



# Extrinsic and intrinsic controls on mouth bar and mouth bar complex architecture: Examples from the Pennsylvanian (Upper Carboniferous) of the central Appalachian Basin, Kentucky, USA

DOI:

[10.1130/B31429.1](https://doi.org/10.1130/B31429.1)

## Document Version

Accepted author manuscript

[Link to publication record in Manchester Research Explorer](#)

## Citation for published version (APA):

Jerrett, R., Bennie, L. I., Flint, S., & Greb, S. F. (2016). Extrinsic and intrinsic controls on mouth bar and mouth bar complex architecture: Examples from the Pennsylvanian (Upper Carboniferous) of the central Appalachian Basin, Kentucky, USA. *Geological Society of America Bulletin*, 128, 1696-1716. <https://doi.org/10.1130/B31429.1>

## Published in:

Geological Society of America Bulletin

## Citing this paper

Please note that where the full-text provided on Manchester Research Explorer is the Author Accepted Manuscript or Proof version this may differ from the final Published version. If citing, it is advised that you check and use the publisher's definitive version.

## General rights

Copyright and moral rights for the publications made accessible in the Research Explorer are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

## Takedown policy

If you believe that this document breaches copyright please refer to the University of Manchester's Takedown Procedures [<http://man.ac.uk/04Y6Bo>] or contact [openresearch@manchester.ac.uk](mailto:openresearch@manchester.ac.uk) providing relevant details, so we can investigate your claim.



1 Extrinsic and intrinsic controls on mouth bar and mouth bar complex  
2 architecture: examples from the Pennsylvanian (upper Carboniferous) of  
3 the central Appalachian Basin, Kentucky, USA  
4

5 **Rhodri M. Jerrett<sup>1</sup>, Laura I. Bennie<sup>1,2</sup>, Stephen S. Flint<sup>1</sup>, Stephen F. Greb<sup>3</sup>**

6 <sup>1</sup>*School of Earth, Atmospheric and Environmental Sciences, University of Manchester, Oxford Road,*  
7 *Manchester, M139PL, U.K.*

8 <sup>2</sup>*Current address: Wood Mackenzie Ltd., Exchange Place, 5 Sempie Street, Edinburgh EH3 8BL, U.K.*

9 <sup>3</sup>*Kentucky Geological Survey, University of Kentucky, Lexington, Kentucky 40506, U.S.A.*

10  
11 *E-mail: [rhodri.jerrett@manchester.ac.uk](mailto:rhodri.jerrett@manchester.ac.uk)*  
12

13 **Running title:** *Extrinsic and intrinsic controls on mouth bar architecture*

14 **Key words:** *mouth bar, delta, central Appalachian Basin, Pennsylvanian, Carboniferous.*

15 **Total words including figure captions and references:** 10,760  
16

17 **ABSTRACT**  
18

19 **A fundamental architectural element of deltas is the mouth bar. Although process-**  
20 **based facies models have been developed to reconstruct the influence of different**  
21 **external controls on mouth bar geomorphology, depositional architecture and grain-size**  
22 **distribution, few studies have documented the internal architecture of ancient mouth**  
23 **bars and mouth bar complexes, in order to analyse extrinsic and intrinsic controls on**  
24 **these parameters. Two exceptionally well exposed ancient examples show that the**  
25 **increasing influence of inertial forces in friction-dominated mouth bars result in the**  
26 **increasing deposition from gravity-flows (hyperpycnites and turbidites), with increasing**  
27 **bypass of the mouth bar foreset and deposition in a detached frontal lobe on the basin**  
28 **floor ahead of the mouth bar. The increasing influence of inertial forces also results in**  
29 **increased bed length, and the better development of clinotherm bottomset beds. Within**  
30 **these friction-dominated mouth bars, following initiation and aggradational-**  
31 **progradational growth, choking results in lateral accretion on the mouth bar flanks, but**  
32 **discharge may not be maintained symmetrically on both flanks. Additionally, “choking”**  
33 **of the feeding distributary can result in its upstream avulsion and abandonment of the**  
34 **mouth bar. This process generates laterally accreted fining-up successions which**  
35 **downlap on to floor of the receiving basin, contrasting with standard coarsening-up**

36 **facies successions predicted for mouth bars. Within mouth bar complexes, superposition**  
37 **of individual mouth bars causes gradual shallowing of the water column, reducing**  
38 **gradients in, and increasing confinement of successive mouth bars. Hence, early mouth**  
39 **bars are more strongly inertia-influenced, flows have long run-out distances and are**  
40 **more likely to develop a succession of detached prodelta turbidite lobes. Later mouth**  
41 **bars are more strongly friction dominated and flows have short-run out distances since**  
42 **they are less able to achieve autosuspension. Earlier mouth bars display more “normal”**  
43 **aggradation-progradation, lateral accretion and retrogradation in an unconfined setting,**  
44 **whereas later mouth bars are more strongly confined and progradational. The two case**  
45 **studies illustrate that upward changes in mouth bar architecture and facies distributions**  
46 **within a mouth bar complex, are a predictable product of shallowing and increasing**  
47 **confinement during delta progradation.**

48

## 49 INTRODUCTION

50

51 A fundamental architectural element of deltas is the mouth bar, that forms at the river  
52 mouth where fluvial outflow decelerates into a standing body of water and deposits the coarse  
53 fraction of its sediment load (Bates, 1953; Wright, 1977; Elliott, 1986). Mouth bars are  
54 constructed via the progradational addition of beds that dip (termed *clinothems*), fine and thin  
55 basinward, separated by surfaces (termed *clinoforms*; Rich, 1951) that typically demonstrate  
56 concave-up geometries with asymptotic toes. In plan view, mouth bars commonly have a  
57 lozenge or pear-shaped morphology, reflecting the lateral expansion of sediment laden fluid at  
58 the emergence point from a confined channel into the standing body of water. However, the  
59 cross-sectional geometry and scale of clinoforms, and plan geometry and scale of mouth bars  
60 vary, according to contrasts in temperature, salinity and suspended sediment load of the  
61 outflow relative to the receiving body of water, grain size of the sediment load, water depth and  
62 sea-floor gradient of the receiving basin, and relative intensity of wave and tidal processes at  
63 the river mouth (Wright, 1977; Postma, 1990, 1995; Reading and Levell, 1996; Driscoll and  
64 Karner, 1999; Fielding et al., 2005). Progradation and aggradation of the mouth bar leads to  
65 coarsening-up successions, that have been extensively described in the geological record (see  
66 reviews by Bhattacharya, 2006, 2010). Mouth bar growth also decreases distributary channel  
67 discharge (“choking”), forcing bifurcation of the distributary channel around the mouth bar  
68 (Elliott, 1986; Olariu and Bhattacharya, 2006; Edmonds and Slingerland, 2007) or upstream  
69 avulsion and abandonment of the distributary channel (Bhattacharya, 2006). In either case, the  
70 active mouth bar **will eventually become** abandoned, and a new mouth bar forms **at the new**

71 **position of the distributary channel mouth.** Multiple mouth bars stack compensationally to form  
72 mouth bar complexes.

73 A number of process-based facies models have been developed to reconstruct the  
74 interaction of extrinsic controls on the geomorphology, depositional architecture and grain-size  
75 distribution of ancient mouth bars. These models, largely based on analysis of vertical  
76 succession, **have mainly emphasized** the interplay of fluvial, wave and tidal processes, and  
77 drawn comparisons with modern “snapshot” plan-view analogues (e.g. Wright and Coleman,  
78 1973; Coleman and Wright, 1975; Galloway, 1975; Miall, 1976; Orton and Reading, 1993; Elliott,  
79 1986; Bhattacharya, 2006, 2010). **An alternative classification developed by Bates (1953) and**  
80 **Wright (1977) in which mouth bars may be classified as inertia-, friction-, and buoyancy-**  
81 **dominated, according to the relative role of these competing forces acting on the incoming axial**  
82 **jet at the river mouth, has attracted little attention in the literature because these studies made**  
83 **no predictions about the expected bedforms and sedimentary structures produced in these**  
84 **different settings (Martinsen, 1990; Turner and Tester, 2006; and Ahmed et al., 2014, are**  
85 **notable exceptions).** Outcrop studies naturally reflect the discontinuous and 2-D nature of most  
86 rock exposure, and little attention has also been directed at documenting internal variability  
87 within individual mouth bars during their evolution, and their stacking pattern within mouth  
88 bar complexes (e.g. Willis et al., 1999; Chidsey et al., 2004; Olariu and Bhattacharya, 2006;  
89 Fielding et al., 2006; Gani and Bhattacharya, 2007; Porebski and Steel, 2006; Enge et al., 2010;  
90 **Ahmed et al., 2014).**

91 The purpose of this study is therefore, firstly, to document the preserved internal  
92 architecture of ancient individual mouth bars and mouth bar stacking patterns within mouth  
93 bar complexes; secondly, to document systematic changes in depositional (and bypass)  
94 processes during the construction of mouth bars and mouth bar complexes; and finally, to  
95 reconstruct the extrinsic and intrinsic controls that resulted in the observed architecture. The  
96 study focusses on two mouth bar complexes from the Pennsylvanian (Upper Carboniferous)  
97 Breathitt Group of the central Appalachian basin, U.S.A.

98

## 99 **GEOLOGICAL SETTING AND STRATIGRAPHY**

100

101 Mouth bar complexes studied in this contribution occur in the Breathitt Group – a  
102 Morrowan – Desmoinesian (Namurian – Westphalian) fluvio-deltaic succession which  
103 comprises the majority of the central Appalachian foreland basin-fill (Fig. 1). The central  
104 Appalachian Basin was one of several broadly contemporaneous foreland depocentres formed  
105 to the NW of the Variscan-Alleghanian orogeny, from Alabama to the Czech Republic during the  
106 late Paleozoic (Thomas, 1976; Quinlan and Beaumont, 1984; Tankard, 1986), and were

107 subsequently segmented by the opening of the Atlantic ocean in the Mesozoic. Throughout the  
108 Pennsylvanian, predominantly subarkosic siliciclastic debris was eroded from the orogenic  
109 hinterland to the southeast and transported transversely north-westward through the basin via  
110 a succession of deltas (Ferm and Cavaroc, 1968; Ferm, 1970; Horne et al., 1978; Rice et al., 1979;  
111 Chesnut, 1994). These deltas are largely considered to have been river-dominated (Englund and  
112 Thomas, 1990; Aitken and Flint, 1995), and more recently have been demonstrated to have  
113 been partially tidally modulated (Martino, 1994, 1996; Greb and Chesnut, 1996; Adkins and  
114 Eriksson, 1998; Greb and Martino, 2005). Lithospheric flexure towards the orogenic load in the  
115 south-east produced a markedly asymmetric basin-fill, and the maximum preserved thickness  
116 of the Breathitt Group in the Central Appalachian Basin is 1.5 km near the Kentucky-Virginia  
117 border (Wanless, 1975; Chesnut, 1992; Fig. 1A).

118 The Breathitt Group comprises a coarsening-up succession of marine, marginal marine  
119 and terrestrial clastic, coal and rare carbonate sediments, in which evidence for marine  
120 conditions generally decreases upwards (Horne et al., 1978; Chesnut, 1992, 1994). Chesnut  
121 (1992, 1996) formally subdivided the upper part of the Breathitt Group into the Pikeville,  
122 Hyden, Four Corners and Princess Formations, bounded by widespread, marine mudstone units  
123 – the Betsie Shale, Kendrick Shale, Magoffin and Stoney Fork Members (Fig. 2). Although there is  
124 some disagreement about the precise age of these four major marine units (*c.f.* Greb et al.,  
125 2009), paleobotanical studies suggest the Betsie Shale and Magoffin members represent the  
126 European *A. vanderbeckei* and *A. aegiranum* ammonoid biozones, which define the base and top  
127 of the Duckmanian/Westphalian B (c. 315-318 Ma; Gradstein et al., 2012) respectively. Hence,  
128 the major marine mudstone units have been interpreted as the maximum flooding zones of 1.5  
129 Ma-duration (third-order *sensu* Mitchum and Van Wagoner, 1991) depositional sequences.  
130 Third-order marine mudstones coarsen upwards through heterolithic mouth bar successions,  
131 where they are not incised by lowstand sandstones. Between these major formation-bounding  
132 marine mudstones and the overlying, thick, coarsening-upwards successions, the strata  
133 comprise multiple 2-15 m thick cycles (“cyclothems”) characterized by a locally-to-regionally  
134 developed marine to marginal marine mudstone which coarsens upward through heterolithic  
135 mouth bar and floodplain successions into a paleosol and regionally extensive coal or coal zone  
136 (Fig. 2). Commonly, much or all of the upper part of the cycle may be truncated by major  
137 erosionally-based fining-up fluvio-estuarine channel bodies which underlie the paleosol and  
138 regionally extensive coal (Chesnut, 1992; 1994; Aitken and Flint, 1994; 1995). 2-15 m thick  
139 cyclothems are each considered to represent c. 0.1 Ma (Greb et al., 2008), and hence define  
140 fourth or fifth-order cycles (*sensu* Mitchum and Van Wagoner, 1991).

141

## 142 **METHODS AND DATASET**

143

144 Two mouth bar complexes were studied. The first, between two coals within the Fire  
145 Clay Coal zone, Hyden Formation (Fig. 2), is exposed along Kentucky Route 7 (Ky. 7) between  
146 Viper and Jeff, Perry County (Fig. 1C). The second occurs within the Betsie Shale, Pikeville  
147 Formation (Fig. 2), and is exposed along U.S. Route 23 (U.S. 23) and side-roads between the  
148 Pikeville “cut-through”, and Coal Run Village, (Fig. 1D). Ky. 7 provides a largely 2-D section  
149 through the mouth bar complex within the Fire Clay coal zone. The combination of U.S. 23 and  
150 side roads provide a more 3-D view of the mouth bar complex in the Betsie Shale. Facies and  
151 facies associations were described and interpreted by means of centimetre-scale sedimentary  
152 logging of km-scale road cut exposures. Paleoflow measurements were collected from trough  
153 and tabular cross bed foresets, asymmetric ripple crests and flute marks on the soles of beds.  
154 Additionally, dips and azimuths of bedding and fault planes were collected when eyed-in as  
155 significantly greater than 10°. Special attention was paid to measuring the limbs of syn-  
156 sedimentary folds, from which vergence could be determined. Vertical thicknesses were  
157 calibrated against road construction engineers’ exploration boreholes, and vertical and lateral  
158 stratal relationships at the scale of individual road cut exposures were captured through the  
159 annotation of photomosaics. Correlation was confirmed by the identification of the regionally  
160 extensive mined coal seams (Chesnut, 1992, 1991; Rice and Hiatt, 1994) from the relevant  
161 published 1:24,000 geological quadrangle maps (GQ343 - "Hazard South", GQ442 - "Broad  
162 Bottom" and GQ480 - "Pikeville"; Puffett, 1964; Alvord, 1965; Alvord and Holbrook, 1965). **Key**  
163 **sedimentary logs collected through the two mouth bar complexes are reported in Appendix 1.**

164

## 165 **FACIES ANALYSIS**

166

167 **Nine** facies associations (FA) are identified, based principally on grain size, sedimentary  
168 structures, trace and body fossil content, internal and external architecture (Table 1). These are,  
169 (1) **Prodelta and off-axis fines of marine shelves or large embayments**, (2) **Fines of shallow lakes**  
170 **and small embayments**, (3) **Delta front and prodelta turbidite**, (4) **Distal mouth bar**, (5)  
171 **Proximal mouth bar**, (6) **Terminal distributary channel**, (7), **Subaerial crevasse splay and levee**  
172 **(8) Mire and (9) Trunk or distributary channel**. Bioturbation intensity is typically low but  
173 variable. All facies associations have in common the pervasive occurrence of sand-grade mica  
174 flakes, clay **flocs**, and rare to abundant, finely comminuted plant debris, giving an overall “dirty”  
175 appearance to these rocks. Photographs of these facies associations are provided in Figure 3.

176

## 177 **MOUTH BAR WITHIN THE FIRE CLAY COAL ZONE, KY. 7, PERRY COUNTY**

178

179 The Fire Clay Coal Zone is exposed for c. 2.5 km, along Ky. 7 in Perry County, 20-50 m  
180 above road level, in five road cuts between mileposts 0 and 3 (Fig. 1D). The zone is defined by  
181 two coals: the lower, main Fire Clay Coal seam, as much as 1.3 m thick, and an upper rider coal  
182 of the Fire Clay Coal zone (but not the next regionally extensive coal, which is formally named  
183 the "Fire Clay Rider Coal"; Fig. 2), up to 0.8 m thick (Fig. 4A). Paleoflow data and the  
184 architecture of the mouth bar indicate that the SW-NE oriented road cuts provide a 2-D oblique  
185 depositional strike section.

186

## 187 **Architecture**

188

189 At Location 7-2 (Fig. 4A and B) the mouth bar is up to 5 m thick, 300-500 m wide, and  
190 paleocurrent indicators are unidirectional towards the north. At the NE side of the exposure, the  
191 mouth bar comprises a c. 3 m thick coarsening-up succession from distal mouth bar deposits  
192 (FA4A) which rest directly on the main Fire Clay Coal, into proximal mouth bar deposits (FA5).  
193 This succession has a bidirectionally downlapping clinoform geometry, with downlap to the SW  
194 and to the NE, giving a concave-down cross-sectional view (Fig. 4B). At the NE side of the  
195 exposure, where bedding is horizontal relative to the main Fire Clay Coal, bedding surfaces  
196 within FA5 show erosional relief of up to 0.5 m. This coarsening-up package is overlain sharply  
197 by a fining upward succession as much as 6 m thick, through FA4 into off-axis fines (FA2). To  
198 the SW, as bedding steepens relative to the main Fire Clay Coal, erosional relief at the bases of  
199 proximal mouth bar (FA5) beds decreases, and they pass gradationally into distal mouth bar  
200 strata (FA4A). The upper fining-up succession demonstrates a concave-up to weakly sigmoidal  
201 clinoform geometry with beds dipping and downlapping on to the main Fire Clay Coal to the SW,  
202 and offlapping older beds to the NE. These clinoform surfaces steepen to the SW as the  
203 succession fines, attaining dips of at least 5° (given apparent dip). Beds in this fining-upward  
204 succession are thickest and coarsest grained at the base of the clinoform and thin up the foreset  
205 and down the bottomset. Sandstone bed lengths in the lower coarsening-up package are >200 m  
206 but decrease to c. 100 m in the upper fining-up package.

207 The upper part of the mouth bar is overlain sharply by a thin (<1 m), erosively-based  
208 succession of subaerial crevasse splay and levee deposits (FA7) and the rider coal to the Fire  
209 Clay coal zone (FA8). At the NW end of the exposure, 2- 3 m of the upper part of the mouth bar  
210 has been removed by a concave-up scour surface at least 30 m wide which underlies the thin  
211 floodplain succession and the rider coal. The rider coal (FA8), and intercalated silt partings  
212 (FA7) thicken from c. 0.4 m to 0.8 m into the axis of the scour. Lateral to the mouth bar, at the  
213 SW end of Location 7-1 and at 7-3, the equivalent section is represented by 1-2.5 m thick  
214 deposits of off-axis fines (FA2) that are organic-rich and canneloid.

215 At locations 7-1 and 7-5 (Fig. 4A) the main Fire Clay Coal seam is truncated by two  
216 multi-storey trunk or distributary channel-fill (FA9) sand bodies which cut down from within  
217 the Fire Clay Coal zone and amalgamate with a similar multi-storey sand body which underlies  
218 the Fire Clay Coal zone. To the NE, at Location 7-1, the channel-fill (FA9) succession comprises  
219 two storeys, the lower of which is c. 10 m thick and markedly heterolithic in its fill. Large-scale  
220 accretion surfaces and growth faults within this storey dip towards the SE, and paleoflow  
221 indicators within this storey are bimodal towards the SE and NW. A succession of fining-up  
222 subaerial levee deposits (FA7) as much as 3 m thick thins and fines to the SW, away from the  
223 margin of the multi storey sand body. Bedding surfaces within FA7 pass into off axis fines (FA2)  
224 over a lateral distance of 200 m. To the SW, at Location 7-5, a similar fining-up succession of  
225 subaerial crevasse splay and levee deposits (FA7) up to 7 m thick, also thins and fines into off  
226 axis fines (FA2) towards the NE, away from the margins of the major channel-fill succession  
227 (FA9). The precise termination of the subaerial levee deposits into fines is not exposed, but  
228 occurs across a distance of less than 0.7 km.

229

### 230 **Interpretation and depositional model**

231

232 Figure 5A-E provides a paleogeographic reconstruction of the evolution of the mouth  
233 bar. Its maximum thickness of 5 m indicates that it prograded into a body of water that was at  
234 least this deep. The 1-2.5 m-thick FA2 fines, lateral to the mouth bar at Location 7-1 and 7-3,  
235 reflect differential compaction of the clay-rich sediment relative to the sand, and probable  
236 lateral shallowing of the paleo-water depth. The highly organic-rich, cannelloid nature of these  
237 fine grained sediments suggests the water was of low pH and fresh. Paleoflow data, and an  
238 absence of wave ripples or indicators of tidal reworking suggest the mouth bar represents a  
239 fluvially-dominated end-member.

240 The lower, coarsening up succession rests directly on the main Fire Clay coal, suggesting  
241 mouth bar initiation was rapid after drowning of the peat substrate. The exposed geometric  
242 maximum between the oppositely downlapping clinofolds at the top of the coarsening-up  
243 succession (Fig. 4B) reflects the position of maximum progradation and aggradation of the  
244 mouth bar axial crest (i.e. the locus of deposition). Assuming a strike-symmetrical geometry,  
245 the mouth bar had, by this stage, evolved into a positive topographic feature at least 3 m high,  
246 and 300-400 m wide across depositional strike (Fig. 5A-B). The upper, fining-up succession,  
247 with reduced sandstone bed lengths, represents progressive abandonment of the mouth bar.  
248 The downlap of beds on to the main Fire Clay Coal to the west, and offlapping relationship  
249 against older beds to the northeast, reflect lateral, compensational accretion of the mouth bar  
250 towards the west (Fig. 5C-D). Such lateral accretion of mouth bars has been described from the



251 modern Atchafalaya Delta by Van Heerden and Roberts (1988) and Olariu and Bhattacharya  
252 (2006).

253 The scour that removes up to 3 m of the upper mouth bar at the NW end of the exposure  
254 suggests the feeding channel avulsed to the east at the end of the lifetime of the mouth bar (Fig.  
255 5E). The channel at this stage was up to 3 m deep and more than 30 m wide. This feature was  
256 short-lived and dominated by the bypass of sediment, since little or no clastic material is  
257 present within the channel. After abandonment of the entire mouth bar, peat accumulated  
258 preferentially in the abandoned channel, overlapped its margins, and expanded across the newly  
259 emergent flood plain to form the rider coal.

260 The depth of the basin receiving the sediment (c. 5 m) was not much greater than the  
261 depth channel feeding the mouth bar (c. 3 m). Consequently, the relief of the basin would have  
262 not been sufficient for the incoming plume to descend and become hypopycnal. Evidence in the  
263 form of, (1) the limited extent of beds, which pass rapidly down-dip from proximal (FA5) to  
264 distal (FA4A) mouth bar deposits, and downlap on to the Fire Clay Coal across a distance of less  
265 than 200 m; (2) the occurrence of cross bedding in FA5, and (3) the clay-grade nature of fines  
266 (FA2) lateral to the mouth bar at Locations 7-1, 7-2 and 7-3, is indicative of sustained flows at  
267 the distributary mouth, the inertia of which was overcome over short distances (c. 200 m) by  
268 bed friction, resulting in rapid deposition of the sediment load (i.e. it was a friction dominated  
269 mouth bar). Because hypopycnal flows are, by definition, buoyancy-dominated (Wright, 1977),  
270 incoming flows which generated this mouth bar must have been homopycnal. Homopycnal  
271 inflow, and friction-dominance would be expected in such a setting, where the receiving basin is  
272 shallow relative to the thickness of the incoming turbulent flow, such that the flow expands  
273 laterally, increasing the relative influence of turbulent bed friction upon it (Wright, 1977). In  
274 this example, rapid deposition of the fine fraction of any suspended sediment may also have  
275 been aided by flocculation in the low pH conditions (e.g. Staub and Cohen, 1978).

276 The lower storey in the multi storey succession of traction-load trunk or distributary  
277 channel-fills (FA9) at Locality 7-1 is considered to be broadly coeval with, or a little older than  
278 the mouth bar. This is because the subaerial levee deposits (FA7) that fine away from the  
279 channel-fill grade upward and towards the SW into FA2 fines (Fig. 4A). Since FA2 fines in turn  
280 grade from the mouth bar, it is logical that the lower storey in the multi storey channel is either  
281 older than, or coeval with the mouth bar. A similar relationship can be determined from FA7 at  
282 Location 7-5 (not shown on Fig. 5), which also grades upward and laterally into FA2. However,  
283 these subaerial levee deposits are truncated by a younger FA9 storey, and cannot be matched to  
284 the deposits of the channel that existed at that time (Fig. 4A).

285 The development of these channel-levee complexes may have contributed to the  
286 mechanism of confinement for the standing body of water into which the mouth bar prograded

287 (i.e. an interdistributary lake or embayment), although the height of the levees (a maximum of c.  
288 3 m at Location 7-1, close to the contact with the FA9 channel) is less than the total thickness of  
289 sediment accumulated in the mouth bar complex (5 m). The mouth bar complex was deposited  
290 where the Fire Clay Coal is at its thickest (1.3 m at Location 7-2, compared with <1.0 m at all  
291 other localities). Peat is one of the most compactable sediments (Ryer and Langer, 1980), and  
292 much of this compaction happens through early passive dewatering during the accumulation of  
293 peat (Nadon, 1998; Van Asselen et al., 2009, 2010), suggesting that differential compaction of  
294 the underlying peat may have contributed local accommodation for the accumulation of the  
295 mouth bar.

296 Overall, the scale of this mouth bar, c. 600-800 m along depositional strike, and 5 m  
297 thick, and its depositional context, adjacent to a broadly coeval major trunk or distributary  
298 channel, suggests that it belongs to a “crevasse delta” (e.g. Coleman and Gagliano 1964;  
299 Arndorfer 1973; Fielding 1984, 1987; Tye and Kisters 1986; Pulham 1989; Tye and Coleman  
300 1989; Roberts 1997; Gugliotta et al., 2015). It represents a fluviially-dominated end-member.  
301 The inflow was largely homopycnal, due mainly to a relatively shallow water depth which  
302 inhibited the formation of hyperpycnal underflows. The dominance of frictional deceleration of  
303 the flow at its base led to rapid sedimentation and the formation of short bed lengths which  
304 downlap abruptly on to the underlying substrate. This mouth bar should be considered friction-  
305 dominated, although the accumulation of organic-rich fines on the margins of the mouth bar are  
306 probably indicative of a component of hypopycnal outflow (i.e. there was a component of  
307 buoyancy-influence).

308

### 309 MOUTH BARS IN THE BETSIE SHALE, U.S. 23 AND SIDE ROADS, PIKE COUNTY

310

311 In Pike County, the Betsie Shale is exposed at road level: (1) for c. 6 km in 12 road-cuts  
312 along U.S. 23, between the Pikeville “cut-through” in the S, and Coal Run Village in the N,  
313 between mileposts 24 and 28; (2) for c. 1.5 km in five road-cuts along Stone Coal Road (Ky.  
314 3227) between mileposts 0 and 1; and (3) for c. 2 km in five road-cuts along U.S. Route 119 (U.S.  
315 119), between mileposts 1 and 2 (Fig. 1D; Fig. 6). The Betsie Shale is defined by two coals (FA8);  
316 the upper coal of the Bingham Coal zone, and the lower coal of the Lower Elkhorn Coal zone  
317 (Chesnut, 1991). The entire stratigraphic section is exposed in the south of the study area (e.g.  
318 Location 23-11), and is approximately 50 m thick. Paleoflow data (Fig. 6A) and the architecture  
319 of the mouth bar complex indicate progradation towards the NW or WNW. Paleocurrents in  
320 prodelta turbidites (FA3) are unimodal towards the W, whereas those from thin-bedded and  
321 tidally modified density-flow and traction-load deposits are bimodal towards the E-NE and SW.  
322 Hence the S-N oriented U.S. 23 road-cuts provide an oblique depositional strike section view

323 through the complex, whereas the SW-NE oriented Ky. 3227 road cuts and the WSW-ENE  
324 oriented US. 119 road-cuts are oblique dip-sections.

325

## 326 **Architecture**

327

328 The correlation panels suggest that three mouth bars (MB1-3) are partly exposed in the  
329 Betsie Shale. In oblique strike view along U.S. 23 (Fig. 6C), MB1 comprises three coarsening-up  
330 successions (MB1a-c). The lower coarsening-up succession, MB1a, is composed of 10-15 m of  
331 prodelta fines (FA1) above the Bingham Coal, that pass upward into prodelta turbidites (FA3).  
332 When restored to paleohorizontal, beds in MB1a show apparent dips to the N, of <1°, but  
333 insufficient exposure prohibits the determination of the clinoform geometry. Above this, a  
334 second, c. 40 m coarsening-up succession, MB1b, comprises prodelta fines (FA1) that pass  
335 upward through prodelta turbidites (FA3), into a succession of distal mouth bar deposits (FA4),  
336 interstratified with terminal distributary channels (FA6) and capped by proximal mouth bar  
337 deposits (FA5). Beds in MB1b show apparent dips to the N at <1° in the lower part, increasing to  
338 c. 5° in the 10 m of the coarsening-up succession. At location 23-11, a sigmoidal clinoform  
339 geometry is evident in MB1b, as apparent bedding dips decrease to <1° below the Lower  
340 Elkhorn Coal (Fig. 6D). Paleocurrent indicators in MB1a and MB1b are towards the NW.  
341 Correlations indicate that FA4 and 5 pass down clinoform into FA1 and 3, although individual  
342 beds cannot be traced between road cuts 23-11 and 23-6 to 23-10. Between locations 23-6 and  
343 23-5, MB1b bottomsets are truncated, and offlapped by MB1c, a coarsening-up succession >20  
344 m thick of prodelta fines (FA1) and turbidites (FA3) that pass upward into distal mouth bar  
345 deposits (FA4A). Clinoforms in MB1c have a concave-up geometry, with beds thickest and  
346 coarsest at the base of the foreset, with fining and thinning up foreset and towards the  
347 bottomsets. Paleoflow indicators in MB1c are towards the S. In oblique dip view, along U.S. 119,  
348 the top of MB1c is exposed. Apparent bedding dips are horizontal relative to the overlying  
349 Lower Elkhorn Coal, and increase to < 1° to the WNW.

350 MB2 comprises a coarsening-up succession, followed by a fining-up succession that  
351 exceeds 20 m thickness. In the coarsening-up succession, prodelta fines (FA1) pass upward into  
352 a complexly interstratified succession of distal mouth bar (FA4) and proximal mouth bar (FA5)  
353 deposits, and terminal distributary channel-fills (FA6). A single, well developed unit of cyclic  
354 rhythmites (FA4B) occurs within the distal mouth bar deposits (Fig. 6C). The upper fining-up  
355 succession is represented by a return from proximal mouth (FA5) to distal mouth bar (FA4). In  
356 strike view, along U.S. 23 (Fig. 6C), MB2 displays a concave-up clinoform geometry to the north,  
357 which becomes a sigmoidal geometry southwards. It is not clear whether this change in  
358 geometry is of depositional origin, or due to progressively deeper top-truncation beneath

359 subaerial crevasse splay and levee deposits (FA7) underlying the Lower Elkhorn Coal. Foresets  
360 display apparent dips of 2°, increasing to 3° towards the south, and short, relatively steep  
361 bottomsets that downlap to the south on to the upper surface of MB1, which displays an  
362 apparent dip to the N. Topsets and upper foresets of MB2 comprise FA4, 5 and 6. Bed thickness,  
363 grainsize and degree of scouring at the bases of beds decrease down foresets, and the lower  
364 foresets and bottomsets comprise FA1 and FA4. Across strike, paleoflow is to the N or NW in the  
365 upper foresets to the north (Location 23-1), and towards the NE in the lower foresets to the  
366 south (locations 23-2 and 23-3). A subordinate, but significant number of readings indicate  
367 paleoflow to the SW. In depositional dip view, along Ky. 3227, the upper forests of MB2 display  
368 an oblique parallel clinoform geometry with foresets dipping at 2-3° to the SW at 3227-1 to  
369 3227-4, increasing to 20° at 3227-5. The absence of topsets and the parallel geometry is  
370 ascribed to later top-truncation. The succession comprises the same facies associations as in  
371 strike view, and the same prominent interval of cyclic rhythmites (FA4B) as seen along U.S. 23 is  
372 exposed. A discrete interval of dish-and-pillar-structures, with apparent fold vergence to the  
373 NW occurs within FA5. Paleoflow azimuths are broadly parallel to foreset dip, but the majority  
374 are oriented up-dip, to the SW, with a minority oriented down-dip, to the NE.

375 In strike view, along U.S. 23 (Fig. 6C), MB3 comprises, c. 15 m of prodelta fines (FA1)  
376 that pass upward into distal mouth bar deposits (FA4). These are truncated by erosively-based  
377 proximal mouth bar (FA5) and subaerial crevasse splay and levee (FA7) deposits. Clinoform  
378 surfaces in strike view are concave-down, top truncated, and have apparent dips to the N, which  
379 downlap or onlap against the top of MB2. The oblique depositional dip view through MB3  
380 reveals a similar facies succession, and a distinct interval of soft sediment deformation, with  
381 apparent fold vergence to the WNW and well-developed angle-of-repose cross strata with  
382 asymptotic bottomsets. The thickness of MB3 increases from c. 10 m up dip to c. 30 m down dip,  
383 as clinoform bottomsets downlap on to the easterly dipping upper surface of MB1 and MB2.  
384 Clinoforms have a parallel geometry and dip by c. 2-3° to the ESE, and have short bottomsets  
385 (Fig. 6I). These evolve into a more concave-down geometry to the WNW, with foresets dipping c.  
386 4-5° and longer bottomsets. The concave-down geometry is the results of the top-truncation of  
387 top sets beneath subaerial crevasse splay and levee deposits (FA7) which underlie the Lower  
388 Elkhorn Coal.

389

## 390 **Interpretation and depositional model**

391

392 Figure 7 provides a paleogeographic reconstruction of the evolution of the mouth bar  
393 complex in the Betsie Shale. The Betsie Shale is approximately 50 m thick, setting a minimum  
394 water depth into which the mouth bar complex prograded. Facies and paleoflow data suggest

395 that the mouth bar was subject to tidal influence, as interpreted in a succession of mouth bars  
396 developed in the younger Magoffin Shale in the **Four Corners Formation of the** Breathitt Group  
397 (Adkins and Eriksson, 1998; Fig. 2).

398 The lower coarsening-up succession, MB1a, is interpreted as a detached frontal lobe  
399 which prograded ahead of the main mouth bar, MB1. It is interpreted as such because bedding  
400 orientations place this succession in the clinoform bottomset, and it is overlain by a succession  
401 of FA1 prodelta fines at the base of MB1b (Fig. 7A), which forms the base of the MB1 mouth bar  
402 *sensu stricto*. Detached frontal lobes have also been described by Martinsen (1990), Mutti et al.  
403 (2003) and Ahmed et al. (2014). The normally-graded FA3 beds in MB1a (i.e. Tc-Te turbidite  
404 subdivisions of Bouma, 1962) are the product of deposition from underflows that had sufficient  
405 density, inertia and run-out distance to achieve autosuspension. The latter were provided by a  
406 sufficiently long, steep slope. The length and gradient of this slope cannot be determined as it is  
407 not exposed, but it was of the order of several kilometres long. The origin of these turbidites  
408 may have been oversteepened MB1 clinoform forests up-dip, that failed and ignited submarine  
409 slides which transformed into density currents (e.g. Parker, 1982; Emms, 1999). These density  
410 currents were sufficiently energetic for bypass of the mouth bar foreset, leading to deposition  
411 on the basin floor. Alternatively, the density contrast between the effluent discharge at the river  
412 mouth and the ambient water body may have been sufficient such that the flows became  
413 hyperpycnal. Under these circumstances, the inertia of the underflows, provided by high  
414 discharge (possibly during flood stage only), and maintained by high slope gradients at the  
415 distributary mouth, would have needed to have been great enough for a proportion of the  
416 sediment to bypass the mouthbar foreset and deposit on the basin floor in front. Hyperpycnal  
417 flows occur most commonly in fresh water settings, because marine waters are denser than  
418 fresh water and buoy the fresh fluviially derived effluent. The occurrence of lingulid brachiopods  
419 in the Betsie Shale in the study area (Alvord and Holbrook, 1965), may be indicative of marine  
420 to brackish water, but the progradational sediment package, general paucity of fully open-  
421 marine fauna, lack of significant bioturbation, may all indicate significant fresh-water flushing  
422 during progradation (Bhattacharya and MacEachern, 2009). Hyperpycnal flows in marine  
423 settings have been documented when suspended sediment densities greater than c. 25 kg/m<sup>3</sup>  
424 are input into the receiving body of water (Mulder and Syvitski, 1995; Wright and Friedrichs,  
425 2006; Lamb and Mohrig, 2009).

426 The apparent northward dip of clinoforms in MB1b implies downlap on to the MB1a  
427 frontal lobe, and a component of lateral accretion during growth of the mouth bar (Fig. 7B-C). In  
428 the topset and upper foreset of MB1b, the predominance normal, inverse inverse-normal and a  
429 lack of grading in FA4 beds is characteristic of deposition in a system that was responding  
430 strongly to waxing and waning of the fluviially-derived input, although there is uncertainty

431 whether these were the products of homo- or hyperpycnal flows, or some of each. Scour  
432 surfaces within FA4, but more especially at the base of FA5 and FA6 are also indicative of  
433 erosion and sediment bypass, suggesting some of the flows were hyperpycnal. The development  
434 of cross bedding in the coarser deposits of FA5 and 6 that are interstratified with FA4, is  
435 indicative of sustained bedload transport, and substantial frictional deceleration, but both  
436 homo- and hyperpycnal flows will experience bed friction (Ahmed et al., 2014). The occurrence  
437 of bidirectional paleoflow indicators and rhythmic bedding in FA4b implies the influence of  
438 tides on the system; the acceleration, dampening or reversing of fluvially-derived inflow,  
439 lessening of the density gradients between the incoming and ambient flow at the river mouth,  
440 and the reworking of already deposited sediment or reactivating their associated bedforms  
441 (Wright, 1977; Dalrymple, 2010). The down-clinoform transformation of FA4 into FA3  
442 turbidites in MB1b suggests that a proportion of FA4 beds were the products of hyperpycnal  
443 flows, which were provided with sufficient run-out distance and gradient to promote  
444 autosuspension, and for the denser part of the underflow to overtake the less dense portion, and  
445 generate normally graded beds (e.g. Mulder et al., 2003). Based on the cross section in Figure  
446 6D, this slope was at least 2-3 km long, with a maximum gradient of at least 5°. MB1b  
447 bottomsets between locations 23-6 and 23-5 were scoured, and subsequently overlapped by  
448 MB1c as lateral, NW-directed accretion of MB1 continued. The scour attests to erosion and  
449 further sediment bypass in MB1 at this time. However, if any detached frontal lobes formed  
450 ahead of the mouth bar at this time, they are not exposed in the plane of section exposed by the  
451 road cuts documented in this study. The downlap surface of MB2 on to MB1 represents the  
452 position of maximum progradation of MB1. The position of the clinoform rollover or top-  
453 truncation of topset to this downlap surface is not exposed, but its projected position (Fig. 6C)  
454 suggests that the mouth bar top may have by this stage grown to more than 1 km across  
455 depositional strike (Fig. 7C).

456 Wright (1977) suggested that for a mouth bar to be classified as inertia-dominated,  
457 hyperpycnal flows must be supercritical. No evidence is observed for supercritical bedforms  
458 such as antidunes or humpack dunes anywhere in MB1, so the mouth bar cannot necessarily be  
459 interpreted as “inertia-dominated”. However, the interpreted bypass and autosuspension of  
460 turbulent flow, and deposition of beds with lengths that exceed many hundreds of meters,  
461 suggest the flows had more inertia than, for example, the mouth bar in the Fire Clay coal zone,  
462 and mouth bars in the upper part of the Betsie Shale Mouth Bar Complex (see below). The  
463 inertia of these flows were able to exceed and resist turbulent bed friction and turbulent  
464 diffusion over distances of several kilometers.

465 MB1 was abandoned, and MB2 prograded from the SW, into the unfilled accommodation  
466 north of MB1. Bar accretion was directed towards the NE, oblique to paleocurrents, and MB2

467 bottomsets downlapped and onlapped the foresets and bottomsets of MB1 (Fig. 7D). Hence,  
468 MB2 prograded into a body of water that was shallowed by deposition of the older mouth bar.  
469 As with the mouth bar in the Fire Clay Coal zone, the lower coarsening-up succession in MB2  
470 represents progradation of the mouth bar, whereas the upper fining-up succession represents  
471 its gradual abandonment, and the back-stepping of the locus of sediment deposition (Fig. 7E). In  
472 MB2, mouth bar and terminal distributary channel facies do not pass down-clinoform into delta  
473 front turbidites, and clinoforms have relatively short bottomsets where they downlap onto MB1.  
474 As with MB1, the dominant type of outflow in MB2 is not clear. Incision of FA4 by subaqueous  
475 channelized elements of FA6 most likely represent short episodes of bypass followed by  
476 backfilling, caused by plunging hyperpycnal flows associated with increased discharge at the  
477 river mouth. Hyperpycnal flows were, however, unable to attain autosuspension. The shallower  
478 water and decreased length of clinoform slope, to c. 1 km or less, promoted lateral expansion of  
479 the incoming plume, increasing interference with the sediment interface, and was not conducive  
480 to maintenance of plume inertia. The plume jet rapidly decelerated, and deposited over just a  
481 few hundreds of meters. Normal, inverse, or a lack of grading in FA4 beds were a response to  
482 waxing and waning of the fluvially-derived outflow, which may also have been modulated by the  
483 effects of tides. The bypass surfaces at the base of FA6 channels cannot be traced with  
484 confidence down-dip into deposits, but it is proposed that no detached frontal lobe would have  
485 formed ahead of MB2 because the system was more friction dominated at this stage, and the  
486 incoming plumes had reduced run-out length for maintenance of their inertia.

487 In both depositional dip and strike view, the gradual steepening of clinothem foresets in  
488 MB2 represents gradual steepening of the mouth bar front. The downlap surface of MB3 on to  
489 MB2 represents the position of maximum progradation of MB2. At the time of abandonment,  
490 MB2 mouth bar top had a length of more than 1 km across depositional strike (Fig. 7E).

491 Following abandonment of MB2, MB3 prograded in a NE direction into the unfilled  
492 accommodation between MB1 and MB2. Bathymetry was strongly controlled by the previous  
493 deposits of MB1 and MB2, and the increase in (undecomacted) thickness of MB3 from c. 10 m  
494 to 30 m down dip, along U.S. 119 reflects the sea floor gradient across which MB3 was  
495 prograding. Similarly to MB2, **delta front** turbidites are absent from MB3 in the study area,  
496 consistent with deposition in relatively shallow water compared to earlier mouth bars. The  
497 paleogeographic reconstruction implies that the vector of mouth bar progradation was strongly  
498 downstream, relative to MB1 and MB2 which display strong lateral as well as axial accretion  
499 components. Proximal mouth bar sediments (FA4) in the upper part of MB3 display strong  
500 erosion into the distal mouth bar sediments (FA3), also suggesting deposition in a more  
501 confined setting.

502 The absence of delta front turbidites, in MB2 and MB3 indicate that the mouth bars can  
503 be considered more friction dominated than MB1. However, the presence of subaqueous  
504 terminal distributary channels in both suggested that flows were able to become hyperpycnal,  
505 at least episodically, and the the mouth bars can therefore be considered more inertia-  
506 influenced than the mouth bar in the Fire Clay coal zone.

507 No major distributary channel is observed flanking the margins of any of the mouth bars  
508 in the Betsie Shale, although the large number of amalgamated terminal distributary channels  
509 that cap the coarsening up succession in MB2 at locations 23-1 to 23-2, suggest the trunk  
510 distributary channel may have been located very near-by; perhaps just up depositional dip.  
511 However, it appears that all three mouth bars were abandoned by avulsion of the distributary  
512 channel up stream before it was able to prograde into the study area.

513 The scale of the mouth bars in the Betsie Shale, exceeding 20-30 m thick, and with  
514 clinoform foresets extending over more than 1 km, suggests that this complex represents major  
515 delta front progradation into the “Betsie Shale Seaway”. The mouth bars in the complex were  
516 fluvially dominated, but tidally modulated. Whether outflow was dominantly homo- or  
517 hyperpycnal is not clear. However, plunging hyperpycnal flows did develop, especially in MB1,  
518 where they were able maintain sufficient inertia to bypass the mouth bar foreset and deposit a  
519 frontal lobe on the basin floor. Later mouth bars MB2 and MB3 also show evidence episodic  
520 hyperpycnal flows, but no frontal lobe was developed in these cases, true normally-graded  
521 turbidite successions did not develop, and bed lengths were reduced, indicating the increased  
522 dominance of frictional processes in these mouth bars. All mouth bars in the Betsie Shale are  
523 considered friction dominated, because there is no evidence for the development of  
524 supercritical bedforms in the succession. However, MB1 was more strongly influenced by  
525 inertial forces than MB2 and MB3, and this was a function of the decreasing bathymetry, of the  
526 “Betsie Shale Seaway”.

527

## 528 **DISCUSSION AND SUMMARY**

529

530 Analysis of these Breathitt Group mouth bar examples contributes to a small, but  
531 growing number of outcrop-based studies of internal mouth bar architecture and mouth bar  
532 complex stacking patterns (Martinsen, 1990; Willis et al., 1999; Chidsey et al., 2004; Olariu and  
533 Bhattacharya, 2006; Gani and Bhattacharya, 2007; Porebski and Steel, 2006; Enge et al., 2010;  
534 Ahmed et al., 2014; Gugliotta et al., 2015).

535 Mouth bars can be classified according to two main schemes that are based on the main  
536 sedimentary depositional and reworking processes considered important in their construction:  
537 the fluvial-, tidal-, and wave-dominated classification of Galloway ( 1975), or the buoyancy-,



538 friction-, and inertia-dominated classification of Bates (1953) and Wright (1977). The former  
539 has received considerably more attention in the literature because evidence for (the relative  
540 roles of) tidal and wave activity can be readily reconstructed from sedimentary structures and  
541 associated paleoflow indicators in the mouth bar. Conversely, the latter has received little  
542 attention because Wright's (1977) classification proposed expected plan-view morphologies for  
543 the different types of mouth bar, but not the expected bedforms and sedimentary structures.  
544 Even with excellent exposure such as that documented in this study, subtleties in the original  
545 plan view geometries of the mouth bars are extremely difficult to reconstruct, and the map  
546 views presented in Figures 5 and 7 are considered too crude to use to classify the mouth bars  
547 according to either scheme.

548 By combining sedimentological and architectural data, it is proposed that the mouth  
549 bars documented in this study can be ordered flowingly, from least to most friction-dominated:  
550 MB1, MB2, MB3 (from the Betsie Shale), and the mouth bar in the Fire Clay Coal Zone. Each was  
551 interpreted to have formed in shallower water, from c. 50 m to 5 m. MB2 and 3 show reduced  
552 bed lengths compared to MB1, and these downlap sharply on to MB1. In the mouth bar in the  
553 Fire Clay Coal Zone, beds downlap and terminate sharply on the underlying Fire Clay Coal. Short  
554 bed lengths (100s m), abrupt downlap and poorly developed bottomsets are architectural  
555 features that are likely associated with high bed friction and rapid flow deceleration in  
556 relatively shallow water settings (Fig. 8). Conversely, longer bed lengths (kms), gradual tapering  
557 of beds and better developed bottomsets are likely characteristic of flows that had or  
558 maintained greater inertia.

559 Sedimentologically, thin beds of the distal mouth bar FA4, displaying normal, inverse,  
560 inverse-normal, or a lack of grading, are present in all mouth bars, and are enigmatic in their  
561 origin. Similar beds in other prodeltaic successions have been interpreted as hyperpycnal in  
562 origin (e.g. Mulder et al., 2003; Soyinka and Slatt, 2008; Zavala et al. 2011), but the occurrence  
563 of this facies in the mouth bar in the Fire Clay Coal Zone suggests in this case study they may  
564 also be generated by homopycnal flows. Mouth bars MB1-3 do, however, show evidence for  
565 deposits that are definitively of hyperpycnal in origin. MB1, displays successions of well-  
566 developed normally-graded turbidites (FA3) in the frontal lobe, as well as in the lower forests  
567 and bottomsets of the mouth bar itself. This suggests that flows were able to maintain or  
568 increase their inertia due to the long run-out distances (>2 km) at the mouth bar front, and the  
569 flows were able to achieve autosuspension (Fig. 7A and B). MB2 and 3 lack turbidites, but like  
570 MB1, contain subaqueous terminal distributary channels (FA6) in their topsets and upper  
571 forests, indicative of plunging underflows. The absence of turbidites associated with MB2 and 3  
572 can be explained by the fact that they were prograding into shallower water, and frictional  
573 deceleration of the hyperpycnal flows prohibited bypass of the mouth bar, and the formation of

574 a frontal lobe on the basin floor, or the formation of plumes capable of depositing normally-  
575 graded turbidites. The mouth bar in the Fire Clay Coal Zone contains the greatest proportion of  
576 (climbing) ripple and trough cross bedding development, and these structures are considered to  
577 be most representative of friction-dominated end-members (Turner and Tester, 2006). None of  
578 the mouth bars in this study show evidence for currents having become supercritical, so  
579 following Wright (1977), none can be interpreted as having been inertia-dominated. This result  
580 is important, because it suggests that the formation of a frontal lobe, on the basin floor ahead of  
581 the mouth bar itself, in an architectural element that is characteristic of (the maintenance of)  
582 significant inertial-forces in the outflowing jet, in an overall friction-dominated setting, but may  
583 not necessarily be evidence that the mouth bar was inertia-dominated (Fig. 8).

584 Documentation of the internal architecture and changes in facies distributions within  
585 individual mouth bars also provide evidence for intrinsic mouth bar processes which may not  
586 be fully resolved in plan-view “snapshots” of modern systems. For example, in the mouth bar in  
587 the Fire Clay coal zone, the upper fining-up succession is evidence for gradually reduced  
588 channel discharge upstream, and suggests that mouth bar aggradation had reduced discharge  
589 sufficiently to induce “choking”, of the feeding distributary channel, and possibly upstream  
590 avulsion of the distributary. This fining-upward succession, however, was only deposited on the  
591 westerly flank of the mouth bar (Fig. 5B-D), indicating that, additionally, the easterly flank of the  
592 mouth bar had become abandoned. This contrasts with typical models for mouth bar evolution,  
593 which emphasize the formation of “middle ground bars” (Wright, 1977), where friction at the  
594 bed causes rapid flow deceleration and high rates of sedimentation at the river mouth, which in  
595 turn reduces channel discharge and forces bifurcation of the distributary channel around both  
596 sides of the mouth bar (Elliott, 1986; Olariu and Bhattacharya, 2006; Edmonds and Slingerland,  
597 2007). In this example, growth of the mouth bar instead led to preferential deposition on one  
598 flank, whilst simultaneously, upstream avulsion was reducing discharge in the distributary  
599 feeding the mouth bar. Later, the channel avulsed again, to the other side of the now abandoned  
600 mouth bar, as evidenced by the coal-filled scour to the east (Fig. 4B and 5E). Similar patterns can  
601 be determined from satellite imagery of modern analogues for this crevasse delta (e.g. Fig. 5G):  
602 even though two simultaneous channels flanking an abandoned mouth bar may be present, the  
603 development of active mouth bars downstream from these channels show that only one channel  
604 is active at a time, whilst the other may be in a phase of abandonment. Figure 8 A-C summarises  
605 the possible stratal stacking patterns, coarsening- and fining-up profiles generated in mouth  
606 bars experiencing symmetrical lateral growth, and channel bifurcation around the mouth bar,  
607 asymmetric lateral growth, with discharge being maintained in a distributary on a single flank  
608 of a mouth bar, and upstream avulsion. The observation is important, because the lateral  
609 accretion of a mouth bar during abandonment generates a fining-upward trend, which in

610 **outcrop**, core or wireline data may be mistaken for a the deposits of a channel-fill (c.f. discussion  
611 **in Schomacker et al., 2010**). Similar, gradual abandonment of the distributary channel resulted  
612 in the upper fining-up succession observed in MB2 in the Betsie Shale (Fig. 6C). **The formation**  
613 **of a mouth bars following avulsion has been previously been documented at outcrop by Turner**  
614 **and Tester (2006) and Li and Bhattacharya (2014), and is considered to be characteristic**  
615 **process in deltas that are strongly aggradational, and develop relatively few, larger**  
616 **distributaries on the delta top (Mohrig et al., 2000; Jerolmack and Swenson, 2007). Hence the**  
617 **interpretation that some of the mouth bars in the Upper Breathitt Group record upstream**  
618 **avulsion may shed light on the upstream morphology of the delta top.**

619 A consistent observation from these two case studies is the progressive steepening of  
620 clinoform dip angles within a single mouth bar succession, both in depositional dip and strike  
621 view. Progressive steepening of clinoform foresets have been described previously from  
622 outcrop studies of ancient mouth bars (e.g. Porebski and Steel, 2006; Enge et al., 2010), as well  
623 as from seismic surveys of Pleistocene and younger deltas (e.g. Hart and Long, 1996; Roberts et  
624 al., 2004). Such “oversteepening” has been ascribed to the build-out of deltas over the shelf edge  
625 (Bhattacharya, 2010), but no such shelf edge exists in the case studies documented herein,  
626 suggesting that progressive steepening is the product of the progradation of beds that  
627 successively thin down dip (Enge et al., 2010), and is therefore an inherent property of mouth  
628 bars. This study supports the assertion by Enge et al. (2010) and Gugliotta et al. (2015), that a  
629 key criterion for defining the contact between one mouth bar and another, within a mouth bar  
630 complex, is the recognition of a surface which demarks lower angle clinoform onlap of the later  
631 mouth bar on to the higher angle clinoform of the earlier mouth bar.

632 **When looking at mouth bar complex architecture, in the Betsie Shale, the architectural**  
633 **differences between MB1 and MB2 and 3 (i.e. presence of a frontal lobe in MB1, and an absence**  
634 **in MBs 2 and 3; beds that taper gently with well-developed bottomsets in MB1, but short bed**  
635 **lengths which downlap abruptly with an absence of well-developed bottomsets in MBs 2 and 3),**  
636 **are a function of the shallowing of the water into which they prograded. Since in-filling of**  
637 **accommodation is generated by the deposition of successive mouth bars in a mouth bar**  
638 **complex, it is proposed that a succession of mouth bars that are increasingly friction-dominated**  
639 **is a fundamental intrinsic property of mouth bar complexes. Differences in the sedimentology of**  
640 **MB1 versus MB2 and 3 (i.e. an absence of well-developed turbidites in MBs 2 and 3) may be**  
641 **interpreted similarly.**

642 The mouth bars in the Fire Clay Coal zone, and MB1 and 2 in the Betsie Shale  
643 demonstrate initial progradation, followed by lateral accretion and progradation, followed by  
644 retrogradation of the locus of deposition during gradual shut-off of the sediment supply (Fig.  
645 5A-D and Fig. 7A-E). From this study, these patterns are considered to represent the normal

646 migration of the locus of sediment deposition in **friction-dominated** mouth bars under  
647 conditions of static relative sea/lake level and in relatively unconfined settings, although this  
648 conclusion may need to be verified against further studies of mouth bar architecture. Clinof orm  
649 stacking patterns in MB3 in the Betsie shale, however, imply stronger progradation, reflecting  
650 confinement of the mouth bar between MB1 and 2. MB3 represents the complete in-filling of  
651 accommodation within the study area, before it became a zone of bypass. These examples  
652 illustrate that upward changes in mouth bar architecture and facies distributions within a  
653 mouth bar complex, are to a certain degree, a predictable product of shallowing and increasing  
654 confinement during delta progradation. They may also offer insight into the stacking patterns  
655 and facies distributions that may be expected under dynamic conditions of relative sea/lake  
656 level change and sediment supply.

657

## 658 **ACKNOWLEDGEMENTS**

659

660 This project was funded by Statoil. Thanks to W. Bower, and A. Dawson, for field  
661 assistance. J. Koldingsnes and Ø. Spinnangr are thanked for the imagery in Figures 3M, 4C and D.  
662 **We are grateful for reviews by J. Bhattacharya, C. Olariu and associate editor B. Pratt, that**  
663 **improved the manuscript substantially.** The views expressed in the paper are, however, the  
664 authors' alone.

665

## 666 **REFERENCES CITED**

667

668 Adkins, R.M., and Eriksson, K.A., 1998, Rhythmic sedimentation in a mid-Pennsylvanian delta-  
669 front succession, Magoffin Member (Four Corners Formation; Breathitt Group), Eastern  
670 Kentucky: a near complete record of daily, semi-monthly and monthly tidal periodicities, *in*  
671 Alexander, C.R., Davies, R.A., and Henry, V.J., eds., Tidalites: Processes and Products, SEPM  
672 Special Publication 61, p. 85–94.

673 **Ahmed, S., Bhattacharya, J.P., Garza, D.E., and Li, Y., 2014, Facies architecture and stratigraphic**  
674 **evolution of a river dominated delta front, Turonian Ferron Sandstone, Utah, U.S.A.: Journal**  
675 **of Sedimentary Research, v. 84, p. 97-121.**

676 Aitken, J.F., and Flint, S.S., 1994, High-frequency sequences and the nature of incised valley-fills  
677 in fluvial systems of the Breathitt Group (Pennsylvanian), Appalachian foreland basin,  
678 eastern Kentucky, *in Dalrymple, R.W., Boyd, R., and Zaitlin, B., eds., Incised Valley Systems:*  
679 *Origin and Sedimentary Sequences, SEPM Special Publication 51, p. 353–368.*

680 Aitken, J.F., and Flint, S.S., 1995, The application of high-resolution sequence stratigraphy to  
681 fluvial systems: a case study from the Upper Carboniferous Breathitt Group, eastern  
682 Kentucky, USA: *Sedimentology*, v. 42, p. 3–30.

683 Alvord, D.C., 1965, Geologic Map of the Broad Bottom Quadrangle, Eastern Kentucky: U.S.  
684 Geological Survey, 7.5-Minute Geological Quadrangle Map, GQ-442, **scale 1:24000, 1 sheet.**

685 Alvord, D.C., and Holbrook, C.E., 1965, Geological map of the Pikeville quadrangle, Pike and  
686 Floyd Counties, Kentucky: U.S. Geological Survey, 7.5-Minute Geological Quadrangle Map  
687 GQ 480, **scale 1:24000, 1 sheet.**

688 Bates, C.D., 1953, Rational theory of delta formation: *Bulletin of the American Association of*  
689 *Petroleum Geologists*, v. 37, p. 2119–2162.

690 Bhattacharya, J.P., 2006, Deltas, *in Posamentier, H.W., and Walker, R.G., eds., Facies Models*  
691 *Revisited*, SEPM Special Publication 84, p. 237–292.

692 Bhattacharya, J.P., 2010, Deltas, *in James, N.P. and Dalrymple, R.W., eds., Facies Models 4,*  
693 *Geological Association of Canada*, p. 233–264.

694 **Bhattacharya, J.P., and MacEachern, J.A., 2009, Hyperpycnal rivers and prodeltaic shelves in the**  
695 **Cretaceous seaway of North America: *Journal of Sedimentary Research*, v. 79, p. 184-209.**

696 Bouma, A.H., 1962, *Sedimentology of Some Flysch Deposits: Amsterdam*, Elsevier, 168 p.

697 Chesnut, D.R., 1994, Eustatic and tectonic control of the lower and middle Pennsylvanian strata  
698 of the central Appalachian Basin, *in Dennison, J.M. and Etensohn, F.R., eds., Tectonic and*  
699 *Eustatic Controls on Sedimentary Cycles, SEPM Concepts in Sedimentology and*  
700 *Paleontology 4*, p. 25–34.

701 Chesnut, D.R., 1996, Geologic framework for the coal-bearing rocks of the Central Appalachian  
702 Basin: *International Journal of Coal Geology*, v. 31, p. 55–66.

703 Chesnut, D.R., 1991, Paleontological Survey of Pennsylvanian Rocks of the Eastern Kentucky  
704 Coal Field: Kentucky Geological Survey Information Circular, **11<sup>th</sup> Series, no. 36**, 71 p.

705 Chesnut, D.R., 1992, Stratigraphic and Structural Framework of the Carboniferous rocks of the  
706 Central Appalachian Basin: Kentucky Geological Survey Bulletin, **11<sup>th</sup> Series, no. 3**, 42 p.

707 Chidsey, T.C., Adams, R.D., and Morris, T.H., 2004, The fluvial-deltaic Ferron Sandstone:  
708 Regional-to-Wellbore-scale Outcrop Analog Studies and Applications to Reservoir  
709 Modelling: *American Association of Petroleum Geologists Studies in Geology 50*, 568 p.

710 Coleman, J.M., and Wright, L.D., 1975, Modern river deltas: variability of processes and sand  
711 bodies, *in Broussard, M., ed., Deltas, Models for Exploration*, Houston, Houston Geological  
712 Society, p. 99–149.

713 Dalrymple, R.W., 2010, Tidal depositional systems, *in James, N. and Dalrymple, R.W. eds., Facies*  
714 *Models 4, Geological Association of Canada*, p. 201–231.

715 Driscoll, N.W., and Karner, G.D., 1999, Three-dimensional quantitative modeling of clinoform  
716 development: *Marine Geology*, v. 154, p. 383–398.

717 Edmonds, D. a., and Slingerland, R.L., 2007, Mechanics of river mouth bar formation:  
718 Implications for the morphodynamics of delta distributary networks: *Journal of*  
719 *Geophysical Research F: Earth Surface*, v. 112, p. F02034.

720 Elliott, T., 1986, *Deltas*, in Reading, H.G. ed., *Sedimentary Environments and Facies*, Oxford, U.K.,  
721 Blackwell Scientific Publications, p. 113–154.

722 Emms, P.W., 1999, On the ignition of geostrophically rotating turbidity currents: *Sedimentology*,  
723 v. 46, p. 1049–1063.

724 Enge, H.D., Howell, J. a., and Buckley, S.J., 2010, The Geometry and Internal Architecture of  
725 Stream Mouth Bars in the Panther Tongue and the Ferron Sandstone Members, Utah,  
726 U.S.A.: *Journal of Sedimentary Research*, v. 80, p. 1018–1031.

727 Englund, K.J., and Thomas, R.E., 1990, Late Paleozoic depositional trends in the central  
728 Appalachian Basin: *U.S. Geological Survey Bulletin*, v. 1839, p. F1–F19.

729 Ferm, J.C., 1970, **Allegheny deltaic deposits**, in Morgan, J.P., ed., **Deltaic sedimentation: Modern**  
730 **and ancient: SEPM Special Publication 15**, 312 p.

731 Ferm, J.C., and Cavaroc, V.V., 1968, A nonmarine sedimentary model for the Allegheny rocks of  
732 West Virginia, in Klein, G. de V.G., ed., *late Paleozoc and Mesozoic Continental*  
733 *Sedimentation, northeastern North America: Geological Society of America Special Paper*  
734 *106*, p. 1–19.

735 Fielding, C.R., Trueman, J.D., and Alexander, J., 2006, Holocene Depositional History of the  
736 Burdekin River Delta of Northeastern Australia: A Model for a Low-Accommodation,  
737 Highstand Delta: *Journal of Sedimentary Research*, v. 76, p. 411–428.

738 Fielding, C.R., Trueman, J.D., and Alexander, J., 2005, Sedimentology of the modern and Holocene  
739 Burdekin River Delta of north Queensland, Australia; controlled by river output, not by  
740 waves and tides: **SEPM Special Publication 83**, p. 467–496.

741 Galloway, W.D., 1975, Process Framework for describing the morphologic and stratigraphic  
742 evolution of deltaic depositional systems, in Broussard, M.L., ed., *Deltas, Models for*  
743 *Exploration*, p. 86–98.

744 Gani, M.R., and Bhattacharya, J.P., 2007, Basic Building Blocks and Process Variability of a  
745 Cretaceous Delta: Internal Facies Architecture Reveals a More Dynamic Interaction of  
746 River, Wave, and Tidal Processes Than Is Indicated by External Shape: *Journal of*  
747 *Sedimentary Research*, v. 77, p. 284–302.

748 Gradstein, F.M., Ogg, J.G., Schmitz, M.D., and Ogg, G.M., 2012, *The Geologic Time Scale*: Oxford,  
749 Elsevier, 1144 p.

750 Greb, S.F., and Archer, A.W., 1995, Rhythmic sedimentation in a mixed tide and wave deposit,  
751 Hazel patch Sandstone (Pennsylvanian), Eastern Kentucky Coal Field: *Journal of*  
752 *Sedimentary Research*, v. 65, p. 96–106.

753 Greb, S.F., and Chesnut, D.R., 1996, Lower and lower Middle Pennsylvanian fluvial to estuarine  
754 deposition, central Appalachian basin: Effects of eustasy, tectonics, and climate: *Bulletin of*  
755 *the Geological Society of America*, v. 108, p. 303–317.

756 Greb, S.F., Chesnut, D.R., Eble, C.F., and Blake, B.M., 2008, The Pennsylvanian of the Appalachian  
757 Basin, *in* Greb, S.F. and Chesnut, D.R. eds., *Carboniferous Geology and Biostratigraphy of*  
758 *the Appalachian Basin: Special Publication of the Kentucky Geological Survey* 10, p. 32–45.

759 Greb, S.F., and Martino, R.L., 2005, Fluvial-estuarine transitions in fluvial-dominated  
760 successions: examples from the Lower Pennsylvanian of the central Appalachian Basin, *in*  
761 *Blum, M.D., Marriott, S.B., and Leclair, S., eds., Fluvial Sedimentology VII: Special*  
762 *Publication of the International Association of Sedimentologists* 35, p. 425–452.

763 Greb, S.F., Pashin, J.C., Martino, R.L., and Eble, C.F., 2008, Appalachian sedimentary cycles during  
764 the Pennsylvanian: Changing influences of sea-level, climate and tectonics, *in* Fielding, C.R.,  
765 Frank, T.D., and Isbell, J.L., eds., *Resolving the Late Palaeozoic Ice Age in Time and Space:*  
766 *Geological Society of America Special Paper* 441, p. 235–248.

767 Gugliotta, M., Flint, S.S., Hodgson, D.M., and Veiga, G.D., 2015, Stratigraphic record of river-  
768 dominated crevasse subdeltas with tidal influence: *Journal of Sedimentary Research*, v. 85, p.  
769 265–284.

770 Hart, B.S., and Long, B.F., 1996, Forced regressions and lowstand deltas: Holocene Canadian  
771 example: *Journal of Sedimentary Research*, v. 66, p. 820–829.

772 Van Heerden, I.L., and Roberts, H.H., 1988, Facies development of Atchafalaya delta, Louisiana: a  
773 modern bayhead delta: *Bulletin of the American Association of Petroleum Geologists*, v. 72,  
774 p. 439–453.

775 Horne, J.C., Ferm, J.C., Caruccio, F.T., and Baganz, B.P., 1978, Depositional models in coal  
776 exploration and mine planning in the Appalachian Region: *Bulletin of the American*  
777 *Association of Petroleum Geologists*, v. 62, p. 2739–2411.

778 *Jerolmack, D.J., and Swenson, J.B., 2007, Scaling relationships and evolution of distributary*  
779 *networks on wave-influenced deltas: Geophysical Research Letters*, v. 34, L23402.

780 Jerrett, R.M., Flint, S.S., and Brunt, R.L. (in review) Palaeovalleys in foreland ramp settings - what  
781 happens when accommodation decreases down-dip: *Basin Research*.

782 Julien, P.Y., 2002, *River Mechanics*: Cambridge, U.K., Cambridge University Press, 434 p.

783 Lamb, M.P., and Mohrig, D., 2009, Do hyperpycnal-flow deposits record river-flood dynamics?:  
784 *Geology*, v. 37, p. 1067–1070.

785 Leeder, M.R., 1973, Fluvial fining-upwards cycles and the magnitude of palaeo-channels:  
786 Geological Magazine, v. 110, p. 265–276.

787 Li, Y., and Bhattacharya, J., 2014, Facies architecture of asymmetrical branching distributary  
788 channels: Cretaceous Ferron Sandstone, Utah, USA: *Sedimentology*, v. 61, p. 1452-1483.

789 MacEachern, J.A., 2010, Ichnology and facies models, in James, N.P. and Dalrymple, R.W., eds.,  
790 Facies Models 4, Geological Association of Canada, p. 19–58.

791 Martino, R.L., 1994, Facies analysis of Middle Pennsylvanian marine units, southern West  
792 Virginia, in Rice, C.L., ed., Elements of Pennsylvanian Stratigraphy, Central Appalachian  
793 Basin: Geological Society of America Special Paper 294, p. 69–86.

794 Martino, R.L., 1996, Stratigraphy and depositional environments of the Kanawha Formation  
795 (Middle Pennsylvanian ), West Virginia: *International Journal of Coal Geology*, v. 31, p.  
796 217–248.

797 Martinsen, O.J., 1990, Fluvial, inertia-dominated deposition in the Namurian (Carboniferous) of  
798 northern England: *Sedimentology*, v. 37, p. 1099-1113.

799 Miall, A.D., 1976, Facies Models, 4. Deltas: Geoscience Canada, v. 3, p. 215–227.

800 Mitchum, R.M., and Van Wagoner, J.C., 1991, High-frequency sequences and their stacking  
801 patterns: sequence-stratigraphic evidence of high-frequency eustatic cycles: *Sedimentary*  
802 *Geology*, v. 70, p. 131–147, 153–160.

803 Mohrig, D., Heller, C., Paola, C., and Lyons, W.J., 2000, Interpreting avulsion processes from  
804 ancient alluvial sequences: Guadalupe Matarranya system (northern Spain) and Wasatch  
805 Formation (western Colorado): *Geological Society of America Bulletin*, v. 112, p. 1787-  
806 1803.

807 Mulder, T., and Syvitski, J.P.M., 1995, Turbidity Currents Generated at River Mouths during  
808 Exceptional Discharges to the World Oceans: *The Journal of Geology*, v. 103, p. 285–299.

809 Mulder, T., Syvitski, J.P.M., Migeon, S., Faugeres, J.-C., and Savoye, B., 2003, Marine hyperpycnal  
810 flows: initiation, behavior and related deposits. A review: *Marine and Petroleum Geology*,  
811 v. 20, p. 861–882.

812 Mutti, E., Tinterri, R., Benevelli, G., Di Biase, D., and Cavanna, G., 2003, Deltaic mixed turbidite  
813 sedimentation of ancient foreland basins: *Marine and Petroleum Geology*, v. 20, p. 733-755.

814 Nadon, G.C., 1998, Magnitude and timing of peat-to-coal compaction: *Geology*, v. 26, p. 727-230.

815 Olariu, C., and Bhattacharya, J.P., 2006, Terminal distributary channels and delta front  
816 architecture of river-dominated delta systems: *Journal of Sedimentary Research*, v. 76, p.  
817 212–233.

818 Olariu, C., Bhattacharya, J.P., Xu, X., Aiken, C.L.V., Zeng, X., and McMechan, G.A., 2005, Integrated  
819 study of ancient delta front deposits, using outcrop, ground penetrating radar and three  
820 dimension photorealistic data: Cretaceous Panther Tongue sandstone, Utah, in Giosan, L.



821 and Bhattacharya, J.P., eds., *River Deltas: Concepts, Models, Examples: SEPM Special*  
822 *Publication 83*, p. 155–178.

823 Orton, G.J., and Reading, H.G., 1993, Variability of deltaic processes in terms of sediment supply,  
824 with particular emphasis on grain size: *Sedimentology*, v. 40, p. 475–512.

825 Parker, G., 1982, Conditions for the ignition of catastrophically erosive turbidity currents:  
826 *Marine Geology*, v. 46, p. 307–327.

827 Porebski, S.J., and Steel, R.J., 2006, Deltas and sea-level change: *Journal of Sedimentary Research*,  
828 v. 76, p. 390–403.

829 Postma, G., 1995, Causes of architectural variations in deltas, *in Colella, L.A., and Prior, D.B., eds.,*  
830 *Geology of Deltas*, Rotterdam, Balkema, p. 3–16.

831 Postma, G., 1990, Depositional architecture and facies of river and fan deltas: a synthesis, *in*  
832 *Colella, A., and Prior, D.B., eds., Coarse-Grained Deltas: International Association of*  
833 *Sedimentologists Special Publication 10*, p. 13–27.

834 Puffett, W.P., 1964, *Geology of the Hazard South Quadtrangle, Kentucky: U.S. Geological Survey,*  
835 *7.5-Minute Geological Quadrangle Map, GQ 343, scale 1:24000, 1 sheet.*

836 Quinlan, G.M., and Beaumont, C., 1984, Appalachian thrusting, lithospheric flexure, and the  
837 Paleozoic stratigraphy of the eastern interior of North America: *Canadian Journal of Earth*  
838 *Sciences*, v. 21, p. 973–996.

839 Reading, H.G., and Levell, B.K., 1996, Controls on the sedimentary rock record, *in* Reading, H.G.  
840 ed., *Sedimentary environments: processes, facies and stratigraphy*, Oxford, U.K., Blackwell  
841 Science, p. 5-36.

842 Rice, C.L., and Hiatt, J.K., 1994, Revised correlation chart of coal beds, coal zones and key  
843 stratigraphic units in the Pennsylvanian rocks of eastern Kentucky: U.S. Geological Survey  
844 *Miscellaneous Field study*, 1 sheet.

845 Rice, C.L., Sable, E.G., Dever, Jr., G.R., and Kehn, T.M., 1979, *The Mississippian and Pennsylvanian*  
846 *(Carboniferous) systems in the United States - Kentucky: U.S. Geological Survey*  
847 *Professional Paper 1110-F*, p. F1–F32.

848 Rich, J., 1951, Three critical environments of deposition, and criteria for recognition of rocks  
849 deposited in each of them: *Geological Society of America Bulletin*, v. 62, p. 1–19.

850 Roberts, H.H., Fillion, R.H., Kohl, B., Robalin, J.M., and Sydow, J.C., 2004, Depositional architecture  
851 of the Lagniappe Delta; sediment characteristics, timing of depositional events, and  
852 temporal relationships with adjacent shelf-edge deltas, *in* Anderson, J.B., and Fillion, R.H.,  
853 eds., *Late Quaternary Stratigraphic Evolution of the Northern Gulf of Mexico Margin: SEPM*  
854 *Special Publication 79*, p. 143–188.

855 Ryer, T.A., and Langer, A.W., 1980, Thickness change involved in the peat-to-coal transformation  
856 for a bituminous coal of Cretaceous age in central Utah: *Journal of Sedimentary Petrology*,  
857 v. 50, p. 987–992.

858 Schomaker, E.R., Kjemperud, A.V, Nystuen, J.P., and Jahren, J.S., 2010, Recognition of sharp-based  
859 mouth-bar deposits in the Eocene Green River Formation, Uinta Basin, Utah:  
860 *Sedimentology*, v. 57, p. 1069-1087.

861 Soyinka, O.A., and Slatt, R.M., 2008, Identification and micro-stratigraphy of hyperpycnites and  
862 turbidites in Cretaceous Lewis Shale, Wyoming: *Sedimentology*, v. 55, p. 1117–1133.

863 Staub, J.R., and Cohen, A.D., 1978, The Snuggedy Swamp of South Carolina: a back barrier  
864 estuarine coal-forming environment: *Journal of Sedimentary Petrology*, v. 49, p. 113-143.

865 Tankard, A.J., 1986, Depositional response to foreland deformation in the Carboniferous of  
866 eastern Kentucky: *Bulletin of the American Association of Petroleum Geologists*, v. 70, p.  
867 853–868.

868 Taylor, A.M., and Goldring, R., 1993, Description and analysis of bioturbation and ichnofabric:  
869 *Journal of the Geological Society [London]*, v. 150, p. 141–148.

870 Thomas, W.A., 1976, Evolution of the Ouachita-Appalachian continental margin: *The Journal of*  
871 *Geology*, v. 84, p. 323–342.

872 Thomas, R.G., Smith, D.G., Wood, J., Visser, J., Calverley-Range, E.A., and Koster, E., 1987, Inclined  
873 heterolithic stratification - terminology, description, interpretation and significance:  
874 *Sedimentary Geology*, v. 53, p. 123–179.

875 Turner, B.R. and Tester, G.N., 2006, The Table Rocks Sandstone: A fluvial, friction-dominated  
876 lobate mouth bar sandbody in the Westphalian B Coal Measures, NE England: *Sedimentary*  
877 *Geology*, v. 190, p. 97-119.

878 Van Asselen, S., Strouthamer, E., and Van Asch, T.W.J., 2009, Effects of peat compaction on delta  
879 evolution: a review on processes, responses, measuring and modeling: *Earth-Science*  
880 *Reviews*, v. 92, p. 35-51.

881 Van Asselen, S., Strouthamer, E., and Smith, N.D., 2010, Factors controlling peat compaction in  
882 alluvial floodplains: A case study in the cold-temperate umberland Marshes, Canada:  
883 *Journal of Sedimentary Research*, v. 80, p. 155–166.

884 Wanless, H.R., 1975, Appalachian region, in McKee, E.D., and Crosby, E.J., eds., *Paleotectonic*  
885 *investigations of the Pennsylvanian System in the United States*, U.S. Geological Survey  
886 *Professional Paper 853*, p. 17–62.

887 Willis, B.J., Bhattacharya, J.P., Gabel, S.L., and White, C.D., 1999, Architecture of a tide-influenced  
888 delta in the Frontier Formation of Central Wyoming, USA: *Sedimentology*, v. 46, p. 667–  
889 688.

890 Wright, L.D., 1977, Sediment transport and deposition at river mouths: a synthesis: Bulletin of  
891 the Geological Society of America, v. 88, p. 867–868.  
892 Wright, L.D., and Coleman, J.M., 1973, Variations in morphology of major river deltas as  
893 functions of ocean wave and river discharge regimes: Bulletin of the American Association  
894 of Petroleum Geologists, v. 57, p. 370–398.  
895 Wright, L.D.Á., and Friedrichs, C.T., 2006, Gravity-driven sediment transport on continental  
896 shelves: a status report: Continental Shelf Research, v. 26, p. 2092–2107.  
897 Zavala, C., Arcuri, M., Meglio, M. Di, Diaz, H.G., and Contreras, C., 2011, A Genetic Facies Tract for  
898 the Analysis of Sustained Hyperpycnal Flow Deposits, *in Slatt, R.M., and Zavala, C., eds.,*  
899 Sediment transfer from shelf to deep water - revisiting the delivery system: AAPG Studies  
900 in Geology 61, p. 31–51.

901

## 902 **FIGURE AND TABLE CAPTIONS**

903

904 **Figure 1. (A) Location of the preserved Pennsylvanian-early Permian succession of the**  
905 **greater Appalachian Basin, and frontal thrust of the Alleghanian Orogeny. Inset, location**  
906 **map in the contiguous U.S.A. (B) Outcrop map of the Breathitt Group in eastern Kentucky,**  
907 **showing isopach of the combined Pikeville and Hyden formations (from Jerrett et al.,**  
908 **accepted). The locations of detailed maps illustrating the position of collected**  
909 **sedimentary logs, and photomosaics used to document mouth bar complexes in this**  
910 **study, (C) and (D), are boxed. Abbreviation: IPCFZ=Irvine-Paint Creek Fault System. (C)**  
911 **Study area of the mouth bar in the Fire Clay Coal zone along Ky. 7 between Viper and Jeff,**  
912 **Perry County. The dashed box represents the area shown in Figures 5A-E. (D) Study area**  
913 **of the mouth bar in the Betsie Shale exposed along U.S. 23 and side-roads in the Pikeville**  
914 **area, Pike County.**

915

916 **Figure 2. (A) Chronostratigraphy and lithostratigraphy of the Pennsylvanian foreland**  
917 **basin succession of the central Appalachian Basin in eastern Kentucky. Based on data**  
918 **from Greb et al. (2008), but recalibrated to the timescale of Gradstein et al. (2012).**  
919 **Abbreviations: AC Fm. = Alvy Creek Formation; BC Fm. = Bottom Creek Formation; BR Sst.**  
920 **= Bee Rock Sandstone; WP Sst. = Warren Point Sandstone; S Sst. = Sewanee Sandstone; (B)**  
921 **Named coals and major marine units of the extensively exposed Pikeville and Hyden**  
922 **formations of the upper Breathitt Group. Locally developed coal seams, and shale**  
923 **members are shown with dashed lines and hatched gray, respectively. The stratigraphic**  
924 **positions of the two mouth bar complexes studied in this contribution are shown.**

925

926 Figure 3. Representative photographs of facies associations (FA). Location names refer to  
927 Fig. 1C and D. (A) FA1, characterized by siltstone interbedded with sideritized thin beds  
928 of very fine silty sandstone. Base of the Betsie Shale Member at Location BA-1. Pole is 1 m  
929 long. (B) FA2, illustrating typical dark gray or black, highly organic, laminated claystone  
930 and siltstone and rare siderite concretions - S. FA2 overlies the Fire Clay Coal - FA8 - at  
931 Location 7-4. Pole is 1 m long. (C) Detail of FA3, illustrating typical sharp, loaded bases to  
932 normally graded fine sandstone beds. Lower portion of the Betsie Shale Member,  
933 Location 23-6. Compass clinometer is 10 cm long. (D) FA3, illustrating the characteristic  
934 "clean" appearance of the sand beds in a "dirty" silty matrix. Lower portion of the Betsie  
935 Shale Member, Location 23-5. Pole is 1 m long. (E) Detail of FA4A, illustrating typical  
936 inverse-normal grading in a fine to medium grained sandstone bed. Upper part of the  
937 Betsie Shale Member, Location 23-2. (F) Characteristically "dirty", poorly sorted nature of  
938 siltstone and silty sandstone in FA4A. Upper part of the Betsie Shale Member, Location  
939 3227-1. Compass clinometer is 10 cm long.

940

941 Figure 3 (cont.). (G) FA4B, characterized by paired "thick" beds of fine-medium grained  
942 sandstone, with recessive "thin" beds of silty fine sandstone. Organisation of these paired  
943 beds into thickening-up-thinning-up-thickening-up successions is typical. FA4B contains  
944 "cleaner", better sorted sandstone beds, relative to FA4A. Upper part of the Betsie Shale,  
945 Location 3227-4. Compass clinometer is 10 cm long. (H) Detail of FA5, displaying tabular  
946 cross bedded medium grained sandstone, and homogenous successions of ripple cross  
947 laminated fine to medium sandstone. Mouth bar in the Fire Clay Coal zone, Location 7-2.  
948 Red taped area of pole in bottom left of photograph is 10 cm long. (I) In FA5, the trace of  
949 soft sediment fold axial planes - AP - indicate down paleoslope vergence to the NW (left),  
950 and folds are truncated by sharp-based plane bedded and ripple cross-laminated  
951 successions of fine and medium grained sandstone. Upper part of the Betsie Shale,  
952 Location 3227-2. (J) FA6 is characterized by sharp, scour-based - SB - trough cross  
953 bedded - TCB - medium to coarse sandstone beds that sometimes amalgamate to form  
954 multi-storey sand bodies. The storey contact - SC - is marked. Upper part of the Betsie  
955 Shale, Location 3227-1. (K) FA6, sharp, scour-based trough cross bedded channel-fills -  
956 C1 to C6 - pass laterally into "wings" of FA4. Channel-fills stack compensationally, and are  
957 separated by several beds of FA4. Upper part of the Betsie Shale, Location 23-2. (L)  
958 Characteristic weathering texture of FA7. Rootlet traces, or larger stigmarian root trunks  
959 of Lycopsid trees are common. Upper part of the Betsie Shale, Location 23-11.

960

961 **Figure 3 (cont.). (M) Photograph and summary sketch of the architecture of FA9. The**  
962 **channel body comprises two erosively-based fining-up storeys – S1 and S2 – of sandstone**  
963 **and heteroliths. In the lower part of S1, sandstone dominated bedsets cross-cut each**  
964 **other, and sequentially truncate and define the base of the storey. Above this, scour-**  
965 **based heterolithic beds dip broadly concordantly and downlap on to the lower succession**  
966 **and define IHS (Thomas et al., 1987). Bedding in IHS is offset by a succession of listric**  
967 **normal faults that dip concordantly with bedding and represent point-bar failure. The**  
968 **sand body is within the Fire Clay Coal zone at Location 7-1. Coeval levee deposits – L – fine**  
969 **away from the channel margin. Person boxed in the photograph for scale.**

970

971 **Figure 4. (A) Oblique strike section through the Fire Clay Coal Zone along Ky. 7. (B)**  
972 **Oblique strike section through the mouth bar in the Fire Clay Coal Zone, corrected for**  
973 **post-depositional deformation by using the top of the main Fire Clay Coal as a datum.**  
974 **Clinoform bedding in the lower coarsening-up succession displays bidirectional downlap**  
975 **on to the main Fire Clay Coal. In the upper fining-up succession, clinoform bedding**  
976 **displays apparent dip to the SW, downlapping on to the Fire Clay Coal, and successively**  
977 **offlapping towards the SW. Note that bedding and normal faults dip towards the SE in**  
978 **FA8 at Location 7-1. (C) Coarsening-up (progradation-aggradation) to fining-up**  
979 **(abandonment) succession of the mouth bar at the NE end of Location 7-2. The mouth bar**  
980 **is truncated to the W by an erosion surface at the base of a channel, abandoned and now**  
981 **filled by the upper coal of the Fire Clay Coal zone. (D) Downlap and offlap of the fining-up**  
982 **succession, at the SE end of Location 7-2. This represents lateral bar accretion during**  
983 **abandonment of the mouth bar. Person boxed for scale. Abbreviations: FC<sub>M</sub> = Main Fire**  
984 **Clay coal; FC<sub>R</sub> = upper coal of the Fire Clay Coal zone; m<sub>P</sub> = mean azimuth of measured**  
985 **paleoflow, m<sub>B/F</sub> = mean dip azimuth of measured bedding or fault plane; n = number of**  
986 **readings taken.**

987

988 **Figure 5. (A-E) Plan view evolution of the mouth bar in the Fire Clay Coal Zone. (A) Mouth**  
989 **bar initiation. (B) Progradation and weak lateral accretion to the west. (C) Gradual**  
990 **abandonment, retrogradation and lateral accretion to the west. (D-E) Abandonment and**  
991 **avulsion of the distributary channel to the east of the mouth bar. Substantial deposition**  
992 **of sediment occurred away from the mouth bar, through settling of hypopycnal silt and**  
993 **clay plumes. Width and meander geometry of the trunk/distributary channel is taken**  
994 **from the generalized relationships between unconfined meandering fluvial channel**  
995 **bankfull depth (storey height) and width (Leeder, 1973), and channel width and**  
996 **meander wavelength and radius of curvature (Julien, 2002), and is shown for illustrative**

997 purposes only. The area of the map is shown in Figure 1C. (F-G) Example analogues for  
998 the crevasse subdelta mouth bar in the Fire Clay Coal zone from the flood plain of the  
999 Columbia River, British Columbia, Canada. (F) Example of a first order mouth bar that  
1000 formed following initial avulsion from the trunk channel [50°56'29"N, 116°24'27"W]. (G)  
1001 Examples of first to fifth order mouth bars. A second order mouth bar is formed following  
1002 initial **bifurcation** around a first order mouth bar, and so on. Note that no mouth bars  
1003 have formed to the west of the mouth bar labelled MB<sub>3</sub>. The channel has not bifurcated  
1004 symmetrically around the mouth bar, and most discharge and sediment load is deflected  
1005 to the east [50°50'16"N, 116°18'16"W]. Abbreviations: MB = Mouth bar; L = Levee.

1006  
1007 Figure 6. (A) All paleoflow measurements from the Betsie Shale Mouth Bar Complex,  
1008 demonstrating a bimodal distribution, but with outflow dominance towards the NW or  
1009 WNW. **Colors refer to the facies association from which the paleoflow measurements**  
1010 **were taken (c.f. Fig. 4).** (B) Oblique strike section through the Betsie Shale along U.S. 23.  
1011 (C) Oblique strike section through the Betsie Shale along U.S. 23, corrected for post-  
1012 depositional deformation by using the base of the Lower Elkhorn Coal as a datum.  
1013 Clinof orm apparent dips are towards the N in Mouth Bar 1, and to the S in Mouth Bar 2.  
1014 (D) The entire Betsie Shale at Location 23-11. Clinof orm bedding in Mouth Bar 2, with  
1015 apparent dips towards the N are evident relative to the paleohorizontal crevasse splay  
1016 and levee strata below the Lower Elkhorn Coal. See Figure 4 for key. Abbreviations, BC =  
1017 Upper Coal of Bingham Coal Zone; C = Clinof orm bedding; **FA6 = Facies Association 6**,  
1018 terminal distributary channels; LEC = Lower Elkhorn Coal; MB = Mouth bar; m<sub>p</sub> = mean  
1019 azimuth of measured paleoflow; n = number of readings taken.

1020  
1021 Figure 6 (cont.). (E) Oblique dip section through the Betsie Shale along Ky. 3227. (F)  
1022 Oblique dip section through the mouth bar complex in the Betsie Shale along Ky. 3227,  
1023 corrected for structural deformation by using the base of the Lower Elkhorn Coal as a  
1024 datum. Clinof orm bedding dips are towards the NW in the upper part of Mouth Bar 2. (G)  
1025 Steep (c. 20°) clinof orm bedding, relative to the paleohorizontal crevasse splay and levee  
1026 strata below the Lower Elkhorn Coal at Location 3227-5. (H) Oblique dip section through  
1027 the Betsie Shale along U.S. 119. (I) Oblique dip section through the Betsie Shale along U.S.  
1028 119, corrected for structural deformation by using the base of the Lower Elkhorn Coal as  
1029 a datum. (J) Upper part of Mouth Bar 2, illustrating the NW progradation of **FA5** dunes  
1030 over **FA4** in the mouth bar, followed by the deposition of floodplain heteroliths (**FA7**) and  
1031 peat (**FA8**). The latter represent topset strata. See Figure 4 for key. Abbreviations: FA =

1032 **Facies association; MB = Mouth bar;  $m_P$  = mean azimuth of measured paleoflow;  $m_{AP}$  =**  
1033 **mean dip azimuth of fold axial plane; n = number of readings taken.**

1034

1035 **Figure 7. Plan view evolution of in the mouth bar complex in the Betsie Shale. (A-C)**  
1036 **Progradation and lateral accretion of MB1 in the southern part of the study area. (D-E)**  
1037 **Retrogradation of MB1. Progradation and lateral accretion of MB2 in the northern part of**  
1038 **the study area. (F) Progradation of MB3 in a confined setting between MB1 and MB2. See**  
1039 **Fig. 5 for key. The area of the map is the same as shown in Figure 1D.**

1040

1041 **Figure 8. Controls on the architecture of mouth bars recognised in this study. (A) to (D)**  
1042 **represent end member friction-dominated mouth bars. In (A), following deposition of the**  
1043 **initial bar form at the river mouth discharge is maintained symmetrically either side,**  
1044 **leading to symmetrical lateral expansion (accretion) until the distributary bifurcates**  
1045 **either side of the mouth bar. This leads to a symmetric concave-up strike geometry and a**  
1046 **uniform coarsening-up succession. In (B), discharge occurs preferentially on one flank of**  
1047 **the mouth bar leading to asymmetric lateral accretion. The distributary may**  
1048 **subsequently prograde to one side or the other of the mouth bar. This generates a**  
1049 **coarsening-up succession on the margin of the mouth bar demonstrating lateral**  
1050 **accretion, and a fining-up succession on the margin of the mouth bar that represents**  
1051 **abandonment. In (C), upstream avulsion results in the gradual abandonment of the**  
1052 **mouth bar, generating a fining-up succession above the initial coarsening-up succession.**  
1053 **(A) and (D) contrast the depositional strike and dip architectures of a friction-dominated**  
1054 **end-member mouth bar, with (E) and (F), the depositional strike and dip architectures of**  
1055 **n inertia-influenced, friction-dominated mouth bar. In (A) and (D), beds downlap**  
1056 **abruptly on to substrata, bed lengths are short, with poorly developed bottomsets. In €**  
1057 **and (F), the succession is characterised by two coarsening-up succession, the lower**  
1058 **representing the detached basin floor frontal that prograded ahead of the mouth bar.**  
1059 **Beds tape gently, with well-developed bottomsets. Note difference in scale between A-D**  
1060 **and E-F.**

1061

1062 **Table 1. Summary characteristics of the facies associations recognized in this study.**  
1063 **Trace fossil abundance is expressed in terms of the Bioturbation Index (BI; Taylor and**  
1064 **Goldring, 1993; MacEachern, 2010).**

1065

1066 **Appendix 1. Key sedimentary log data collected through the two mouth bar complexes**  
1067 **reported in this study. Logs through (A) the crevasse mouth bar in the Fire Clay Coal Zone**

1068 **along Ky. 7, corrected for post-depositional deformation by using the top of the main Fire**  
1069 **Clay Coal as a datum; (B) the Betsie Shale mouth bar complex along U.S. 23, corrected for**  
1070 **post-depositional deformation by using the base of the Lower Elkhorn Coal as a datum;**  
1071 **(C) the Betsie Shale mouth bar complex along Ky. 3227, corrected for post-depositional**  
1072 **deformation by using the base of the Lower Elkhorn Coal as a datum; (D) the Betsie Shale**  
1073 **mouth bar complex along U.S. 119, corrected for post-depositional deformation by using**  
1074 **the base of the Lower Elkhorn Coal as a datum. Note that not all sedimentary logs**  
1075 **collected (c.f. Fig. 1) are shown here.**