- 22 Purdam, K. (2017) 'The devolution of health funding in Greater  
23 Manchester in the UK: a travel map of life expectancy', *Environment  
24 and Planning A*, 49(7): 1453–7.
- 25 Raleigh, V. (2018), 'What is happening to life expectancy in the UK?',  
26 *The Health Foundation*. Available from: [https://www.kingsfund.org.  
27 uk/publications/whats-happening-life-expectancy-uk](https://www.kingsfund.org.uk/publications/whats-happening-life-expectancy-uk) [Accessed  
28 20 May 2019].
- 29 Rittel, H.W. and Webber, M.M. (1973) 'Dilemmas in a general theory  
30 of planning', *Policy Sciences*, 4(2): 155–69.
- 31 SEU (Social Exclusion Unit) (2001) 'A new commitment to  
32 neighbourhood renewal—national strategy action plan', London:  
33 Cabinet Office.
- 34 Walshe, K., Lorne, C., Coleman, A., McDonald, R. and Turner,  
35 A. (2018) 'Devolving health and social care: learning from Greater  
36 Manchester', Manchester: Alliance Business School. Available from:  
37 [https://www.mbs.ac.uk/media/ambs/content-assets/documents/  
38 news/devolving-health-and-social-care-learning-from-greater-  
39 manchester.pdf](https://www.mbs.ac.uk/media/ambs/content-assets/documents/news/devolving-health-and-social-care-learning-from-greater-manchester.pdf) [Accessed 2 April 2019].  
40  
41  
42