



# Multiancestry association study identifies new asthma risk loci that colocalize with immune-cell enhancer marks

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1 **Multi-ancestry association study identifies new asthma risk loci that co-localize with immune**  
2 **cell enhancer marks**

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301

302 **ABSTRACT**

303 We examined common variation in asthma risk by conducting a meta-analysis of worldwide  
304 asthma genome-wide association studies (23,948 cases, 118,538 controls) from ethnically-diverse  
305 populations. We identified five new asthma loci, uncovered two additional novel associations at  
306 two known asthma loci, established asthma associations at two loci implicated previously in  
307 comorbidity of asthma plus hay fever, and confirmed nine known loci. Investigation of pleiotropy  
308 showed large overlaps in genetic variants with autoimmune and inflammatory diseases.  
309 Enrichment of asthma risk loci in enhancer marks, especially in immune cells, suggests a major  
310 role of these loci in the regulation of immune-related mechanisms.

311

312 Asthma is a complex disease affecting hundreds of millions of people worldwide. Asthma  
313 prevalence varies between populations and ethnicities, ranging in the U.S. from 3.9% in Mexican  
314 Americans to 12.5% in African Americans<sup>1</sup>. The contribution of genetic factors to asthma risk has  
315 been demonstrated in family studies, where heritability estimates range from 25%-80%<sup>2</sup>. The large  
316 variability in prevalence and heritability estimates reflects the role of environmental exposures on  
317 disease risk and phenotypic heterogeneity that are hallmarks of asthma. These features may explain  
318 why genome-wide association studies (GWAS) have uncovered a smaller number of asthma loci  
319 than similarly sized studies of other multifactorial diseases<sup>3</sup>. Indeed, up to now, only 21 loci have  
320 been associated with asthma *per se* in 20 studies, and these loci explain only part of the genetic  
321 risk. Although an exome-chip study showed no evidence for low frequency or rare variants with  
322 large effects on asthma risk<sup>4</sup>, the role of noncoding rare variants in asthma remains unknown.  
323 Future studies based on whole-genome sequencing may clarify the respective influence of  
324 common and rare variants on asthma risk. To generate larger sample sizes for GWAS meta-analysis  
325 of asthma enabling the discovery of common novel risk loci, we established the Trans-National  
326 Asthma Genetic Consortium (TAGC) across worldwide groups of investigators with genome-wide  
327 data available in >142,000 individuals of diverse ancestries. We constructed a comprehensive  
328 catalog of asthma risk variants that are robust across populations and environmental exposures. By  
329 combining TAGC meta-analysis results with existing databases, we assessed the genetic  
330 architecture of asthma risk alleles with respect to functional effects and shared effects with other  
331 diseases.

332

## 333 **RESULTS**

### 334 **Meta-analysis of asthma GWAS**

335 We combined data from asthma GWAS with high-density genotyped and imputed SNP data (2.83  
336 million SNPs) in the following populations: European-ancestry (19,954 cases, 107,715 controls),  
337 African-ancestry (2,149 cases, 6,055 controls), Japanese (1,239 cases, 3,976 controls) and Latino  
338 (606 cases, 792 controls) (Supplementary Table 1). After extensive QC of summary data provided  
339 by each participating group (Online Methods, Supplementary Note and Supplementary Table 2),  
340 we first conducted ancestry-specific meta-analyses followed by a multi-ancestry meta-analysis of  
341 all populations (23,948 cases, 118,538 controls) to identify additional loci with pan-ancestry  
342 effects. Because childhood-onset asthma may be distinct from later-onset asthma<sup>5</sup> and may  
343 represent a more homogeneous subgroup, we also performed analyses on the pediatric subgroup  
344 (asthma onset  $\leq 16$  years; 8,976 cases, 18,399 controls). Meta-analyses of SNP effect sizes obtained  
345 from each asthma GWAS were performed using fixed-effects (significance of the combined SNP  
346 effect size summarized in  $P_{fixed}$ ) and random-effects ( $P_{random}$ ) models (Online Methods) and using  
347 a conventional  $P_{random}$  (or  $P_{fixed}$ ) threshold of  $5 \times 10^{-8}$  to define genome-wide significance. Results  
348 were consistent between methods for detecting loci with at least one SNP significantly associated  
349 with asthma. We therefore present the results from the random-effects analysis for the European-  
350 ancestry and multi-ancestry meta-analyses, which include the largest number of studies and allow  
351 an accurate estimate of the between-study variance, and results from the fixed-effects analysis for  
352 the African-ancestry, Japanese and Latino meta-analyses. We observed little evidence of inflation  
353 in the test statistics in either the ancestry-specific (lambda: European-ancestry, 1.031; African-  
354 ancestry, 1.014; Japanese, 1.021; Latino, 1.044) or multi-ancestry (lambda=1.046) meta-analyses  
355 (Supplementary Fig.1).

356 We identified 673 genome-wide significant SNPs ( $P_{random} \leq 5 \times 10^{-8}$ ) at 16 loci in European-  
357 ancestry populations (Fig.1a, Table 1, Supplementary Tables 3 and 4; Online Methods for locus  
358 definition). No genome-wide significant risk loci were detected in African-ancestry, Japanese or

359 Latino populations (Supplementary Fig.2 and Supplementary Tables 5-7), possibly due to a lack  
360 of power. In the combined multi-ancestry meta-analysis, 205 additional SNPs were significant at  
361  $P_{\text{random}} \leq 5 \times 10^{-8}$ , including 12 SNPs at two loci not detected in the European-ancestry analysis  
362 (Fig.1b, Table 1, and Supplementary Tables 3 and 8). Altogether, 878 SNPs at 18 loci reached  
363 genome-wide significance, of which 69% were significant in both European-ancestry and multi-  
364 ancestry meta-analyses, 23% were significant in the multi-ancestry meta-analysis only, and 8%  
365 were significant in the European-ancestry meta-analysis only (Supplementary Tables 4 and 8 and  
366 Supplementary Fig.3 for the regional plots of the 18 loci). All 18 loci remained genome-wide  
367 significant after further genomic control correction of the test statistics, confirming the robustness  
368 of these results (Supplementary Table 9).

369 The 18 chromosomal regions included five new loci associated with asthma at 5q31.3,  
370 6p22.1, 6q15, 12q13.3, 17q21.33; two new associations at 6p21.33 and 10p14 that were  
371 independent from previously reported signals at these loci in ancestry-specific populations (Latino<sup>6</sup>  
372 and Japanese<sup>7</sup>, respectively); two associations at 8q21.13 and 16p13.13 that were previously  
373 reported for asthma plus hay fever but not for asthma alone in a study of European-ancestry  
374 populations<sup>8</sup>; and nine previously identified asthma loci.

375 None of the lead SNPs at the 18 loci showed evidence for heterogeneity in effect sizes across  
376 studies except for the lead variant at 9p24.1 ( $P_{\text{het}}$  for Cochran's Q test<sup>9</sup>=0.008 across European-  
377 ancestry studies and  $P_{\text{het}}=0.02$  across multi-ancestry studies, Table 1, Supplementary Fig.4). There  
378 was also significant evidence for heterogeneity in ancestry-specific effect sizes ( $P_{\text{ethnic}}=0.003$ ) for  
379 the 6p22.1 lead SNP rs1233578, which, consequently, did not reach significance in the multi-  
380 ancestry analysis (Table 1, Supplementary Table 3). The meta-analysis of the pediatric subgroup  
381 showed evidence for association ( $P_{\text{random}} \leq 5 \times 10^{-8}$ ) at five of the 18 loci (2q12, 5q31, 6p21.33 9p24.1

382 and 17q12-21) (Supplementary Figs.5 and 6 and Supplementary Table 10). No loci specific to that  
383 group were identified.

384 The results provided genome-wide significant confirmation of nine previously reported loci  
385 in both European-ancestry and multi-ancestry meta-analyses (Table 1; Supplementary Figs.3b and  
386 4). Our results allow detailed analysis of the broad 17q12-21 locus. It is notable that the lead SNP  
387 (rs2952156) at this locus is within *ERBB2* ( $P_{\text{random}}=2.2 \times 10^{-30}$  in multi-ancestry meta-analysis), at  
388 least 180 kb from the previously recognized asthma-associated signals at the *GSDMB/ORMDL3*  
389 haplotype block<sup>3</sup> (Supplementary Fig.7). This is attributable to effect size heterogeneity across  
390 studies ( $0.001 \leq P_{\text{het}} \leq 0.05$ ) that extends over a 200 kb region that includes *ORMDL3* and *GSDMB*  
391 (Supplementary Table 11). This heterogeneity is partly due to age of asthma onset, as previously  
392 reported<sup>5</sup>. Indeed, in the pediatric group, the 17q12-21 SNPs did not show heterogeneity ( $P_{\text{het}} \geq 0.09$ )  
393 and the lead SNP rs8069176 is 3.6 kb proximal to *GSDMB* ( $P_{\text{random}}=P_{\text{fixed}}=4.4 \times 10^{-26}$ ), consistent  
394 with previous studies<sup>3,5</sup>. The SNP effect sizes in the pediatric and non-pediatric studies show a  
395 significant difference for rs8069176 at the *GSDMB* locus ( $P_{\text{het}}=7.4 \times 10^{-4}$ ) but no difference for  
396 rs2952156 at the *ERBB2* locus ( $P_{\text{het}}=0.11$ ). These two SNPs are only in moderate LD ( $r^2=0.30$ )  
397 and, interestingly, each is in strong LD ( $r^2 > 0.9$ ) with missense variants localized in *ERBB2* for  
398 the proxy of rs2952156 and in *ZPBP2* and *GSDMB* for the proxies of rs8069176. Moreover, both  
399 rs2952156 and rs8069176 are associated with *GSDMB* and *ORMDL3* expression in blood e-QTL  
400 databases<sup>10-13</sup> and with expression of *GSDMA*, *CDK12*, *GSDMB*, *ORMDL3* in whole lung  
401 tissue<sup>12,14</sup>. However, only rs2952156 is associated with *PGAP3* transcript in lung<sup>12,14</sup>  
402 (Supplementary Table 12a). Further exploration of eQTL data from GTEx<sup>12</sup> indicated that  
403 rs8069176 accounts for a large part of the association of the most significant SNP with *ORMDL3*  
404 transcript in blood while rs2952156 accounts for a large part of the association of the most  
405 significant SNP with *PGAP3* transcript in lung (Supplementary Table 12b), suggesting that the

406 asthma-associated signals near *PGAP3/ERBB2* and *ORMDL3/GSDMB* blocks may affect asthma  
407 risk through the expression of different genes in different tissues..

408 Finally, of the 21 published asthma loci, 12 did not reach genome-wide significance in  
409 TAGC (Supplementary Table 13). The most significant SNPs in the GWAS catalog<sup>3</sup> at seven of  
410 those loci had P-values > 0.01 in TAGC analyses. Among these seven non-replicated loci, two  
411 (4q31.21<sup>7</sup>, 8q24.11<sup>15</sup>) were reported in Japanese, three (4q12, 9p23, 10q24.2)<sup>16</sup> had SNPs with  
412 low minor allele frequencies ( $\leq 2\%$ ) and were reported in a childhood-onset asthma study, and  
413 two (1q31.3<sup>17</sup>, 5q12.1<sup>18</sup>) were reported in European-ancestry children with asthma defined by  
414 current or persistent asthma symptoms with regular use of medication. The most significant SNPs  
415 at the remaining five loci had P-values  $\leq 5 \times 10^{-4}$  in at least one TAGC meta-analysis, thus providing  
416 some replication. Amongst these five loci, the 1q23.1 locus is specific to African-ancestry  
417 populations<sup>19</sup>; the 12q13.2 SNP, reported by a Japanese study<sup>7</sup>, showed heterogeneity in the TAGC  
418 Japanese meta-analysis as well as in European-ancestry and multi-ancestry meta-analyses ( $P_{\text{het}}$   
419  $\leq 0.05$ ); and the 7q22.3 SNP, reported in European-ancestry populations<sup>20</sup>, was associated with a  
420 severe form of childhood asthma and also showed heterogeneity across studies in the original  
421 publication<sup>20</sup> (where the  $P_{\text{random}}$  value did not reach significance) as well as in our study (European-  
422 ancestry, multi-ancestry and pediatric meta-analyses,  $0.006 \leq P_{\text{het}} \leq 0.03$ ). Finally, SNPs at 1q21.3  
423 and 22q12.3 loci, previously reported in European-ancestry populations<sup>21,22</sup>, did not show  
424 significant evidence for heterogeneity across TAGC studies in the European-ancestry and multi-  
425 ancestry meta-analyses ( $0.11 \leq P_{\text{het}} \leq 0.19$ ). When we repeated these two meta-analyses, under a  
426 fixed-effects model and considering separately the set of TAGC datasets that were part of the  
427 original publication (set P) and the set of remaining TAGC datasets (set R), both 1q21.3 and  
428 22q12.3 SNPs had higher effect sizes in set P than in set R. These differences in effect sizes did  
429 not reach significance for the 1q21.3 SNP ( $P_{\text{het}}$  for Cochran's Q test are 0.13 and 0.20 in European-



430 ancestry and multi-ancestry analyses) and were borderline significant for the 22q12.3 SNP  
431 ( $0.04 \leq P_{\text{het}} \leq 0.06$ ) (Supplementary Table 14). Altogether, these results suggest that the lack of  
432 replication is mainly due to heterogeneity that is attributable to various factors, such as ethnicity,  
433 specificity of clinical phenotypes or other factors as further discussed.

434 To investigate whether the 18 asthma loci identified in this study contain multiple distinct  
435 signals, we performed approximate conditional regression analysis based on summary statistics for  
436 all loci (Online Methods), except for the 9p24.1 region which showed heterogeneity in SNP effect  
437 size across studies over the whole locus. For the 17q12-21 locus, this analysis was restricted to the  
438 pediatric sub-group in which there was no heterogeneity. After conditioning on the lead SNP in  
439 each investigated region, four secondary signals (2q12, 5q22.1, 5q31, 6p21.32) remained  
440 significant ( $P_{\text{fixed}} \leq 5 \times 10^{-8}$ ) (Supplementary Table 15), yielding 22 distinct genome-wide significant  
441 signals.

442 To provide biological insight into our findings, we conducted a comprehensive  
443 bioinformatic assessment of the asthma association signals. To pinpoint the most likely candidate  
444 genes at the nine loci harboring novel associations with asthma *per se*, we interrogated results of  
445 six eQTL studies in tissues relevant to asthma, blood (including peripheral blood<sup>11,12</sup>,  
446 lymphoblastoid cell lines (LCLs)<sup>10,13</sup>, monocytes<sup>23</sup>) and whole lung tissue<sup>12,14</sup>, and searched for  
447 missense variants potentially tagged by the association signals. To assess the level of overlap of  
448 asthma associations with susceptibility loci for other phenotypes, we interrogated the GWAS  
449 catalog<sup>3</sup> while varying the strength of association with asthma (thresholds from  $5 \times 10^{-8}$  to  $10^{-3}$ ). To  
450 get greater insight into how asthma associated variants may functionally influence disease, we  
451 interrogated the ROADMAP/ENCODE functional genomics data generated in a wide range of  
452 human cell types<sup>24</sup>. Finally, the degree of connectivity between the asthma-associated loci was  
453 assessed through text mining<sup>25</sup>. Results are described below.

454

### 455 **Candidate genes at the nine loci showing novel associations**

456 A summary of eQTL analysis for these nine loci is described in Table 2 and Supplementary Table  
457 16; regional plots are shown in Supplementary Fig.3a.

458

### 459 *New asthma susceptibility loci*

460 Five new loci were identified in this study. The strongest new signal in both the European-ancestry  
461 ( $P_{\text{random}}=8.6 \times 10^{-13}$ ) and multi-ancestry ( $P_{\text{random}}=2.2 \times 10^{-12}$ ) meta-analyses was with SNP rs2325291  
462 in an intron of *BACH2* at 6q15, which is strongly correlated with rs10455168 ( $r^2=0.91$ ), a cis-  
463 eQTL altering expression of *BACH2* in blood<sup>11</sup>. *BACH2* encodes a zinc transcription factor that  
464 regulates nucleic acid-triggered antiviral responses in human cells<sup>26</sup>. The second strongest signal  
465 in the European-ancestry and multi-ancestry analyses was with rs17637472 ( $P_{\text{random}}=3.3 \times 10^{-9}$  and  
466  $6.6 \times 10^{-9}$ ), which lies between *ZNF652* and *PHB* at 17q21.33, and is a strong cis-eQTL for *GNGT2*  
467 (173 kb from rs17637472) in blood<sup>10,11,13,23</sup>. *GNGT2* interacts with beta-arrestin 1 to promote G-  
468 protein-dependent Akt signaling for NF-kappaB activation<sup>27</sup>.

469 Among the other new signals, the lead SNP rs1233578 at 6p22.1 ( $P_{\text{random}}=5.3 \times 10^{-9}$  in European-  
470 ancestry populations) resides between *TRIM27* and *GPX5*. This SNP was not associated with gene  
471 expression in blood or lung but is in LD ( $r^2=0.6$  in European-ancestry populations) with rs7766356  
472 (312 kb from rs1233578), which is a cis-eQTL for *ZSCAN12* in blood<sup>13</sup> and *ZSCAN31* in lung<sup>14</sup>.  
473 These zinc finger protein encoding genes were associated with lung function<sup>28</sup>. The two SNPs,  
474 rs1233578 and rs7766356, represent the same association signal in European-ancestry populations  
475 (the association with rs7766356 becomes non-significant after conditioning on the lead SNP  
476 rs1233578). The 12q13.3 lead SNP (rs167769), which was only significant in the multi-ancestry  
477 analysis ( $P_{\text{random}}=3.9 \times 10^{-9}$ ), lies in an intron of *STAT6* and is strongly associated with *STAT6*

478 expression in blood<sup>10,11,13</sup> and lung<sup>14</sup>. STAT6 is a transcription factor, essential for the functional  
479 responses of Th2 lymphocytes mediated by IL-4 and IL-13<sup>29</sup>. This result robustly establishes the  
480 association of *STAT6* with asthma risk that has been disputed by candidate gene studies<sup>30</sup>. The  
481 5q31.3 lead SNP rs7705042 ( $P_{\text{random}}=7.9 \times 10^{-9}$  in multi-ancestry analysis) is within an intron of  
482 *NDFIP1* and associated with *NDFIP1* expression in blood<sup>11-13</sup>. NDFIP1 is a potent inhibitor of  
483 antiviral response<sup>31</sup> and inflammation processes<sup>32</sup>.

#### 484 ***New asthma signals at loci reported in specific populations***

485 Two associations in our study were with novel SNPs at loci previously reported to be associated  
486 with asthma in Latinos<sup>6</sup> and Japanese<sup>7</sup>. The first one at 6p21.33 was reported in an admixture  
487 mapping study in Latinos<sup>6</sup>. The lead TAGC SNP, rs2855812 ( $P_{\text{random}}=8.9 \times 10^{-12}$  in the multi-  
488 ancestry analysis,  $P_{\text{random}}=1.7 \times 10^{-8}$  in the European-ancestry meta-analysis) lies in an intron of  
489 *MICB*. This SNP was not correlated ( $r^2=0$ ) with any of the SNPs reported in the Latino study<sup>6</sup>. The  
490 6p21.33 region harbors many genes whose transcripts are associated with TAGC asthma signals,  
491 including *TNF*, *LST1*, *HLA-C*, *LTA* in blood<sup>10,11,13</sup> and *MICB* in lung<sup>12,14</sup>. These genes are involved  
492 in immune-related mechanisms. This 6p21.33 locus is about 600 kb apart from the previously  
493 reported 6p21.32 locus that spans HLA-Class II genes. Intensive sequencing efforts will be needed  
494 to further clarify the HLA region associations. The second association was at 10p14 locus where  
495 a GWAS in Japanese<sup>7</sup> reported association (lead SNP rs10508372) with adult asthma. We detected  
496 a new signal, rs2589561, in European-ancestry ( $P_{\text{random}}=1.4 \times 10^{-8}$ ) and multi-ancestry meta-  
497 analyses ( $P_{\text{random}}=3.5 \times 10^{-9}$ ), that is not correlated with rs10508372 in either European-ancestry or  
498 Japanese populations. The SNP rs2589561 is in a gene desert, 929 kb distal of *GATA3*. However,  
499 recently published promoter capture Hi-C data in hematopoietic cells<sup>33</sup> revealed that two proxies  
500 of rs2589561 ( $r^2>0.9$ ) lie in a region that interacts with the *GATA3* promoter, especially in CD4+T  
501 cells. This suggests that the SNP may be in a distal regulator of *GATA3*, which encodes a

502 transcription factor that is a master regulator of differentiation of Th2 cells and innate lymphoid  
503 cells type 2<sup>34</sup>.

#### 504 *Asthma signals reported for asthma plus hay fever*

505 Loci on chromosomes 8q21.13 and 16p13.13 were previously associated with asthma plus hay  
506 fever but not with asthma alone in one European-ancestry study<sup>8</sup>. In our results, the 8q21.13 lead  
507 SNP rs12543811 ( $P_{\text{random}}=3.4 \times 10^{-8}$  and  $1.1 \times 10^{-10}$  in the European-ancestry and multi-ancestry  
508 analyses) lies between *TPD52* and *ZBTB10* and is in strong LD ( $r^2=0.79$ ) with the previously  
509 reported asthma/hay fever SNP rs7009110. These two SNPs represent the same signal, as the  
510 association with rs12543811 becomes non-significant after conditioning on rs7009110. Thus, the  
511 8q21.13 locus is likely implicated in allergic asthma. A functional analysis of the asthma/hay fever  
512 locus pinpointed *PAG1* as a promising candidate<sup>35</sup>. The chromosome 16p13.13 SNP rs17806299  
513 is within an intron of *CLEC16A* ( $P_{\text{random}}=2.1 \times 10^{-10}$  and  $2.7 \times 10^{-10}$  in European-ancestry and multi-  
514 ancestry meta-analyses). Although in moderate LD ( $r^2=0.66$ ) with the previously reported  
515 asthma/hay fever signal (rs62026376)<sup>8</sup>, the association of asthma with rs17806299 was removed  
516 after conditioning on rs12935657 ( $r^2=0.96$  with rs62026376), indicating that these SNPs represent  
517 the same signal and 16p13.13 is probably also an allergic asthma locus. The SNP rs17806299 is  
518 strongly associated with the expression of a nearby gene, *DEXI* in blood<sup>11,23</sup>. Similar observations  
519 of associations of *CLEC16A* SNPs with autoimmune diseases and expression of *DEXI* together  
520 with chromosome conformation capture experiments implicated *DEXI* as the most likely candidate  
521 gene for autoimmune diseases<sup>36</sup>. The potential relevance of *DEXI* in allergic diseases has also been  
522 previously discussed<sup>8</sup>.

523 It is notable that the lead SNPs at the nine new asthma-associated loci lie in non-coding regions  
524 and are not tagging missense variants.

525

## 526 **Overlap of loci associated with asthma and other phenotypes**

527 We next explored whether the nine loci that harbored new signals for asthma *per se* overlapped  
528 with GWAS loci reported for allergy-related phenotypes, lung function phenotypes, or other  
529 immune-related diseases using the GWAS catalog<sup>3</sup>. Six of these nine asthma loci showed  
530 overlapping associations with allergy-related phenotypes and eight of them with auto-immune  
531 diseases or infection-related phenotypes (Table 2). Moreover, three asthma loci overlapped with  
532 associations with lung function phenotypes.

533 We expanded our search of overlap between the asthma association signals having multi-  
534 ancestry  $P_{\text{random}} < 10^{-4}$  in this study and GWAS signals with all phenotypes and diseases in the  
535 GWAS catalog<sup>3</sup>. We examined 4,231 unique trait-loci combinations (Online Methods), and used  
536 the disease classification from Wang *et al.*<sup>37</sup> to group traits. We summarized the overlap with  
537 GWAS catalog signals as the proportion of catalog SNPs having asthma  $P$ -values  $< 10^{-4}$  in our  
538 analysis. This revealed significant overlap with autoimmune disease (10%, i.e. 49 out of 480  
539 catalog SNPs show evidence for asthma association), consistent with the hypothesized shared  
540 susceptibility<sup>38,39</sup>, moderate overlap with diseases having an inflammatory component  
541 (cardiovascular diseases, cancers, neuro-psychiatric diseases), and small to no overlap with other  
542 diseases (Table 3). When investigating specific diseases and traits (Supplementary Table 17), the  
543 most significant overlap is with allergic phenotypes. There is little to no overlap with other  
544 phenotypes that appear most frequent in the GWAS catalog (for example, no shared associations  
545 with type 2 diabetes).

546 When we broadened our analysis to a larger set of SNPs in the GWAS catalog to identify loci  
547 for diseases with potentially shared genetic architecture with asthma (i.e, SNPs associated with  
548 asthma at  $P_{\text{random}} \leq 10^{-3}$  in our multi-ancestry meta-analysis), additional pleiotropic signals emerged  
549 (Supplementary Table 18). This larger set of associations suggests a broader picture of asthma

550 risk, with a wide range of pleiotropic effects for traits ranging from lung cancer and multiple  
551 sclerosis (with rs3817963 in *BTNL2*) to coronary heart disease (with rs1333042 near *CDKN2B*).  
552 This analysis also generated an extended set of asthma candidate genes. Indeed, there are 210 SNPs  
553 in the GWAS catalog that are associated with asthma in TAGC at a threshold of  $10^{-3}$ ; the proportion  
554 of false positives among these is smaller than 1%.

555

### 556 **Enrichment of asthma risk loci in epigenetic marks**

557 Because nearly all lead SNPs at the 18 loci identified by this study lie in non-coding sequences,  
558 except for the *IL13* missense variant (rs20541), we investigated whether the asthma-associated  
559 variants and their proxies ( $r^2 \geq 0.80$ ) were concentrated in cis-regulatory DNA elements. Only 16 of  
560 18 identified asthma loci were explored because we excluded the two loci spanning the HLA region  
561 due to the large amount of variability and extensive LD in this region. We interrogated the 111  
562 ROADMAP and 16 ENCODE reference epigenomes in a wide range of human cell types<sup>24</sup>. We  
563 focused on histone marks characterizing enhancers and promoters assayed in all 127 epigenomes  
564 and DNase I hypersensitivity sites available in 51 cell types. To assess enrichment of the asthma  
565 risk variants for co-localization with these regulatory elements, we used the Uncovering  
566 Enrichment through Simulation pipeline<sup>40</sup>. This approach generates random SNP sets that match  
567 the characteristics of the original asthma-associated SNPs (distance from the nearest transcription  
568 start site, number of LD partners, minor allele frequency). Empirical P-values for enrichment are  
569 calculated by comparing the observed frequency of co-localization of SNPs with a given type of  
570 regulatory element in the original asthma-associated SNP set to the co-localization frequency  
571 distribution obtained from the 10,000 random SNP sets generated. Benjamini-Hochberg false  
572 discovery rates (FDRs) are then computed to correct for multiple testing (Online Methods).

573 While the asthma-associated variants were strongly enriched for co-localization with  
574 enhancer marks, there was only weak enrichment in promoter marks (Table 4 and Supplementary  
575 Table 19). This enrichment was highest in leukocytes (27 leukocytes of which 19 (70%) are  
576 lymphocytes and monocytes). For example, a  $FDR \leq 5\%$  for enrichment of asthma loci in active  
577 enhancers was observed in 100% of leukocytes compared to 50% of all cell types. The enrichment  
578 of asthma risk variants for co-localization with DNase I hypersensitivity sites was intermediate  
579 between the enrichments in promoters and enhancers and was again increased in blood cells  
580 ( $FDR \leq 5\%$  in 40% of leukocytes and 12% of all cell types) (Table 4 and Supplementary Table 20).

581 The strong enrichment of asthma loci in enhancer marks, especially in immune cells,  
582 indicates that the associated genetic variants are likely involved in regulation of immune-related  
583 functions. This also suggests that epigenetic mechanisms may be key to promoting asthma, as  
584 evidenced for IgE levels, an asthma-associated phenotype<sup>41</sup>.

585

### 586 **Connectivity between asthma-associated loci**

587 To characterize the degree of connectivity between the 18 asthma-associated loci, we applied the  
588 Gene Relationships Across Implicated Loci (GRAIL) text-mining approach<sup>25</sup>. Genes at eleven of  
589 these loci showed connections with a GRAIL score,  $P_{\text{GRAIL}}$ , less than 5% (7 of them being highly  
590 connected with  $P_{\text{GRAIL}} < 10^{-3}$ ) (Fig.2 and Supplementary Table 21). These genes were connected by  
591 keywords such as ‘asthma’, ‘allergy’, ‘atopic’, ‘interleukin’, ‘cytokines’, ‘airway’, and  
592 ‘inflammation’, confirming the central role of immune-related mechanisms accounting for these  
593 connections.

594

595

596

597 **DISCUSSION**

598 In this meta-analysis of worldwide asthma GWAS in ethnically-diverse subjects, we discovered  
599 nine novel loci influencing asthma risk. This study confirms that immune-related mechanisms are  
600 prominent in asthma susceptibility and brings novel insights that open new routes for future asthma  
601 research. The asthma-associated loci identified by TAGC are enriched in enhancer marks and are  
602 likely to be involved in gene regulation. Although this was observed in immune cells, asthma genes  
603 (e.g., *IL1RL1*, *TSLP*, *IL33*, *ORMDL3/GSDMB*) are also expressed in the airway epithelium where  
604 they modulate airway inflammation. Investigation of epigenetic marks in airway epithelial cells  
605 may bring additional insight. The best candidates at many loci are involved in immune response  
606 to viruses or bacteria, which underlines the importance of infections in asthma risk. This study  
607 further provides evidence for overlap of asthma loci with loci underlying auto-immune diseases  
608 and other diseases that have an inflammatory component, which strengthens the growing  
609 importance of pleiotropy in multifactorial diseases.

610 Our meta-analysis doubles the number of cases from prior genome-wide studies<sup>21,22</sup>. We  
611 identified 878 SNPs that correspond to 22 distinct association signals at 18 loci meeting criteria for  
612 genome-wide significance in European-ancestry and/or multi-ancestry populations. Pooling data  
613 from ethnically-diverse populations can increase power to detect new loci (two loci reached the  
614 genome-wide threshold only in the multi-ancestry analysis) but may also increase heterogeneity.  
615 Besides differences in the genetic background, varying environmental exposures can modify  
616 genetic risks and result in heterogeneity in SNP effect size, and consequently reduce power of  
617 multi-ancestry analysis compared to ancestry-specific analysis. Assuming an asthma prevalence  
618 of 10%, the variance in liability to asthma explained by the 22 genome-wide significant variants of  
619 this study was estimated to be 3.5% (95% Confidence Interval : 2.0%-5.4%) of which 72% was  
620 accounted for by the known loci and 28% by the new loci. It is of note that the current study was



621 based on HapMap2-imputed data which was shared within the TAGC consortium and thus allowed  
622 detection of associations with common genetic variants ( $MAF \geq 1\%$ ).

623 The overall relative paucity of asthma risk loci detected by large-scale GWAS compared to  
624 other common diseases may be due to the clinical heterogeneity of asthma and the important role  
625 of differing environmental exposures. It is recognized that asthma is not a single disease but that  
626 the syndrome varies based on many characteristics<sup>42</sup>, including age of asthma onset, the severity  
627 of disease, the type of cellular inflammatory infiltrates, occupational exposures and the varying  
628 response to treatment. It is thus possible that additional asthma loci will be revealed by studies  
629 targeting more specific asthma sub-phenotypes and/or taking into account environmental  
630 exposures.

631 In conclusion, future discoveries might come by exploring more complex models of asthma  
632 phenotypes and through the joint analysis of asthma and other immune-mediated and inflammatory  
633 diseases. The central role of gene regulatory mechanisms highlighted by our study might prompt  
634 genome-wide explorations of the epigenome in immune cells and the respiratory epithelium while  
635 integrating information on genetic variation and environmental exposure histories.

636

### 637 **URLs**

638 National Human Genome Research Institute (NHGRI) and European Bioinformatics Institute

639 (EBI) catalog of published genome-wide association, <https://www.ebi.ac.uk/gwas/>; 1000

640 Genomes Project Consortium Phase 3, <http://www.internationalgenome.org/>; Genome-wide

641 Complex Trait Analysis (GCTA), <http://cnsgenomics.com/software/gcta>; Blood-eQTL database,

642 <https://omictools.com/blood-eqtl-browser-tool>; GTEx, <http://www.gtexportal.org/>; MuTHER

643 database, <http://www.muther.ac.uk/>; eQTL database in lymphoblastoid cell lines from MRCA

644 and MRCE families, <https://www.hsph.harvard.edu/liming-liang/software/eqtl/>; GHS\_Express,

645 <http://genecanvas.ecgene.net/> ; HaploReg v4.1,  
646 <http://archive.broadinstitute.org/mammals/haploreg/haploreg.php>; ROADMAP and ENCODE  
647 epigenomics data, [http://egg2.wustl.edu/roadmap/web\\_portal/](http://egg2.wustl.edu/roadmap/web_portal/) ; Uncovering Enrichment through  
648 Simulation (UES)\_pipeline, <https://github.com/JamesHayes/uesEnrichment> ; GRAIL,  
649 <https://software.broadinstitute.org/mpg/grail/> ; VIZGRAIL,  
650 <http://software.broadinstitute.org/mpg/grail/vizgrail.html>, LocusZoom, <http://locuszoom.org/>

651

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657

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712

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714 The authors who are affiliated with deCODE (D.F.G., I.J., K.S., U.T., G.T. ) are employees of  
715 deCODE genetics/AMGEN. All other co-authors did not declare any conflict of interest

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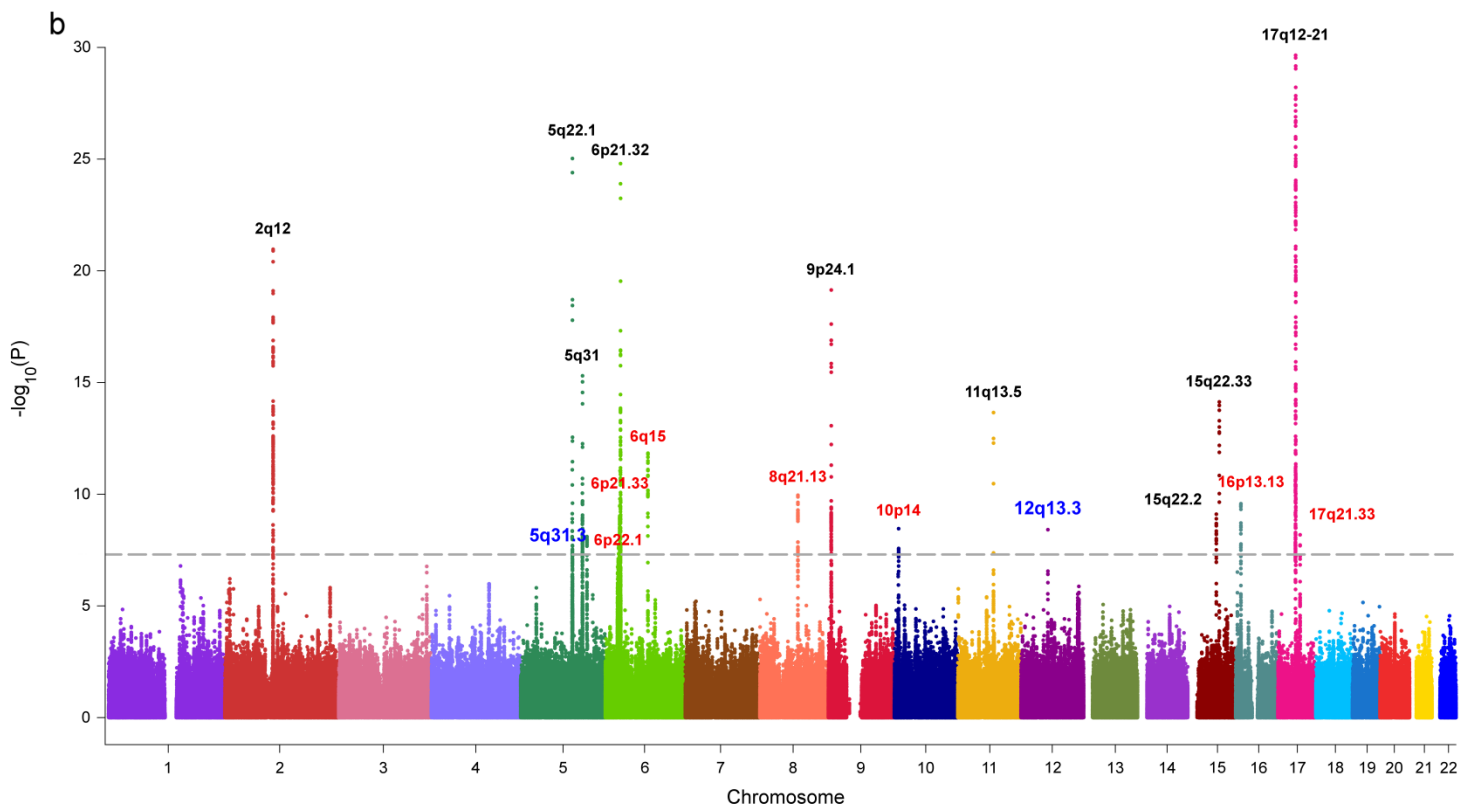
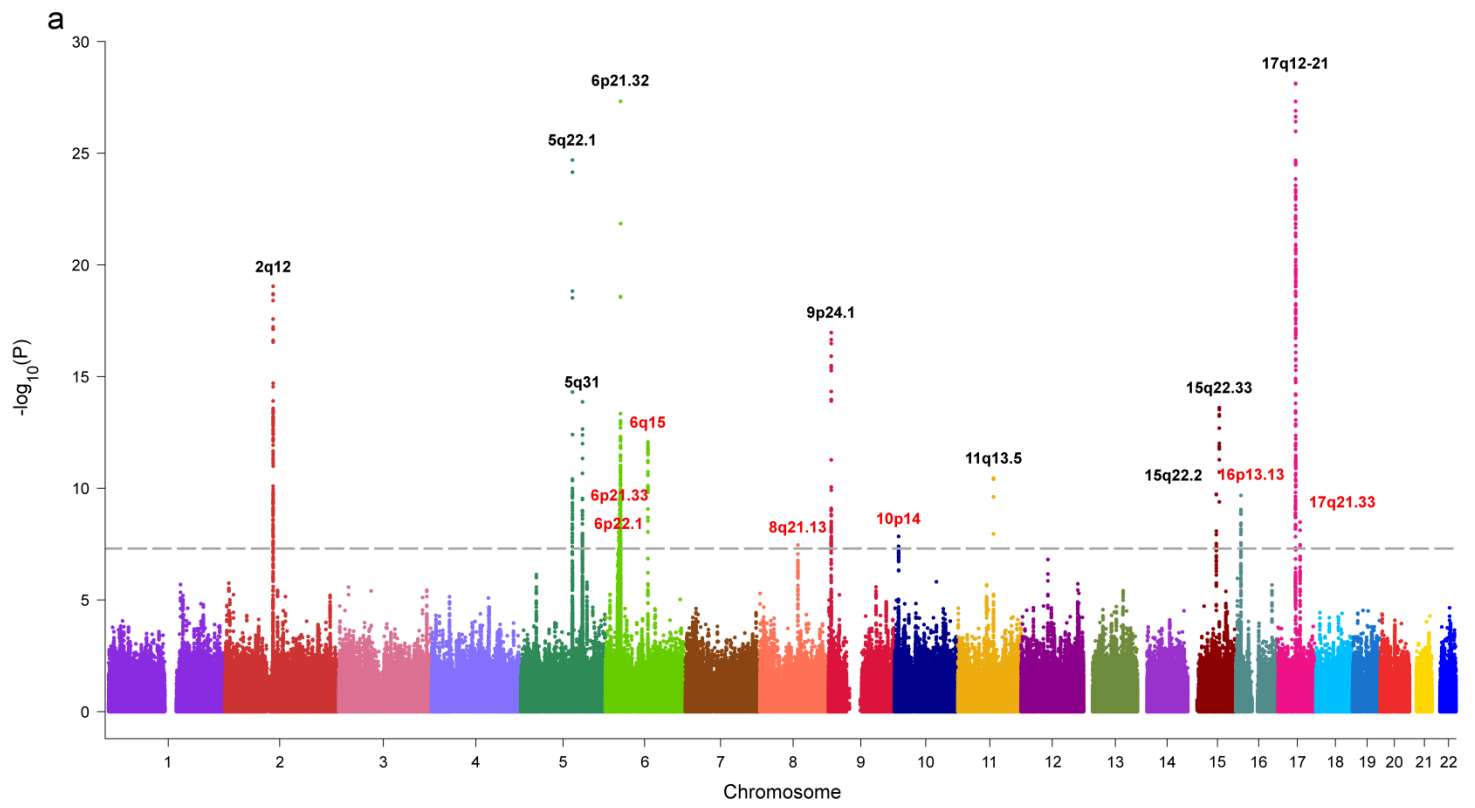
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## Figure Legends

**Figure 1.** Manhattan plots of the results of European-ancestry and multi-ancestry random-effects meta-analyses of asthma risk. **(a)** The European-ancestry meta-analysis pertains to 19,954 cases and 107,715 controls. **(b)** The multi-ancestry meta-analysis pertains to 23,948 cases and 118,538 controls. Each locus is annotated by its cytogenetic band location. The x axis represents chromosomal location and the y axis represents  $-\log_{10}(P \text{ value})$  for tests of association between SNPs and asthma. Black, previously known loci; red, new loci identified in the European-ancestry meta-analysis; blue, additional new loci identified in the multi-ancestry meta-analysis. The dashed horizontal line denotes  $P=5 \times 10^{-8}$ .

**Figure 2.** GRAIL<sup>25</sup> circle plot of connectivity between genes at asthma risk loci. The 17 asthma risk loci are along the outer ring (the 10p14 locus was ignored because it corresponds to a gene desert); the internal ring represents the genes at these loci. The width of the lines drawn between genes corresponds to the strength of the literature-based connectivity, with thicker lines representing stronger connections.

**Figure 1.**







**Table 1 Genetic loci associated with asthma in European-ancestry and multi-ancestry meta-analyses**

Locus <sup>a</sup>	No. Sig SNPs Eur-anc/ Multi-anc <sup>b</sup>	rsID	Position <sup>c</sup>	Nearby genes <sup>d</sup>	Allele <sup>e</sup>	European-ancestry meta-analysis					Multi-ancestry meta-analysis				
						EAF	OR	95% CI	$P_{random}$	$P_{het}$	OR	95% CI	$P_{random}$	$P_{het}$	$P_{ethnic}$
<b>New asthma susceptibility loci</b>															
5q31.3	0 / 11	rs7705042	141,492,419	<i>NDFIP1, GNDPA1, SPRY4</i>	C/A	0.63	1.08	1.05-1.11	$1.6 \times 10^{-6}$	0.07	1.09	1.06-1.12	$7.9 \times 10^{-9}$	0.11	0.55
6p22.1	8 / 5	rs1233578	28,712,247	<i>GPX5, TRIM27</i>	A/G	0.13	1.11	1.07-1.15	$5.3 \times 10^{-9}$	0.82	1.09	1.05-1.12	$5.9 \times 10^{-7}$	0.56	0.003
6q15	26 / 26	rs2325291	90,986,686	<i>BACH2, GJA10, MAP3K7</i>	G/A	0.33	0.91	0.89-0.93	$8.6 \times 10^{-13}$	0.78	0.91	0.89-0.94	$2.2 \times 10^{-12}$	0.80	0.39
12q13.3	0 / 1	rs167769	57,503,775	<i>STAT6, NAB2, LRP1</i>	C/T	0.40	1.08	1.05-1.11	$1.6 \times 10^{-7}$	0.19	1.08	1.05-1.11	$3.9 \times 10^{-9}$	0.31	0.85
17q21.33	4 / 3	rs17637472	47,461,433	<i>ZNF652, PHB</i>	G/A	0.39	1.08	1.05-1.11	$3.3 \times 10^{-9}$	0.56	1.08	1.05-1.11	$6.6 \times 10^{-9}$	0.35	0.12
<b>New signals at loci previously associated with asthma in ancestry-specific populations</b>															
6p21.33	66 / 53	rs2855812	31,472,720	<i>MICB, HCP5, MCCD1</i>	G/T	0.23	1.10	1.06-1.13	$1.7 \times 10^{-8}$	0.23	1.10	1.07-1.13	$8.9 \times 10^{-12}$	0.39	0.58
10p14	3 / 6	rs2589561	9,046,645	<i>GATA3, CELF2</i>	A/G	0.82	0.90	0.87-0.94	$1.4 \times 10^{-8}$	0.78	0.91	0.88-0.94	$3.5 \times 10^{-9}$	0.82	0.25
<b>Asthma signals previously reported for asthma plus hay fever</b>															
8q21.13	1 / 28	rs12543811	81,278,885	<i>TPD52, ZBTB10</i>	G/A	0.66	0.93	0.91-0.95	$3.4 \times 10^{-8}$	0.47	0.92	0.90-0.95	$1.1 \times 10^{-10}$	0.54	0.24
16p13.13	12 / 13	rs17806299	11,199,980	<i>CLEC16A, DEXI, SOCS1</i>	G/A	0.20	0.90	0.88-0.93	$2.1 \times 10^{-10}$	0.51	0.91	0.88-0.94	$2.7 \times 10^{-10}$	0.49	0.58
<b>Known asthma loci</b>															
2q12	133 / 144	rs1420101	102,957,716	<i>IL1RL1, IL1RL2, IL18R1</i>	C/T	0.37	1.12	1.10-1.15	$9.1 \times 10^{-20}$	0.63	1.12	1.09-1.15	$3.9 \times 10^{-21}$	0.61	0.64
5q22.1	35 / 32	rs10455025	110,404,999	<i>SLC25A46, TSLP</i>	A/C	0.34	1.15	1.12-1.18	$2.0 \times 10^{-25}$	0.53	1.15	1.12-1.18	$9.4 \times 10^{-26}$	0.57	0.27
5q31	33 / 62	rs20541	131,995,964	<i>IL13, RAD50, IL4</i>	A/G	0.79	0.89	0.86-0.91	$1.4 \times 10^{-14}$	0.73	0.89	0.87-0.92	$5.0 \times 10^{-16}$	0.77	0.62
6p21.32	101 / 124	rs9272346	32,604,372	<i>HLA-DRB1, HLA-DQA1</i>	G/A	0.56	1.16	1.13-1.19	$4.8 \times 10^{-28}$	0.46	1.16	1.12-1.19	$5.7 \times 10^{-24}$	0.14	0.43
9p24.1	65 / 71	rs992969	6,209,697	<i>RANBP6, IL33</i>	A/G	0.75	0.85	0.82-0.88	$1.1 \times 10^{-17}$	0.008	0.86	0.83-0.88	$7.2 \times 10^{-20}$	0.02	0.57
11q13.5	4 / 5	rs7927894	76,301,316	<i>C11orf30, LRRC32</i>	C/T	0.37	1.10	1.07-1.13	$3.5 \times 10^{-11}$	0.38	1.10	1.08-1.13	$2.2 \times 10^{-14}$	0.56	0.47
15q22.2	9 / 14	rs11071558	61,069,421	<i>RORA, NARG2, VPS13C</i>	A/G	0.14	0.89	0.85-0.92	$1.9 \times 10^{-10}$	0.44	0.89	0.86-0.92	$1.3 \times 10^{-9}$	0.19	0.06
15q22.33	13 / 13	rs2033784	67,449,660	<i>SMAD3, SMAD6, AAGAB</i>	A/G	0.30	1.11	1.08-1.14	$2.5 \times 10^{-14}$	0.75	1.10	1.08-1.13	$7.4 \times 10^{-15}$	0.76	0.48
17q12-21	160 / 198	rs2952156	37,876,835	<i>ERBB2, PGAP3, C17orf37</i>	A/G	0.70	0.86	0.84-0.88	$7.6 \times 10^{-29}$	0.55	0.87	0.84-0.89	$2.2 \times 10^{-30}$	0.52	0.35

SNP  $P$ -values for association with asthma are based on random-effects meta-analysis using Stata. A total of 878 SNPs, belonging to 18 loci, reached genome-wide significance ( $P_{random} < 5 \times 10^{-8}$ ). Each locus, in this table, is represented by the SNP with the strongest evidence for association in the European-ancestry (127,669 subjects) or multi-ancestry meta-analysis (142,486 subjects from European-ancestry, African-ancestry, Japanese and Latino populations). The Cochran's Q test was used to test for heterogeneity in SNP effect sizes across studies ( $P_{het}$ ) and to test for a difference between the four ancestry-specific summary effects ( $P_{ethnic}$ ), EAF, effect allele frequency; OR, odds (log-additive) ratio; 95% CI, 95% confidence interval. <sup>a</sup>Cytogenetic band; <sup>b</sup>Number of genome-

wide significant SNPs ( $P_{\text{random}} < 5 \times 10^{-8}$ ) at each locus in European-ancestry meta-analysis/multi-ancestry meta-analysis; <sup>c</sup>SNP position, build 37. <sup>d</sup>The gene where eventually the SNP lies is first indicated, followed by the previous gene and next gene; <sup>e</sup>Reference/Effect allele.

**Table 2. Main characteristics of the nine loci harboring novel associations with asthma**

Locus <sup>a</sup>	Location of lead SNP <sup>b</sup>	Cis-eQTLs in blood (B) and lung tissue (L)	Association with allergy-related and lung function phenotypes	Association with auto-immune diseases and other immune-related traits
<b>New asthma susceptibility loci</b>				
5q31.3	<i>NDFIP1</i> (intron)	B: <i>NDFIP1</i> ( $2.7 \times 10^{-9}$ )		IBD
6p22.1	Intergenic	B: <i>ZSCAN12</i> ( $3.0 \times 10^{-8}$ ) L: <i>ZSCAN31</i> ( $6.5 \times 10^{-11}$ )	Lung function	
6q15	<i>BACH2</i> (intron)	B: <i>BACH2</i> ( $3.0 \times 10^{-10}$ )		MS, T1D, CD, IBD, V, IGG
12q13.3	<i>STAT6</i> (intron)	B: <i>STAT6</i> ( $9.8 \times 10^{-198}$ ) L: <i>STAT6</i> ( $3.7 \times 10^{-37}$ )	IgE (total, specific) Lung function	Pso, ISP_IFN
17q21.33	Intergenic	B: <i>GNGT2</i> ( $2.1 \times 10^{-52}$ )	Atopic dermatitis	ISP_IL2
<b>New asthma signals at loci previously associated with asthma in ancestry-specific populations</b>				
6p21.33	<i>MICB</i> (intron)	B: <i>TNF</i> ( $4.8 \times 10^{-14}$ ), <i>LST1</i> ( $1.0 \times 10^{-13}$ ), <i>HLA-C</i> ( $3.2 \times 10^{-13}$ ), <i>LTA</i> ( $1.0 \times 10^{-10}$ ) L: <i>MICB</i> ( $4.6 \times 10^{-13}$ )	IgE (total, specific), Self-reported allergy, Atopic dermatitis, Lung Function	SLE, UC, RA, IBD, BS, GD, SS, AS, Pso, UC, V, WBC, MoC, DS, HIV-1, SJS, HB, HBV, IMN, CD4:CD8 ratio, HIV-1C
10p14	Intergenic	None	Self-reported allergy	RA, ISP_IL1B, ISPV
<b>Asthma signals previously reported for asthma plus hay fever</b>				
8q21.13	Intergenic	None	Atopic dermatitis, Asthma + hay fever Self-reported allergy	RA
16p13.13	<i>CLEC16A</i> (intron)	B: <i>DEXI</i> ( $2.2 \times 10^{-43}$ )	Atopic dermatitis, Asthma + hay fever	T1D, PBC, MS, RA, IBD, CD, LEP

At each of the nine loci harboring novel associations with asthma, cis-genes whose expression (e-QTLs) is associated with the lead asthma-associated SNPs (shown in Table 1) or SNPs in LD ( $r^2 \geq 0.5$ ) with the lead SNPs were searched using six eQTL databases from whole blood<sup>11,12</sup>, lymphoblastoid cell lines<sup>10,13</sup>, monocytes<sup>23</sup> and lung<sup>12,14</sup>; only genes with the strongest associations ( $P$ -value  $< 5 \times 10^{-8}$ , as shown in parentheses) are presented here (Supplementary Table 16 for details). Overlap of these nine loci with associations with allergy-related and lung function phenotypes as well as with auto-immune diseases and other immune-related traits was annotated using the GWAS catalog<sup>3</sup>; IBD=Inflammatory bowel diseases (Crohn's disease), MS=multiple sclerosis, T1D=type 1 diabetes, CD=celiac disease, V=vitiligo, IGG=IgG Glycosylation, Pso=psoriasis, ISP\_IFN=Immune Response to Smallpox (secreted IFN-alpha), ISP\_IL2 Immune Response to Smallpox (secreted IL2), SLE=Systemic Lupus Erythematosus, UC=Ulcerative colitis, RA=Rheumatoid arthritis, BS=Behçet syndrome, GD=Grave's disease, SS=Systemic sclerosis, AS=Ankylosing spondylitis, WBC=White Blood cell count, MoC=monocyte count, DS=Dengue shock, HIV-1=HIV-1-susceptibility, SJS=Stevens-Johnson syndrome, HB=Hepatitis B infection, HBV=Hepatitis B vaccine response, IMN=Idiopathic membranous nephropathy, CD4:CD8=CD4:CD8 lymphocyte ratio, HIV-1C= HIV-1 control, ISP\_IL1B=Immune Response to Smallpox (secreted IL-1 beta), ISPV=Immune response to smallpox vaccine (IL-6), PBC=Primary biliary cirrhosis, LEP=Leprosy. <sup>a</sup>Cytogenetic band; <sup>b</sup>The protein coding genes flanking intergenic SNPs are shown in Table 1.

**Table 3. Overlap of TAGC asthma-associated SNPs with GWAS catalog association signals by disease group**

Disease Group	Number of GWAS catalog association signals	Number of SNPs associated with asthma at $P_{\text{random}} \leq 10^{-4}$ in the multi-ancestry meta-analysis	$P$ -value for overlap
Cardiovascular	743	20	$7.8 \times 10^{-42}$
Body size and morphology	346	2	$5.0 \times 10^{-4}$
Immune/Autoimmune	480	49	$3.0 \times 10^{-129}$
Nervous system	242	4	$1.4 \times 10^{-8}$
Blood	594	10	$1.3 \times 10^{-19}$
Neuropsychiatric	114	5	$1.5 \times 10^{-12}$
Cancer	417	7	$4.0 \times 10^{-14}$
Endocrine system	276	2	$4.0 \times 10^{-4}$
Digestive system	347	16	$1.4 \times 10^{-37}$
Eyes	177	2	$2.0 \times 10^{-4}$
Respiratory system	85	2	$3.6 \times 10^{-5}$
Infectious disease/Infection	104	2	$5.3 \times 10^{-5}$
Urinary system	144	1	$1.5 \times 10^{-2}$
Alcohol, smoking, and illicit substances	30	0	1
Musculoskeletal system	132	0	1

Overlap of TAGC asthma-associated SNPs with association signals of all diseases/traits in the GWAS catalog<sup>3</sup> was investigated for all TAGC SNPs having  $P_{\text{random}} \leq 10^{-4}$  in the multi-ancestry meta-analysis; diseases from the GWAS catalog were grouped according to the disease classification proposed by Wang *et al.*<sup>37</sup> (note that the “Digestive system” group includes Crohn's Disease, a subtype of Inflammatory Bowel Disease). The significance of overlap was estimated by the binomial tail probability for observing the shown number of TAGC asthma SNPs among the number of SNPs reported in the GWAS catalog for a group of diseases (for example, the probability of observing 20 or more asthma SNPs with  $P_{\text{random}} \leq 10^{-4}$  among the 743 cardiovascular SNPs is shown in the last column); a conservative Bonferroni adjusted significance threshold for enrichment in shared associations is  $0.05/15=0.003$  (for the 15 disease groups investigated).

**Table 4. Enrichment of asthma risk loci in promoter and enhancer marks and DNase I hypersensitivity sites**

Type of regulatory elements	Proportion of all cell types (blood cell types) showing enrichment with a given false discover rate (FDR)	
	FDR $\leq$ 10%	FDR $\leq$ 5%
All promoter states	6% (26%)	0
Active promoter states	13% (33%)	0
All enhancer states	57% (100%)	44% (89%)
Active enhancer states	66% (100%)	50% (100%)
DNase I hypersensitivity sites	16% (50%)	12% (40%)

The co-localization of SNPs at asthma risk loci with regulatory elements (promoters, enhancers, DNase I hypersensitivity sites) was assessed at 16 asthma-loci identified by this study (Table 1); the 6p21.33 and 6p21.32 loci that encompass the HLA region were excluded because of the high amount of variability and LD in this region. Enhancer and promoter states were defined using the ChromHMM 15-state model applied to functional data of 127 ROADMAP and ENCODE reference epigenomes in various cell types (including 27 leukocytes)<sup>24</sup>. DNase I hypersensitivity sites were identified in 51 cell types (including 10 leukocytes)<sup>24</sup>. Empirical-*P*-values for enrichment were obtained using 10,000 Monte-Carlo simulations of random sets of SNPs matching the original set of asthma-associated SNPs<sup>40</sup>; Benjamini-Hochberg's FDR was calculated to correct for multiple testing (Online Methods for details).

## **ONLINE METHODS**

### **GWAS Studies and Data Shared**

All 66 genome-wide association studies that form the TAGC consortium are described in the Supplementary Note and summarized in Supplementary Table 1. These studies included 56 studies of European-ancestry (19,954 cases, 107,715 controls), seven studies of African-ancestry (2,149 cases, 6,055 controls), two Japanese studies (1,239 cases, 3,976 controls) and one Latino study (606 cases, 792 controls), making a total of 23,948 cases and 118,538 controls. There were 27 studies including only childhood-onset asthma (defined as asthma diagnosed at or before 16 years of age) which allowed us analyzing separately a pediatric subgroup (8,976 cases, 18,399 controls). All subjects provided informed consent to participate in genetic studies and local ethics committees for each of the individual studies approved the study protocol. Definition of asthma was based on doctor's diagnosis and/or standardized questionnaires (see Supplementary Note for details). The samples were genotyped on a variety of commercial arrays, detailed in the Supplementary Note and Supplementary Table 2. GWAS were performed on imputed SNP data that were generated using HapMap2 as reference panel and one of the commonly used imputation software (Supplementary Note and Supplementary Table 2). In each dataset, the effect of each individual SNP on asthma, assuming an additive genetic model, was estimated through a logistic regression-based approach and expressed in terms of a regression coefficient with its standard error; the detailed methodology and software used for analysis by each study can be found in the Supplementary Note and Supplementary Table 2.

Imputation, quality control (including adjustments for population stratification) and analysis was done by each group independently and data on a predefined set of 3,952,683 autosomal SNPs was shared. These SNPs were those of the HapMap Phase 2, release 21 panel in subjects from European, Asian and African-ancestry that were filtered using SNP annotation from the build 37.3 of the reference sequence and dbSNP b135 (31,587 SNPs (0.8% of all



SNPs) from previous annotations that showed discrepancies with the chosen annotation were deleted). The variables that were shared contained the study name, general information on SNPs (rs number, chromosome, position, alleles (baseline and effect alleles as used in the analysis by each study), SNP status (imputed or genotyped SNP and whether the SNP genotype or imputed value was used in computation), quality control (QC) indicators (call rate and *P*-value for Hardy-Weinberg (HW) equilibrium test for genotyped SNPs, software used for imputation and imputation quality score for imputed SNPs), allele frequencies in cases and controls and information on association statistics (regression coefficient for SNP effect, standard error of regression coefficient, *Z* scores, *P*-values associated with *Z* score statistic).

### **Quality control of shared data**

For each SNP, the alleles on the HapMap2 template (reference and alternate alleles on the positive strand) were compared to the alleles (baseline and effect alleles) used in the analysis by each group. When necessary, the association variables (allele frequencies, regression coefficient for SNP effect, *Z* score) were swapped to match the reference/alternate alleles of the template. Data for each SNP showing any ambiguity or error in assignment to the template were set to missing. In addition, a number of QC checks were done regarding the name, format, range of possible values for all shared variables mentioned in the previous paragraph as well as consistency checks across variables. Any problem or inconsistency was corrected, otherwise the data for that SNP were set to missing. After this first stage of QC procedure, association statistics for at least one SNP in at least one study were available for 2.83 million autosomal SNPs. Strict QC criteria were used for inclusion of a SNP in the analysis. When a SNP genotype was used in the study analysis, these criteria were: call rate  $\geq 99\%$ , *P*-value for HW test  $\geq 10^{-6}$  and minor allele frequency (MAF)  $\geq 0.01$  in both controls and cases. When a SNP imputed value was used in the analysis, the criteria were: imputation quality score  $\geq 0.5$  and MAF  $\geq 0.01$  in both

controls and cases. The distribution of the summary statistics (regression coefficient for SNP effect, standard error, Z score) of all SNPs passing QC was examined for each study; SNPs that still showed extreme Z scores ( $\geq 7$  or  $\leq -7$ ) after QC were excluded.

### **Meta-analysis of asthma GWAS**

We conducted fixed-effects meta-analysis with inverse variance weighting and random-effects meta-analysis using the Der Simonian and Laird<sup>43</sup> estimator of the between-study variance when the meta-analyses included a large number of studies (European-ancestry, multi-ancestry and pediatric sub-group meta-analyses), which allows an accurate estimate of the between-study variance. We used a fixed-effects model for the meta-analyses of the African-ancestry, Japanese and Latino populations. For all these meta-analyses, we used the SNP regression coefficient and standard error from each study for which the SNP passed QC. All meta-analyses were done with Stata version 14.1 (Stata Corp., College Station, Texas, USA). To minimize the false-positive findings and to obtain robust results, we examined the combined results for SNPs for which at least two-thirds of the studies contributed to a meta-analysis. Tests of significance of the combined effect sizes were performed using a standard normal distribution. We applied a threshold of  $P_{\text{random}}$  (or  $P_{\text{fixed}}$ ) of  $5 \times 10^{-8}$  to declare a combined SNP effect as genome-wide significant. To verify the robustness of the results, we applied a genomic control correction to the association test statistics. The lead SNP at a locus was the variant with the strongest evidence for association in the European-ancestry or multi-ancestry meta-analysis. We defined a support interval around the lead SNP designated as “locus”; the bounds of this interval were the positions of the two most extreme SNPs among all SNPs lying within 500 kb on each side of the lead SNP and having  $P_{\text{random}}$  (or  $P_{\text{fixed}} \leq 10^{-6}$ ). Heterogeneity of per-SNP effect sizes across all studies in a meta-analysis was assessed using the Cochran’s Q test<sup>9</sup>. A difference between the four ethnic-specific summary effects was also tested with the Cochran’s Q statistic.

### **Conditional analysis of asthma-associated loci**

The Genome-wide Complex Trait Analysis (GCTA) software<sup>44</sup> (see URLs) was used to perform approximate conditional analysis for all loci with at least one SNP reaching the genome-wide significance level. This approximate conditional analysis is based on the summary meta-analysis statistics obtained under a fixed-effects model and takes into account the correlations among SNPs, that are estimated from a large reference population included in the meta-analysis. Approximate conditional analysis was only carried out in the European-ancestry ethnic group which can be assumed to share a similar LD pattern and represents the largest ancestry-specific dataset and the only one to show genome-wide significant results. As this analysis assumes no heterogeneity in SNP effect size across studies, the 9p24.1 and 17q12-21 loci, that show significant heterogeneity ( $P_{\text{het}} \leq 0.05$  based on the Cochran's Q test) for a large portion of each locus, were not investigated. However, for the 17q12-21 locus, where there is no heterogeneity in the pediatric sub-group, GCTA was restricted to the European-ancestry pediatric sub-group. We used the large ECRHS (European Community Respiratory Health Survey) dataset as the reference sample to estimate LD. This dataset was genotyped using Illumina Human610Quad array and included 2,101 unrelated individuals after QC<sup>22</sup>. Imputation was done using the MACH software<sup>45</sup> and HapMap2, release 21 panel; only well-imputed SNPs (imputation quality score  $rsq > 0.8$ ) and with minor allele frequency (MAF)  $\geq 1\%$  were kept in this reference panel. For each asthma-associated locus, the region explored by conditional analysis extended by 500 kb on each side of the two extreme SNPs defining the support interval around the lead SNP (see preceding paragraph). However, we reduced that extension to 250 kb for the 6p21.33 and 6p21.32 loci to avoid overlap. The length of the regions explored by conditional analysis varied from 1.01 Mb to 1.63 Mb. Within each investigated region by conditional analysis, fixed-effects summary meta-analysis data for SNPs belonging to that region were adjusted for the

lead SNP using the --cojo-cond option; tests for the adjusted SNP effects were based on the Wald test. If there was an additional SNP meeting the Bonferroni-corrected threshold for the total number of SNPs overall all regions investigated by GCTA ( $P=4.1 \times 10^{-6}$ ) after adjustment for the lead SNP, we performed an additional round including both SNPs. If the remaining SNPs had  $P$ -values greater than  $4.1 \times 10^{-6}$ , no further analysis was performed. The results of this analysis are reported in Supplementary Table 15.

### **Identification of cis-eQTLs at new asthma risk loci**

To get greater insight into the potential genes driving the association signals at the novel asthma loci, we defined a list of SNPs to be interrogated that included the lead SNPs, the secondary signals identified by conditional analysis and all SNPs in LD with these SNPs ( $r^2$  comprised between 0.5 and 1). To search for cis-expression quantitative trait loci (eQTLs) within at most 1 Mb of each investigated SNP, we interrogated six publically available eQTL databases by giving priority to cell types more likely to be involved in asthma biology (blood cell types and lung tissue): (i) a meta-analysis of the transcriptional profiles from peripheral blood cells of 5,311 European-ancestry subjects (the blood eQTL browser<sup>11</sup>); (ii) the gene expression data of 777 lymphoblastoid cell lines (LCLs) from the MuTHER database<sup>10</sup>; (iii) the transcription profiles of 405 and 550 lymphoblastoid cell lines from UK asthma (MRCA) and eczema (MRCE) family members, respectively<sup>13</sup>; (iv) the eQTL data from monocytes of 1,490 subjects included in the GH-express database<sup>23</sup>; (v) the GTEx eQTL Browser with data from multiple tissues including blood and lung<sup>12</sup>; (vi) the transcriptional profiles from lung tissues of 1,111 subjects<sup>14</sup> (see URLs).

### **Search for missense variants at new asthma risk loci**

To complement the eQTL analysis, we searched whether the lead asthma-associated SNPs and secondary signals were in LD ( $r^2 > 0.5$ ) with missense variants using the HaploReg v4.1 tool (see URLs).

### **Overlap of loci associated with asthma and other phenotypes**

Overlap of novel asthma risk loci with associations with allergy-related phenotypes/diseases and immune-related diseases as well as lung function phenotypes was first annotated using the March 24, 2015 version of the NHGRI-EBI (National Human Genome Research Institute and European Bioinformatics Institute) GWAS catalog<sup>3</sup> (see URLs) We then used this catalog to systematically investigate the overlap of asthma signals having  $P_{\text{random}} \leq 10^{-4}$  in the multi-ancestry meta-analysis with association signals of all diseases and traits in the catalog. That version of the catalog had 19,080 SNP entries, and 16,047 of those SNPs had a TAGC asthma association  $P$ -value. To investigate pleiotropy, we filtered out SNPs associated with asthma in the database, SNPs that have a reported GWAS  $P$ -value larger than  $10^{-7}$  (with the intent of removing some of the potential false positives in the catalog) and SNPs that are duplicated (i.e., remove disease-SNP duplications). This reduced the number of entries to 5,927. Note that this process did not remove SNPs in perfect LD associated with the same disease, nor SNPs that were present multiple times in the database as associated with different phenotypes. For some diseases or quantitative traits, there were multiple SNPs in the same region reported in the catalog potentially yielding redundant information. Some of the SNPs could be in strong LD, but some could reflect independent signals. To avoid possible duplication of signals, we decided to keep only unique trait-loci combinations as reflected by the variables "Disease.Trait" and "Region" in the catalog. There were 4,231 unique entries left after this filtering step. Diseases/traits in the GWAS catalog were grouped using the classification from Wang *et al.*<sup>37</sup>

We summarized the overlap of GWAS catalog signals with asthma signals by the proportion of catalog SNPs with asthma  $P$ -values smaller than  $10^{-4}$  in our analysis. The significance of overlap was estimated by the binomial tail probability for observing the number of TAGC SNPs with  $P_{\text{random}} \leq 10^{-4}$  among the number of SNPs reported in the GWAS catalog for a group of diseases. The significance threshold for enrichment in shared associations between a disease group and asthma was set equal to 0.05 divided by the number of disease groups investigated using a Bonferroni correction. Finally, we examined a larger set of SNPs in the GWAS catalog that show an association with asthma at  $P_{\text{random}} \leq 10^{-3}$  in TAGC multi-ancestry meta-analysis and estimated the proportion of false positives among those SNPs.

### **Enrichment of asthma risk loci in epigenetic marks**

To get greater insight into the functional role of the genetic variants at the novel and known asthma loci identified by this study, we investigated whether the lead SNPs and their proxies ( $r^2 \geq 0.80$ ) were concentrated in cis-regulatory DNA elements. We used the Uncovering Enrichment through Simulation pipeline<sup>40</sup> (see URLs) that was adapted to the current study. This approach tests if GWAS-identified SNPs are enriched in particular functional annotations through use of Monte Carlo simulations. The original set of asthma-associated SNPs included the lead SNPs at each asthma risk locus (ie one SNP per asthma-associated locus, as recommended by Hayes *et al*<sup>40</sup>). We excluded the two associated loci that span the HLA region (6p21.33 and 6p21.32) because of the high amount of variability and LD in this region. Each of the original lead SNPs is categorized by its distance from the nearest transcription start site (TSS) and number of LD partners ( $r^2 \geq 0.8$ ). Quartiles for both the TSS distance and LD partner count are calculated and the initial SNPs are binned accordingly. Then, SNPs from the whole set of imputed SNPs used for analysis are binned according to the original SNP criteria (distance from the closest TSS, number of LD partners, and also MAF). Random SNP sets are chosen,

matching to the original bin frequencies. LD partners ( $r^2 \geq 0.8$ ) for both the original lead SNPs and random SNPs are retrieved. The SNP data, including the original and random sets of SNPs and their corresponding LD partners ( $r^2 \geq 0.8$ ), are intersected with the cell-specific epigenome tracks of regulatory elements using the BedTool's intersectBed<sup>46</sup>, to determine which SNPs co-localize with a given type of regulatory elements (for example, enhancers or promoters). Those resultant SNPs are then collapsed into loci that co-localize with marks based on LD structure. We computed an empirical-*P* value for a specific track using 10,000 random SNP sets (this *P*-value is equal to  $r_{\text{loci}}/n$  where  $r_{\text{loci}}$  is the number of instances when the frequency of co-localization of the random SNP sets with the regulatory feature is greater than or equal to the frequency of co-localization with the feature for the original SNP set and  $n$  is the number of random SNP sets generated (here, 10,000). We used the Benjamini-Hochberg false discovery rates (FDR) to correct for multiple testing. We interrogated the functional data from 111 ROADMAP reference epigenomes and 16 additional epigenomes from ENCODE (Encyclopedia of DNA elements) that are available in a wide range of human cell and tissue types<sup>24</sup> (see URLs ). We focused on enhancers and promoters that were defined using the ChromHMM 15-state model assayed in all 127 epigenomes. We also examined enrichment in DNase I hypersensitivity sites that are available in 51 cell types.

### **Connectivity between asthma-associated loci**

We used GRAIL (Gene Relationships Across Implicated Loci)<sup>25</sup> to assess the relatedness between asthma associated loci. As described in detail previously<sup>25</sup>, to define the genes near each SNP, GRAIL finds the furthest neighboring SNPs in the 3' and 5' direction that are in LD ( $r^2 > 0.5$ ) and proceeds outward in each direction to the nearest recombination hotspot. All genes that overlap that interval are considered implicated by the SNP. If there are no genes in that region, the interval is extended by 250 kb in either direction. We took the genome-wide

significant signals identified by this study as a seed and queried loci to investigate biological connectivity among those loci. The connectivity between genes belonging to these loci was assessed through text-mining of PubMed abstracts. Each gene at each locus was scored for enrichment in GRAIL connectivity to genes located at the other loci by using statistical text-mining methods, as previously described<sup>25</sup>. The interconnectivity among genes at asthma risk loci was visualized using VIZGRAIL<sup>47</sup> (see URLs).

### **Variance explained by the asthma associated genetic variants**

We estimated the variance in liability to asthma explained by the 22 distinct genome-wide significant SNPs (18 lead SNPs plus four secondary signals identified by approximate conditional analysis) at the 18 asthma-associated loci using a method based on the liability threshold model<sup>48</sup> and assuming a prevalence of asthma of 10%. The variance in liability to asthma explained by individual SNPs was summed over all 22 significant variants. For the loci that included two SNPs (lead SNP and secondary signal), we used the SNP effect sizes estimated by approximate joint analysis using GCTA<sup>44</sup>. We also estimated the variance in liability to asthma explained by the nine lead SNPs at the nine new asthma loci and by the 13 distinct genome-wide significant signals at the nine known loci.

### **Data availability statement**

The summary statistics of the meta-analysis that support the findings of this study are available through a link from the GWAS Catalog entry for the TAGC study on the EMBL-EBI (European Bioinformatics Institute) web site (<https://www.ebi.ac.uk/gwas/downloads/summary-statistics>).

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