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Degree bounds on homology and a conjecture of Derksen

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Marc Chardin and Peter Symonds

ABSTRACT

Harm Derksen made a conjecture concerning degree bounds for the syzygies of rings of polynomial invariants in the non-modular case [~~H. Derksen~~, *Degree bounds for syzygies of invariants*, Adv. Math. **185** (2004), 207–214]. We provide counterexamples to this conjecture, but also prove a slightly weakened version. We also prove some general results that give degree bounds on the homology of complexes and of Tor groups.

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1. Introduction

Let G be a finite group and V a finite-dimensional representation of G over a field k . Let $B = k[V]$, graded with V in degree 1, and let $R = B^G$. In characteristic 0, it was shown by Noether that R is generated in degrees at most $|G|$. This is also true whenever $\text{char } k$ does not divide $|G|$, as was shown more recently by Fleischmann [Fle00], Fogarty [Fog01] and Derksen and Sidman [DS02]. We refer to this as the non-modular case.

Let I be the ideal in B generated by R_+ and let $\tau_G(V)$ be the smallest positive degree i in which $I_i = B_i$, in other words $\tau_G(V) = \text{end}(B/I) + 1$. The proofs mentioned above also show that $\tau_G(V) \leq |G|$ in the non-modular case. In fact, it was shown by Broer [Bro05, Lemma 6] that this inequality holds in general provided we assume that the inclusion of R in B is split as a map of R -modules.

Let f_1, \dots, f_r be a minimal set of generators for R and let $S = k[x_1, \dots, x_r]$ be a polynomial ring with $\deg x_i = \deg f_i$. There is a natural surjection $S \twoheadrightarrow R$ given by $x_i \mapsto f_i$. For any S -module M , let $t_i^S(M) = \text{end Tor}_i^S(M, k)$; this is equal to the largest degree of a basis element of the i th term in the minimal free resolution of M over S .

CONJECTURE 1.1 (Derksen [Der04]). In the non-modular case, $t_i^S(R) \leq (i+1)\tau_G(V) \leq (i+1)|G|$.

Derksen proved the case $i = 1$. Recently, Snowden [Sno14] showed that $t_i^S(R) \leq i|G|^3$.

In §3 we will show that the Veronese subrings form a collection of counterexamples with $\tau_G(V) = |G|$.

However, we do have a positive result that is close to the original conjecture.

THEOREM 1.2. In the non-modular case, or whenever $R := B^G \hookrightarrow B$ is split over B^G ,

$$t_i^S(R) \leq (i+1)\tau_G(V) + i - 1 \leq (i+1)|G| + i - 1.$$

If, furthermore, $t_1^B(I) \leq \tau_G(V)$, then

$$t_i^S(R) \leq (i+1)\tau_G(V) - 1 \leq (i+1)|G| - 1.$$

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We also have the following result.

THEOREM 1.3. *Suppose that $B^G \hookrightarrow B$ is split over B^G and write $R := B^G$. Then $t_0^R(k) = 0$, $t_1^R(k) \leq \tau_G(V)$ and $t_i^R(k) \leq \tau_G(V)i + i - 2$ for $i \geq 2$.
If $t_1^B(I) \leq \tau_G(V)$ then $t_i^R(k) \leq \tau_G(V)i - 1$ for $i \geq 2$.*

In §§ 4 and 5, we present two different approaches to obtaining general results that give degree bounds on homology groups; these both yield Theorem 1.2 as a special case. We hope that these results will be of more general interest. Indeed, the subject of degree bounds has been explored by many authors. Particularly relevant here is the work of Bruns *et al.* [BCR11a, BCR11b], but there is a great deal more interesting work, such as [ACI10, ACI15, CM14].

2. Preliminaries

We work over a base field k . All modules and rings are \mathbb{Z} -graded, ideals and elements are assumed to be homogeneous, and homomorphisms preserve degree. All rings are non-negatively graded noetherian k -algebras with just k in degree 0. A module is said to be bounded below if it is 0 in large negative degrees. The ideal of elements of positive degree in a ring A is denoted by A_+ or \mathfrak{m} .

The end of a module is the largest degree in which it is not 0. This takes the value ∞ if the degree is not bounded and $-\infty$ if the module is 0. It has the property that $\text{end}(M \otimes_k N) = \text{end} M + \text{end} N$ always, provided we adopt the rather strange convention that $\infty - \infty = -\infty$. All statements of our results are valid in the generality given if this is understood, although they might be trivial in extreme cases.

Given a ring A and an A -module M , we define $t_i^A(M) := \text{end Tor}_i^A(M, k)$. If M is bounded below then it has a minimal free resolution and $t_i^A(M)$ is equal to the top degree of a basis element of the i th term.

The Castelnuovo–Mumford regularity of M is defined as $\max_i \{\text{end}(H_m^i(M)) + i\}$. If M has finite projective dimension over A , then $\text{reg}(M) = \text{reg}(A) + \max_i \{t_i^A(M) - i\}$. This formula, the fact that local cohomology commutes to arbitrary base change, for a same module, and the computation of local cohomology of a polynomial ring provide important tools to compute the regularity in several instances (as in the case of Veronese rings that we detail below).

We say that an inclusion of rings $A \hookrightarrow B$ is split if it is split as a homomorphism of A -modules. If a finite group acts on B and $A = B^G$ then the inclusion is split by the Reynolds operator $b \mapsto \frac{1}{|G|} \sum_{g \in G} gb$, provided that $\text{char } k$ does not divide the order of G .

In the modular case, let P denote a Sylow p -subgroup of G . Then the inclusion $B^G \hookrightarrow B^P$ is split by $b \mapsto \frac{1}{|G/P|} \sum_{g \in G/P} gb$. It follows that $B^G \hookrightarrow B$ is split if $B^P \hookrightarrow B$ is split. Thus a sufficient condition for $B^G \hookrightarrow B$ to be split is that B^P be polynomial. In fact, it is a conjecture that this condition is necessary for $B^P \hookrightarrow B$ to be split [Bro05].

In the context of Derksen’s conjecture, we will always assume that the inclusion $R = B^G \hookrightarrow B$ is split. It follows that $t_i^S(R) \leq t_i^S(B)$; all our bounds on $t_i^S(R)$ will be found as bounds on $t_i^S(B)$.

In the construction of the ring S in Derksen’s conjecture, it is the bound on the degrees of the generators that is important, not the linear independence of their images in R . In this connection, the following lemma will be useful.

LEMMA 2.1. *Suppose that the ring B is standard graded, C is a subring and the inclusion is split over C ; set $I := C_+ B$. Given any set of generators of C , the subset consisting of those elements*

of degree at most $\text{end}(B/I) + 1$ also generates C . In particular, a minimal set of generators has elements of degree at most $\text{end}(B/I) + 1$.

If $t_1^B(I) \leq \text{end}(B/I) + 1$, B is an integral domain and $\dim B \geq 2$, then the subset of elements of degree at most $\text{end}(B/I)$ generates C .

Proof. Let $J \subset B$ be the ideal generated by the elements of the generating set of degree at most $e + 1$, where $e = \text{end}(B/I)$. We know that $t_0^B(I) = t_1^B(B/I) \leq \text{reg}(B/I) + 1 \leq e + 1$ and $I_r = J_r$ for $r \leq e + 1$; thus $I = J$. Applying the splitting map from B to C yields the result.

For the second part, note that we must have $t_0^B(I) \leq e$. This is because it is well known and easy to show that if $t_1^B(I) \leq t_0^B(I)$ and B is an integral domain then I must be principal; thus $\text{end}(B/I) < \infty$ entails $\dim B \leq 1$. The rest of the proof proceeds as before. \square

3. Veronese subrings

Let $Q^n = k[x_1, \dots, x_n]$, with the x_i in degree 1. Given $m \in \mathbb{N}$, the m th Veronese subring is $V^{n,m} = \bigoplus_i Q_{im}^n$.

Let G be a cyclic group of order m with generator g . Suppose that $w \in k$ is a primitive m th root of unity and let G act on Q^n by $gx_i = wx_i$. Then $(Q^n)^G = V^{n,m}$. Thus, as long as k contains a primitive m th root of unity, $V^{n,m}$ is a ring of invariants, and the map $V^{n,m} \hookrightarrow Q^n$ is split, for degree reasons.

Let $P^{n,m} = k[x_1^m, \dots, x_n^m]$ and $S^{n,m} = k[Q_m^n]$. Then there is a natural surjection $S^{n,m} \twoheadrightarrow V^{n,m}$ and Q^n is free over $P^{n,m}$ with basis the monomials $x_1^{i_1}, \dots, x_n^{i_n}$, $0 \leq i_j \leq m - 1$. Thus $V^{n,m}$ is free over $P^{n,m}$ with basis the subset of these monomials with $i_1 + \dots + i_n$ divisible by m . The highest degree of such a monomial is $\lfloor n \rfloor - \lfloor n/m \rfloor m$. Now let $\bar{V}^{n,m}$, $\bar{P}^{n,m}$ and $\bar{S}^{n,m}$ be $V^{n,m}$, $P^{n,m}$ and $S^{n,m}$ with the degrees divided by m ; thus $\bar{P}^{n,m}$ is standard graded and $\bar{V}^{n,m}$ is free over $\bar{P}^{n,m}$ with the highest degree of a basis element being $\lfloor n \rfloor - \lfloor n/m \rfloor$.

It follows that $\text{reg} \bar{V}^{n,m} = n - \lfloor n/m \rfloor$. But, working over $\bar{S}^{n,m}$, $\text{reg} \bar{V}^{n,m} = \max_i \{t_i^{\bar{S}^{n,m}}(\bar{V}^{n,m}) - i\}$. It follows that there is an i such that $t_i^{\bar{S}^{n,m}}(\bar{V}^{n,m}) - i = n - \lfloor n/m \rfloor$. Multiplying all degrees by m , we see that $t_i^{S^{n,m}}(V^{n,m}) - im = nm - \lfloor n/m \rfloor m$.

Derksen's conjecture predicts that $t_i^{S^{n,m}}(V^{n,m}) \leq (i + 1)m$, so there is a contradiction if $\lfloor n \rfloor - \lfloor n/m \rfloor > 1$. This happens if $n = 3$ and $m \geq 3$ or $n \geq 4$ and $m \neq 1$.

That Veronese subrings give counterexamples can also be deduced from [BCR11a, Remark 4.8].

Remark 3.1. It is difficult to formulate what it means for such a bound to be the best possible, particularly since the resolutions in question are of finite length.

Ours is, however, best possible in the following restricted sense. We seek a bound of the form

$$t_i^S(R) \leq ai\tau_G(V) + bi + c\tau_G(V) + d,$$

with integer coefficients a, b, c, d . The calculations with Veronese subrings above, on letting $m \rightarrow \infty$, yield $a \geq 1$ and $b > 0$. Thus, we let $a = b = 1$ (because we require b to be an integer). Now, the calculations of Derksen for the natural representation of the alternating group A_n [Der04, Example 4], on letting $n \rightarrow \infty$, yield $c \geq 1$, and if $c = 1$ then $d \geq -1$. Thus, we let $c = 1$ and $d = -1$.

The latter calculations also show that our bound is achieved by the alternating groups for $i = 1$. It is also achieved by the trivial group for $i = 0$, as is the version with $\tau_G(V)$ replaced by $|G|$.

4. General bounds for complexes

The next lemma is a standard consequence of local duality when B is a polynomial ring.

LEMMA 4.1. *Let B be a k -algebra and let M and N be two B -modules such that N is bounded below. Suppose that $\dim \operatorname{Tor}_i^B(M, N) \leq 1$ for $i \geq 1$. Then*

$$\max\{\operatorname{end} H_m^0(\operatorname{Tor}_i^B(M, N)), \operatorname{end} H_m^1(\operatorname{Tor}_{i+1}^B(M, N))\} \leq \max_{0 \leq j \leq \dim M} \{\operatorname{end} H_m^j(M) + t_{i+j}^B(N)\}.$$

Proof. Let C be the Čech complex on a homogeneous system of parameters of B and let F be a minimal free resolution of N (this is where we require N to be bounded below). Consider the double complex $Y = C \otimes F \otimes M$, where $Y_{p,q} = C^{-p} \otimes_B F_q \otimes_B M$, and its associated spectral sequences.

We have ${}^I E_{p,q}^1 \cong \operatorname{Tor}_q^B(N, C^{-p} \otimes_B M) \cong \operatorname{Tor}_q^B(N, M) \otimes_B C^{-p}$, since C^{-p} is flat; thus ${}^I E_{p,q}^2 \cong H_m^{-p}(\operatorname{Tor}_q^B(N, M))$. The hypothesis that $\dim \operatorname{Tor}_i^B(M, N) \leq 1$ for $i \geq 1$ implies that ${}^I E_{p,q}^2 = 0$ if $q \neq 0$ and $p \neq 0, -1$. The spectral sequence collapses and we obtain $H_i(\operatorname{Tot} Y) \cong H_m^0 \operatorname{Tor}_i^B(N, M) \oplus H_m^1 \operatorname{Tor}_{i+1}^B(N, M)$ as k -modules for $i \geq -1$.

But ${}^{II} E_{p,q}^1 \cong H_m^{-q}(F_p \otimes_B M) \cong H_m^{-q}(M) \otimes_B F_p$, since F_p is flat. Because F_\bullet is minimal, we know that the top degree of a basis element of F_p is $t_p^B(N)$; hence $\operatorname{end}(H_m^{-q}(M) \otimes_B F_p) \leq \operatorname{end} H_m^{-q}(M) + t_p^B(N)$.

$$\text{Thus } \operatorname{end} H_i(\operatorname{Tot} Y) \leq \max_{p+q=i} \{\operatorname{end} H_m^{-q}(M) + t_p^B(N)\}. \quad \square$$

Given a complex L , we set $H_i := H_i(L)$ and $Z_i := \ker(d_i: L_i \rightarrow L_{i-1})$.

PROPOSITION 4.2. *Let L be a complex of B -modules and set $c_i^j(L) = \max_{k \geq 0} \{\operatorname{end} H_m^{j-k}(L_{i-k})\}$ and $\dim B = n$. Then, for any $i \in \mathbb{Z}$,*

$$\operatorname{reg} Z_i \leq \max_{2-k \leq j \leq n} \{\operatorname{end} H_m^k(H_{i+1-j-k}) + j, c_i^j(L) + j\}.$$

If $I \subset B$ is an ideal such that $\dim B/I \leq 1$ and $IH_i = 0$, then

$$\begin{aligned} \operatorname{end} H_m^0(H_i) &\leq \max\{\max\{\operatorname{end} H_m^k(H_{i+1-j-k}), c_i^j(L)\} + t_j^B(B/I)\}_{2 \leq j+k}, \operatorname{end} H_m^1(L_{i+1} \otimes B/I)\} \\ \operatorname{end} H_m^1(H_i) &\leq \max_{2 \leq j+k} \{\max\{\operatorname{end} H_m^k(H_{i+1-j-k}), c_i^j(L)\} + t_{j-1}^B(B/I)\}. \end{aligned}$$

In particular, if H_j is \mathfrak{m} -torsion for $i - n + 1 \leq j \leq i - 1$ and $\operatorname{depth} L_{i-k} \geq \min\{n + 1 - k, n\}$ for $k \geq 0$, then

$$\operatorname{reg} Z_i \leq \max\{\{\operatorname{end}(H_{i-j+1}) + j\}_{2 \leq j \leq n}, \operatorname{end} H_m^n(L_i) + n\}.$$

If, in addition, $\dim B/I = 0$ and $IH_i = 0$, then

$$\operatorname{end} H_i \leq \max\{\{\operatorname{end}(H_{i-j+1}) + t_j^B(B/I)\}_{2 \leq j \leq n}, \operatorname{end} H_m^n(L_i) + t_n^B(B/I)\}.$$

If the modules are not finitely generated then their depth is defined in terms of local cohomology.

Proof. Let $L^{(i)}$ be the truncated complex $0 \rightarrow Z_i \rightarrow L_i \rightarrow L_{i-1} \rightarrow \dots$. Note that $H_j(L^{(i)})$ is equal to H_j if $j \leq i - 1$ and 0 otherwise.

Let C be the Čech complex on a homogeneous system of parameters of B . Consider the double complex $X = C \otimes L^{(i)}$, where $X_{p,q} = C^{-q} \otimes_B L_p^{(i)}$, and its associated spectral sequences.

We have ${}^I E_{p,q}^1 \cong C^{-p} \otimes_B H_q(L^{(i)})$, since C^{-p} is flat; hence ${}^I E_{p,q}^2 \cong H_m^{-p}(H_q)$ if $q \leq i-1$ and is zero otherwise. Thus $\text{end } H_j(\text{Tot } X) \leq \max_{q-p=j, q \leq i-1} \{\text{end } H_m^{-p}(H_q)\}$.

Also

$${}^{II} E_{p,q}^1 \cong H_m^{-q}(L_p^{(i)}) \cong \begin{cases} H_m^{-q}(L_p) & \text{if } p \leq i \\ H_m^{-q}(Z_i) & \text{if } p = i+1 \\ 0 & \text{otherwise.} \end{cases}$$

Now $\text{end } {}^{II} E_{p,q}^1$ is bounded by the largest of $\text{end } {}^{II} E_{p,q}^\infty$ and the ends of the terms to which it is connected by a differential on some page. There is no incoming differential reaching a module ${}^{II} E_{i+1,q}^r$, $r \geq 1$, and the outgoing one lands in ${}^{II} E_{i-r+1,q+r-1}^r$, where $\text{end } {}^{II} E_{i-r+1,q+r-1}^r \leq \text{end } {}^{II} E_{i-r+1,q+r-1}^1 = \text{end } H_m^{q+r-1}(L_{i-r+1}) \leq c_i^q(L)$. Since $\text{end } H_m^j(Z_i) = \text{end } {}^{II} E_{i+1,-j}^1$, it is bounded by the larger of $\text{end } H_{i+1-j}(\text{Tot } X)$ and $c_i^j(L)$.

Putting this together, we obtain $\text{end } H_m^j(Z_i) \leq \max\{\{\text{end } H_m^{-p}(H_{i+1-j+p})\}_{p \leq j-2}, c_i^j(L)\}$.

The first formula for $\text{reg } Z_i$ follows immediately from the definition of regularity.

Because $IH_i = 0$, multiplication gives a surjection $Z_i \otimes_B B/I \rightarrow H_i$. If $\dim B/I = 0$ it follows that $\text{end } H_i \leq \text{end } Z_i \otimes_B B/I$. Otherwise, there is an exact sequence of B/I -modules $0 \rightarrow K \rightarrow L_{i+1} \otimes B/I \rightarrow Z_i \otimes B/I \rightarrow H_i \rightarrow 0$ for some module K . Splitting this into two short exact sequences and using the long exact sequence in local cohomology and the hypothesis that $\dim B/I \leq 1$ yields $\text{end } H_m^0(H_i) \leq \max\{\text{end } H^0(Z_i \otimes B/I), \text{end } H_m^1(L_{i+1} \otimes B/I)\}$ and $\text{end } H_m^1(H_i) \leq \text{end } H_m^1(Z_i \otimes B/I)$. The local cohomology of the tensor product can be estimated using Lemma 4.1, which yields the next pair of formulas.

The last part follows because the conditions on $\text{depth } L_{i-k}$ force $c_i^j(L) = -\infty$ for $j \leq n-1$ and $c_i^n(L) = \text{end } H_m^n(L_i)$. \square

For an ideal $I \subset B$, set

$$T_j(I) := \max\{t_{i_1}^B(I) + \cdots + t_{i_r}^B(I) \mid i_1 > 0, \dots, i_r > 0, i_1 + \cdots + i_r = j\}$$

when $j > 0$ and $T_j(I) := -\infty$ for $j \leq 0$.

THEOREM 4.3. *Let L be a complex of B -modules and i an integer such that the L_j for $j \leq i$ have depth $n = \dim B$ and are bounded below. Suppose that $I \subset B$ is an ideal such that $\dim B/I = 0$, $IH_j = 0$ for $j \leq i$ and $H_j = 0$ for $j \ll 0$. Then*

$$\text{end } H_i \leq \max_{j < i} \{\text{end } H_m^n(L_j) + T_{i-j}(I)\} + t_n^B(B/I).$$

Proof. This is a straightforward induction on i using Proposition 4.2. We start at some i such that $H_j = 0$ for $j \leq i$ and use the fact that, by design, $T_{j+k}(I) \geq T_j(I) + t_{k+1}^B(B/I)$. \square

LEMMA 4.4. *Suppose that B is a standard graded polynomial ring and $I \subset B$ an ideal. Then*

- (1) $T_i(I) \leq (\text{end } B/I + 2)i$ and
- (2) if $t_1^B(I) \leq \text{end } B/I + 1$ then $T_i(I) \leq (\text{end } B/I + 1)i$.

Proof. Calculating $\text{Tor}_i^B(B/I, k)$ using the standard Koszul complex for B shows that $t_i^B(B/I) \leq i + \text{end } B/I$, so $t_i^B(I) \leq i + 1 + \text{end } B/I$. The result now follows from an easy induction on i . \square

186 COROLLARY 4.5. Let B be a standard graded polynomial ring and $I \subset B$ an ideal such that
 187 $\text{end } B/I < \infty$. Let f_1, \dots, f_m be a set of generators for I that are minimal by degree and let
 188 $K(f; B)$ be the Koszul complex on the f . Then

189
$$\text{end } H_i(f; B) \leq (\text{end } B/I + 2)(i + 1) - 2.$$

190 If $t_1^B(I) \leq \text{end } B/I + 1$ then

191
$$\text{end } H_i(f; B) \leq (\text{end } B/I + 1)(i + 1) - 1.$$

192 *Proof.* Let d be the maximum of the degrees of the f_i ; Lemma 2.1 shows that $d \leq \text{end } B/I + 1$.

193 Now $\text{end } H_i^p(K_i) \leq di - n \leq (\text{end } B/I + 1)i - n$ for $0 \leq i \leq m$ and is $-\infty$ otherwise. The
 194 result follows from Theorem 4.3 and Lemma 4.4. \square

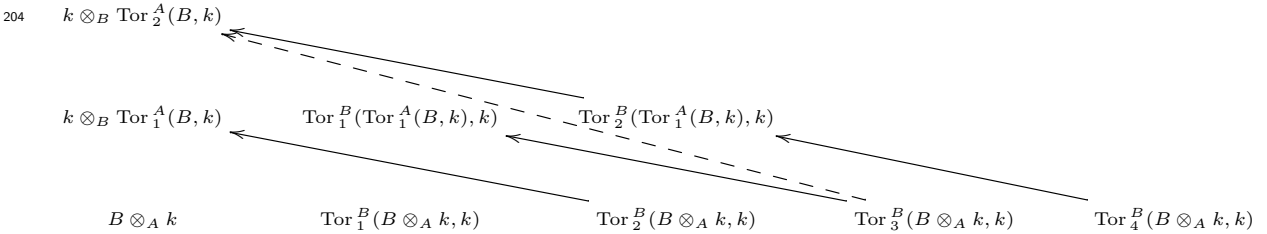
195 *Remark 4.6.* The first part of this corollary appears in [BCR11b, Proposition 3.3], with an
 196 elementary proof.

197 *Proof of Theorem 1.2.* We can calculate $\text{Tor}_i^S(B, k)$ by using the Koszul complex $K(x; S)$ to
 198 resolve k over S , then tensoring with B to obtain $K(f; B)$. It follows that $t_i^S(B) = \text{end } H_i(f; B)$.
 199 As $R = B^G \hookrightarrow B$ is split, $t_i^S(R) \leq t_i^S(B)$, and Theorem 1.2 follows from Corollary 4.5.

200 **5. The change of rings spectral sequence**

201 Let $f: A \rightarrow B$ be a homomorphism of k -algebras and let $I = f(A_+)B \subset B$.

202 The change of rings spectral sequence $E_{p,q}^2 = \text{Tor}_p^B(\text{Tor}_q^A(B, k), k) \Rightarrow \text{Tor}_{p+q}^A(k, k)$ has the
 203 following form.



205 Filtering $\text{Tor}_q^A(B, k)$ by degree, we see that $\text{end } \text{Tor}_p^B(\text{Tor}_q^A(B, k), k) \leq \text{end } \text{Tor}_q^A(B, k) +$
 206 $\text{end } \text{Tor}_p^B(k, k)$.

207 The end of an entry on the E_2 page is bounded by the largest of the end of $H_i(\text{Tot})$
 208 corresponding to its diagonal and the ends of the E_2 entries that are linked to it by a differential
 209 on some page. Applying this to the bottom row yields

210
$$\text{end } \text{Tor}_i^B(B \otimes_A k, k) \leq \max\{\{t_j^B(k) + t_{i-j-1}^A(B)\}_{0 \leq j \leq i-2}, t_i^A(k)\}. \quad (5.1)$$

211 From the first column we obtain

212
$$\text{end } (k \otimes_B \text{Tor}_i^A(B, k)) \leq \max\{\{t_j^A(B) + t_{i-j+1}^B(k)\}_{0 \leq j \leq i-1}, t_i^A(k)\}. \quad (5.2)$$

213 Notice that $\text{Tor}_i^A(B, k)$ is naturally a B/I -module and as such is generated in degrees at most
 214 $\text{end } (k \otimes_B \text{Tor}_i^A(B, k))$. Thus

215
$$\text{end } \text{Tor}_i^A(B, k) \leq \text{end } (k \otimes_B \text{Tor}_i^A(B, k)) + \text{end } B/I. \quad (5.3)$$

Set

$$U_j(f) := \max\{(t_{i_1}^B(B_+) + \text{end } B/I) + \cdots + (t_{i_r}^B(B_+) + \text{end } B/I) \mid i_1 > 0, \dots, i_r > 0, i_1 + \cdots + i_r = j\}$$

when $j > 0$ and $U_j(f) := -\infty$ for $j \leq 0$.

PROPOSITION 5.1. *We have*

$$t_i^A(B) \leq \max\{U_i(f), \{t_j^A(k) + (i - j)\text{end } B/I\}_{0 \leq j \leq i}\} + \text{end } B/I.$$

Proof. By design, $U_{j+k}(f) \geq U_j(f) + t_{k+1}^B(k) + \text{end } B/I$. The proof is now by induction on i , using inequalities (5.2) and (5.3). \square

If B is a polynomial ring with generators in degrees $e_1 \geq e_2 \geq \cdots \geq e_n$, then $U_i(f) = (e_1 + e_2 + \text{end } B/I)i$ for $n \geq 2$ and is $-\infty$ otherwise. For convenience, we set $e_2 = -\infty$ when $n \leq 1$. When B is standard graded and $n \geq 2$ this becomes $U_i(f) = (2 + \text{end } B/I)i$.

If A is a polynomial ring with generators in degrees $d_1 \geq d_2 \geq \cdots \geq d_m$, we set $\bar{d}_j = \max\{d_j, \text{end } B/I\}$ for $j \leq m$, $\bar{d}_j = \text{end } B/I$ for $j > m$. Then $\max_{0 \leq j \leq i} \{t_j^A(k) + (i - j)\text{end } B/I\} = \bar{d}_1 + \cdots + \bar{d}_i$.

By Lemma 2.1, there is always a subset of the generators of A that still generates I and satisfies $\bar{d}_j \leq \text{end } B/I + 1$ for all j . We call such a set small.

COROLLARY 5.2. *When both A and B are polynomial rings,*

$$t_i^A(B) \leq \max\{(e_1 + e_2 + \text{end } B/I)i, \bar{d}_1 + \cdots + \bar{d}_i\} + \text{end } B/I.$$

If B is standard graded and the generators of A map to a small set of generators for I , then

$$t_i^A(B) \leq (\text{end } B/I + 2)(i + 1) - 2.$$

Proof. This is immediate from the preceding remarks. \square

Let $C = f(A) \subseteq B$. Suppose that the inclusion $C \hookrightarrow B$ is split as a map of C -modules. Then the bounds that we have obtained for $t^A(B)$ are also valid for $t^A(C)$. The first part of Theorem 1.2 follows.

LEMMA 5.3. *Let X be a B/I -module that is bounded below. Then*

$$t_i^B(X) \leq t_0^B(X) + \max\{t_i^B(B/I), \text{end } B/I + t_{i-1}^B(k)\}.$$

Proof. Express X as the quotient of a minimal free B/I -module F and let Y be the kernel, so we have a short exact sequence $0 \rightarrow Y \rightarrow F \rightarrow X \rightarrow 0$ and $t_0^B(F) \cong t_0^B(X)$. We also have $\text{end } Y \leq \text{end } F = t_0^B(F) + \text{end } B/I$.

Part of the long exact sequence for $\text{Tor}^B(-, k)$ is

$$\cdots \rightarrow \text{Tor}_i^B(B/I, k) \rightarrow \text{Tor}_i^B(X, k) \rightarrow \text{Tor}_{i-1}^B(Y, k) \rightarrow \cdots$$

We also know that $\text{end } \text{Tor}_i^B(F, k) = t_0^B(F) + t_i^B(B/I)$ and $\text{end } \text{Tor}_{i-1}^B(Y, k) \leq t_0^B(Y) + t_{i-1}^B(k)$. Putting all this together, we obtain the result. \square

248 COROLLARY 5.4. *Let A and B be polynomial rings such that B is standard graded and the*
 249 *generators of A map to a small set of generators for I . If $t_1^B(I) \leq \text{end } B/I + 1$, then*

250
$$t_i^A(B) \leq (\text{end } B/I + 1)(i + 1) - 1.$$

251 *Proof.* In view of inequality (5.3), it is sufficient to prove that $t_0^A(\text{Tor}_i^A(B, k)) \leq (\text{end } B/I + 1)i$.
 252 We do this by induction on i .

253 Using Lemma 5.3 with $X = \text{Tor}_{i-1}^A(B, k)$, we obtain $t_2^B(\text{Tor}_{i-1}^A(B, k)) \leq t_0^A(\text{Tor}_{i-1}^A(B, k)) +$
 254 $\max\{t_2^B(B/I), t_1^B(k) + \text{end } B/I\}$. But $t_0^A(\text{Tor}_{i-1}^A(B, k)) \leq (\text{end } B/I + 1)i$, by induction, $t_2^B(B/I) =$
 255 $t_1^B(I) \leq \text{end } B/I + 1$ and $t_1^B(k) = 1$. Thus $t_2^B(\text{Tor}_{i-1}^A(B, k)) \leq (\text{end } B/I + 1)i$.

256 We can use this estimate instead of $t_2^B(k) + t_{i-1}^A(B)$ in inequality (5.2). For the other terms
 257 we have $t_j^A(B) \leq (\text{end } B/I + 1)(j + 1) - 1$, by induction, and $t_{i-j+1}^B(k) = i - j + 1$. This leads
 258 to the required result. \square

259 The second part of Theorem 1.2 follows.

260 We can also obtain bounds on $t^C(k)$.

261 THEOREM 5.5. *Suppose that B is a standard graded polynomial ring, C is a subring and the*
 262 *inclusion is split over C . Let $I := C_+B$. Then $t_0^C(k) = 0$, $t_1^C(k) \leq \text{end } B/I + 1$ and $t_i^C(k) \leq$
 263 $(\text{end } B/I + 2)i - 2$ for $i \geq 2$.*

264 *If $t_1^B(I) \leq \text{end } B/I + 1$, then $t_i^C(k) \leq (\text{end } B/I + 1)i - 1$ for $i \geq 2$.*

265 *Proof.* By Lemma 2.1, there is a set of generators for C in degrees at most $\text{end}(B/I) + 1$. Using
 266 these, we form a polynomial ring A that maps onto C as in the statement of Derksen's [conjecture](#).

267 Since $k \cong C \otimes_A k$, we have $t_i^C(k) = \text{end } \text{Tor}_i^C(C \otimes_A k, k)$.

268 We can bound the latter by applying inequality (5.1) to the map $A \rightarrow C$ to obtain $\text{end } t_i^C(k) \leq$
 269 $\max\{\{t_j^C(k) + t_{i-j-1}^A(C)\}_{0 \leq j \leq i-2}, t_i^A(k)\}$.

270 But $t_i^A(k) \leq (\text{end } B/I + 1)i$, because we can calculate this using the Koszul complex and
 271 the degrees of the generators are bounded. Corollary 5.2 and the fact that $C \hookrightarrow B$ is split yield
 272 $t_{i-j-1}^A(C) \leq (\text{end } B/I + 2)(i - j) - 2$ or $t_{i-j-1}^A(C) \leq (\text{end } B/I + 1)(i - j) - 1$. The rest of the
 273 proof is a straightforward induction that is left to the reader.

274 When $t_1^B(I) \leq \text{end } B/I + 1$, we can improve the bound on the degrees of the generators by 1
 275 using the second part of Lemma 2.1 (our result is vacuous for $\dim B \leq 1$). Corollary 5.4 yields
 276 $t_{i-j-1}^A(C) \leq (\text{end } B/I + 1)(i - j) - 1$ and again we finish by induction. \square

277 Theorem 1.3 follows.

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