



Rhinovirus Species-Specific Antibodies Differentially Reflect Clinical Outcomes in Health and Asthma

DOI:

[10.1164/rccm.201803-0575OC](https://doi.org/10.1164/rccm.201803-0575OC)

Document Version

Accepted author manuscript

[Link to publication record in Manchester Research Explorer](#)

Citation for published version (APA):

Megremis, S., Niespodziana, K., Cabauatan, C., Xepapadaki, P., Kowalski, M. L., Jartti, T., Bachert, C., Finotto, S., West, P., Stamataki, S., Lewandowska-Polak, A., Lukkarinen, H., Zhang, N., Zimmermann, T., Stolz, F., Neubauer, A., Akdis, M., Andreakos, E., Valenta, R., & Papadopoulos, N. G. (2018). Rhinovirus Species-Specific Antibodies Differentially Reflect Clinical Outcomes in Health and Asthma. *American Journal of Respiratory and Critical Care Medicine*, 198(12), 1490-1499. Advance online publication. <https://doi.org/10.1164/rccm.201803-0575OC>

Published in:

American Journal of Respiratory and Critical Care Medicine

Citing this paper

Please note that where the full-text provided on Manchester Research Explorer is the Author Accepted Manuscript or Proof version this may differ from the final Published version. If citing, it is advised that you check and use the publisher's definitive version.

General rights

Copyright and moral rights for the publications made accessible in the Research Explorer are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

Takedown policy

If you believe that this document breaches copyright please refer to the University of Manchester's Takedown Procedures [<http://man.ac.uk/04Y6Bo>] or contact uml.scholarlycommunications@manchester.ac.uk providing relevant details, so we can investigate your claim.



1 Rhinovirus species-specific antibodies differentially reflect clinical outcomes in health and
2 asthma

3 Spyridon Megremis*, Katarzyna Niespodziana*, Clarissa Cabauatan, Paraskevi Xepapadaki,
4 Marek L. Kowalski, Tuomas Jartti, Claus Bachert, Susetta Finotto, Peter West, Sophia
5 Stamataki, Anna Lewandowska-Polak, Heikki Lukkarinen, Nan Zhang, Theodor Zimmermann,
6 Frank Stolz, Angela Neubauer, Mübeccel Akdis, Evangelos Andreakos, Rudolf Valenta*,
7 Nikolaos G. Papadopoulos*

8

9 Spyridon Megremis, PhD; University of Manchester, Division of Infection, Immunity and
10 Respiratory Medicine

11 Katarzyna Niespodziana, PhD; Medical University of Vienna, Division of Immunopathology,
12 Department of Pathophysiology and Allergy Research

13 Clarissa Cabauatan; Medical University of Vienna, Division of Immunopathology, Department
14 of Pathophysiology and Allergy Research

15 Paraskevi Xepapadaki, PhD; National and Kapodistrian University of Athens, Allergy
16 Department, 2nd Pediatric Clinic

17 Marek L. Kowalski, PhD; Medical University of Lodz, Department of Immunology,
18 Rheumatology and Allergy

19 Tuomas Jartti, PhD; Department of Paediatrics Turku University Hospital and University of
20 Turku, Turku, Finland

21 Claus Bachert, PhD; Ghent University, Head Upper Airways Research Laboratory

22 Susetta Finotto, PhD; Department of Molecular Pneumology, Friedrich-Alexander-Universität
23 (FAU) Erlangen-Nürnberg, Universitätsklinikum Erlangen

24 Peter West, PhD; University of Manchester, Division of Infection, Immunity and Respiratory
25 Medicine

26 Sophia Stamataki, MD; Athens General Children's Hospital "Pan & Aglaia Kyriakou", Greece

27 Anna Lewandowska-Polak, MD; Medical University of Lodz, Department of Rheumatology,
28 Poland

29 Heikki Lukkarinen, PhD; Department of Paediatrics Turku University Hospital and University of
30 Turku, Turku, Finland

31 Nan Zhang, PhD; Ghent University, Head Upper Airways Research Laboratory

32 Theodor Zimmermann, MD; Children's Hospital, Department of Allergy and Pneumology,
33 Friedrich-Alexander-Universität (FAU) Erlangen-Nürnberg, Universitätsklinikum Erlangen

34 Frank Stolz; Biomay AG, Vienna, Austria

35 Angela Neubauer, PhD; Biomay AG, Vienna, Austria

36 Mubeccel Akdis, PhD; University of Zurich, Swiss Institute of Allergy and Asthma Research

37 Evangelos Andreakos, PhD; Biomedical Research Foundation, Academy of Athens, Greece

38 Rudolf Valenta, PhD; Medical University of Vienna, Division of Immunopathology, Department
39 of Pathophysiology and Allergy Research, and NRC Institute of Immunology FMBA of Russia,
40 Moscow, Russia

41 Nikolaos Papadopoulos; MD, PhD, University of Manchester, Division of Infection, Immunity
42 and Respiratory Medicine; National and Kapodistrian University of Athens, Allergy
43 Department, 2nd Pediatric Clinic

44 * Equally contributed

45 Corresponding author: Nikolaos Papadopoulos; MD, PhD, University of Manchester, Division
46 of Infection, Immunity and Respiratory Medicine; and National and Kapodistrian University of
47 Athens, Allergy Department, 2nd Pediatric Clinic. ngpallergy@gmail.com, 41, Fidippidou
48 Athens 115 27 Greece, T: +30 (210) 7776964, F: +30 (210) 7777693.

49

50 NP, RV, SM and KN contributed to the conception and design of the work.

51 KN, CC, PX, MK, TJ, CB, SF, SS, ALP, HL, NZ, TZ, FS, AN, MA, EA , NP and RV contributed to the
52 acquisition of the data. SM analysed the data. SM and NP, interpreted the data SM and NP
53 drafted the work. SM, KN, RV and NP revised the final draft., All authors approved the final
54 version to be published; and agreed to be accountable for all aspects of the work in ensuring
55 that questions related to the accuracy or integrity of any part of the work are appropriately
56 investigated and resolved.

57 Acknowledgements: Rudolf Valenta is recipient of a Megagrant of the Government of the
58 Russian Federation, grant number 14.W03.31.0024.

59 Funding sources: This work was supported by the European Commission's Seventh Framework
60 programme under grant agreement N° 260895 (PREDICTA), by the project P29398-B30 of the
61 Austrian Science Fund, and by research grants from Biomay AG and Viravaxx AG, Vienna,
62 Austria

63

64 Running head: Rhinovirus antibody response in asthmatic children

65

66 1.21 Infectious Mechanisms

67

68 Total word count: 3910

69

70 At a glance commentary:

71 Patients with asthma are more susceptible to symptomatic rhinovirus infection and a
72 suboptimal antiviral response is often associated with increased viral replication. However,
73 the antibody response against RVs is misdirected towards an inaccessible virus region. We
74 screened the largest repertoire of RV proteins and peptides up to now and identified species-
75 specific antibodies in pre-school age children with or without asthma. The humoral response
76 to different RV species varied in both groups and suggests differential infectivity pattern
77 between RV species. In healthy pre-schoolers, RV antibodies accumulate with colds in a linear
78 trajectory. RV antibody levels in asthma patients are higher than in healthy children. However,
79 they are not related to previous infections and they are 'maxed-out' even in patients with no
80 reported infections suggesting a saturated system. Importantly, in asthma, RV-A and RV-C
81 antibodies increased with disease severity and wheeze episodes. Higher antibody levels in
82 asthma may be due to a compromised innate immune response, leading to increased
83 exposure of the adaptive immunity to the virus but with no apparent protection.

84

85 **This article has an online data supplement, which is accessible from this issue's table of**
86 **content online at www.atsjournals.org"**

87

88 Key words: Rhinovirus, Asthma, Antibody, Predicta, Chip

89

90

91

92

93

94

95

96

97

98 **Abstract:**

99 **Rationale:** Rhinoviruses are major triggers of common cold and acute asthma exacerbations;
100 Rhinovirus species A, B and C may have distinct clinical impact; however, little is known
101 regarding RV species-specific antibody responses in health and asthma.

102 **Objectives:** To describe and compare total and rhinovirus species-specific antibody levels in
103 healthy and asthmatic children, away from an acute event.

104 **Methods:** Serum samples from 163 preschool children with mild to moderate asthma and 72
105 healthy controls from the multinational Predicta cohort were analysed using the recently
106 developed PreDicta rhinovirus antibody chip.

107 **Main results:** Rhinovirus antibody levels varied, with rhinovirus C and rhinovirus A being
108 higher than rhinovirus B in both groups. Compared to controls, asthma was characterised by
109 significantly higher levels of antibodies to rhinovirus A and rhinovirus C, but not rhinovirus B.
110 Rhinovirus antibody levels positively correlated with the number of common colds over the
111 previous year in healthy children, and wheeze episodes in asthmatics. Antibody levels also
112 positively correlated with asthma severity but not with current asthma control.

113 **Conclusions:** The variable humoral response to rhinovirus species in both groups, suggests a
114 differential infectivity pattern between rhinovirus species. In healthy pre-schoolers, rhinovirus
115 antibodies accumulate with colds. In asthma, rhinovirus A and rhinovirus C antibodies are
116 much higher and further increase with disease severity and wheeze episodes. Higher antibody
117 levels in asthma may be due to a compromised innate immune response, leading to increased
118 exposure of the adaptive immunity to the virus. Importantly, there is no apparent protection
119 with increasing levels of antibodies.

120 **Abstract word count: 250**

121

122 **Introduction:**

123 Asthma is a major contemporary epidemic¹. A considerable proportion of the asthma burden,
124 is attributed to acute exacerbations, which almost invariably² follow an upper respiratory tract
125 infection (URIs), most often due to Rhinoviruses (RVs)^{3,4}. In addition to exacerbations, RVs
126 promote asthma in multiple ways^{5,6,7}. Asthmatics are more susceptible to symptomatic RV
127 infection and a suboptimal antiviral response is associated with increased viral replication and
128 cytotoxicity⁸.

129 There are 81 RV-A, 33 RV-B and 33 RV-C full genome sequences available in addition to 358
130 yet unclassified partial sequences (NCBI Taxonomy Browser). RV-C genotypes are more
131 diverse than RV-A or RV-B⁹ and recombination is frequent¹⁰, especially for RV-A and RV-C
132 species¹¹. RV species are widespread and continuously co-circulating throughout the world¹².
133 RV-A and RV-C species are associated with severe infections and hospitalisation in young
134 children, especially those with asthma^{13,14}.

135 Following an RV infection, serum-neutralising antibody titres increase for about a year and
136 high pre-existing neutralising antibody titres have been associated with resistance to
137 reinfection¹⁵. RV species-specific and cross-reactive signal can be defined¹⁶, although,
138 antibody responses against RV-A and RV-C species are highly cross-reactive. There is low
139 correlation between the RV genotype detected during a symptomatic or recovering period
140 and RV antibody titres¹⁷⁻¹⁹, possibly due to the high sequence homology observed between
141 RV species. Thus, understanding the full extent of RV epitope diversity is required to develop
142 a vaccine with wide species coverage²⁰.

143 During an exacerbation, asthmatic children have higher total anti-RV antibody titres than non-
144 asthmatic¹⁷ and rhinovirus VP1-specific IgG₁ levels tend to be higher in adults with asthma

145 than healthy controls, prior to an experimental infection²¹. Even though, it has been suggested
146 that the immune response to RV-C species is less efficacious than RV-A and RV-B^{17,21}, T-cell
147 responses to RV-A and RV-C are of similar magnitude²².

148 With advancing technology and an increasing number of sequences available, we are now able
149 to identify species-specific antibodies with increased resolution. In this study, we take
150 advantage of our recently developed PreDicta RV chip to describe RV species-specific antibody
151 responses in pre-school age children with or without asthma.

152

153 **Methods**

154 **Study population:** The PreDicta pediatric cohort is a 2-year prospective multicentre study,
155 part of the EU FP7 program PreDicta and has been described elsewhere²³. We have analysed
156 available serum samples from 163 children, 4-6 years of age, with a diagnosis of mild to
157 moderate severity asthma confirmed by a doctor of the participating study centre using pre-
158 specified criteria. Seventy-two healthy children of matched age, with no history of
159 asthma/wheeze, served as cross-sectional controls (Table E1, online data supplement). The
160 study was approved by all participants' institutional ethics committees, and written informed
161 consent was obtained from all parents.

162 **Chip-based measurement of RV-specific antibody levels in human serum samples:** Serum
163 RV-specific antibody levels were measured using the newly developed PreDicta RV chip¹⁹.
164 Briefly, the PreDicta microarray contains 130 synthesized linear RV peptides and proteins
165 including, 20 recombinant RV capsid proteins, VP1-VP4, and 15 recombinant VP1 fragments
166 from five representative RV strains, RV89, RV14, RV16, RV2, and RVC. In addition, it also
167 contains 5 recombinant non-structural proteins from RV89. Details on the chip-based

168 measurement of RV-specific antibody levels in human serum samples are provided in the
169 online data supplement.

170 **Noise reduction and determination of peptide specificity:** Prior to comparing measurements
171 between groups, the data were processed in order to: (i) exclude non-informative signal and
172 (ii) determine the specificity of peptide signal towards RV A, B and C (Figure E1-E4, online data
173 supplement). The final dataset included antibody signals from RV-A (n=14), RV-B (n=9), and
174 RV-C (n=2) species-specific peptides (Figure E4 and Table E2, online data supplement). A
175 detailed description of the analysis can be found in the online data supplement.

176 **Significance tests:**

177 A detailed description of the analysis can be found in the online data supplement.

178

179 **Results**

180 **Differential antibody levels against RV-A, B & C**

181 In the overall population, RV-A (95% CI: 1.646-1.728) and RV-C (95% CI: 1.748-1.845) specific
182 signal levels were significantly higher than RV-B (95% CI: 1.123-1.248) (Figure 1A). This was
183 the case in both healthy control children (RV-A 95% CI: 1.518-1.669, RV-C 95% CI: 1.577-1.753
184 and RV-B 95% CI: 1.054-1.298) (Figure 1B) and asthma patients (RV-A 95% CI: 1.679-1.776, RV-
185 C 95% CI: 1.797-1.911 and RV-B 95% CI: 1.116-1.262) (Figure 1C). Moreover, in the asthma
186 group, as well as in the overall population, RV-C specific antibody signal was higher than that
187 to RV-A (Figures 1C, 1A).

188 **Children with asthma have higher RV-A and RV-C, but not RV-B, antibody levels than healthy**
189 **controls.** The average RV antibody signal was significantly higher in asthma patients (95% CI:
190 1.457-1.543) than healthy participants (95% CI: 1.342-1.468) (Figure 2A). When analysed

191 individually, the average RV-A (Figure 2B) and RV-C (Figure 2D) species-specific signals were
192 significantly higher in asthma (RV-A 95% CI: 1.679-1.776, RV-C 95% CI: 1.797-1.911) than
193 controls (RV-A 95% CI: 1.518-1.669, RV-C 95% CI: 1.577-1.753), while no differences were
194 observed for RV-B (Figure 2C). Significant differences between the asthma and control groups
195 were also found for the peptides identifying both RV-A and RV-C (RV-A/C, p: 0.0089) and those
196 identifying all RV species (RV-A/B/C, p: 0.0014) but not those identifying RV-A and RV-B (RV-
197 A/B, p: 0.4137).

198 Participants were grouped (K=2, unsupervised K means clustering) based on the measured RV
199 species-specific and mixed antibody signal into high and low responders. Significantly more
200 asthma patients were classified as high responders when compared with healthy donors, but
201 only for the RV-A specific (Figure 2E), RV-C specific (Figure 2F) and RV-A/C peptides (Figure
202 2G). Regression analysis suggested that asthma patients were significantly more likely to be
203 high responders to RV-A (95% CI: 0.233-0.775) and RV-C (95% CI: 0.199-0.661) species-specific
204 and RV-A/C (95% CI: 0.169-0.716) mixed-signal peptide measurements. No differences were
205 observed in groups of high and low responders to RV-B specific, RV-A/B, and RV-A/B/C mixed
206 peptides.

207 **RV antibody levels reflect the number of upper respiratory infections (URIs) in the last 12**
208 **months in healthy children but not in asthma patients.** Linear regression was used to
209 investigate the relationship between RV antibody signal and number of reported upper
210 respiratory infections (URIs) for a time window of 12 months prior to inclusion in the study
211 (Figure 3). URI data were available for 64/72 healthy donors and 160/163 asthma patients. RV
212 antibody signal increased linearly with the number of reported URIs in healthy donors (Figure
213 3A), but not in asthma patients (Figure 3E). This was observed in all RV species (Figure 3B-D

214 and 3F-3H). Asthma patients which reported no or few URIs during the last 12 months had RV
215 antibody signals at the same level as healthy patients with multiple URIs (Supplementary
216 Figure E5). Moreover, asthmatics reporting no URIs (Mean: 1.650, S.D.: 0.18) during the past
217 year exhibited significantly higher ($p: 0.0001$) RV antibody signal than healthy donors with no
218 history of URIs (Mean: 1.036, S.D.: 0.04).

219 **Association between RV antibody levels and lower respiratory infections**

220 Donors were grouped based on the number of lower respiratory infections (LRI=0 and LRI>1).
221 In healthy participants, only RV-B specific antibody levels were significantly lower (Unpaired t
222 test with Welch's correction, $p: 0.0269$) in children with more than one LRI in the previous
223 year (Mean: 0.7449, SEM ± 0.155 , $n=6$) compared to children with no reported LRIs (Mean:
224 1.216, SEM ± 0.07052 , $n=58$). RV-A specific antibody levels were elevated in children with no
225 LRIs (Mean: 1.616, SEM ± 0.03916 , $n=58$) compared to children with more than one LRI (1.433
226 ± 0.1418 , $n=6$) but did not reach statistical significance. No differences were observed in
227 asthma patients.

228 **Association between RV antibody levels and susceptibility to the spread of upper respiratory** 229 **infections to the lower respiratory tract.**

230 We have performed nonparametric correlation of the number of URIs (Mean: 5.762, SEM \pm
231 0.304) and LRIs (Mean: 1.306, SEM ± 0.193) in the asthma group ($n=160$) using Spearman's
232 test. The variables were negatively correlated ($r: -0.280$, $p: 0.000$). We explored the effect of
233 RV antibody levels on the URIs versus LRIs correlation. RV-A antibody levels as a co-factor did
234 not affect the negative correlation ($r: -0.321$, $p: 0.000$). The same applied for RV-B antibody
235 levels ($r: -0.325$, $p: 0.000$) and RV-C ($r: -0.326$, $p: 0.000$). Age did not affect the negative
236 correlation ($r: -0.315$, $p: 0.000$). In the healthy group ($n=64$) the correlation between URIS

237 (Mean 4.109, SEM \pm 0.335) and LRIs (Mean 0.109, SEM \pm 0.045) was not significant (r: -0.158,
238 p: 0.212).

239 **In children with asthma, RV-A and RV-C, but not RV-B, antibody levels positively correlate**
240 **with the number of asthma-related episodes.** The relation of RV antibody levels and the
241 reported events of lower respiratory symptomatology for the past 12 months were
242 investigated (Figure 4). Data regarding the number of respiratory episodes were available for
243 160 out of 163 asthma patients. The RV-A (Figure 4B) and RV-C (Figure 4D) specific signal were
244 positively correlated with the number of wheeze episodes. The correlations were not affected
245 by the number of reported URIs.

246 **Correlation of RV antibody levels with asthma severity.**

247 Children with asthma were characterised by the clinical investigators as intermittent (n=47)
248 or persistent (n=115) (GINA). Higher RV antibody signal levels were present in persistent (95%
249 CI: 1.486-1.591) than in intermittent asthmatics (95% CI: 1.340-1.486) (Figure 5A). This was
250 also the case for RV-A specific antibody signal (persistent 95% CI: 1.713-1.829, intermittent
251 95% CI: 1.545-1.716) (Figure 5B). RV-C specific antibody signal was higher in persistent asthma
252 in comparison to healthy controls (95% CI: 1.820-1.955 vs 95% CI: 1.577-1.753), but not in
253 comparison to intermittent asthma (Figure 5D). No significant differences were observed
254 between the groups in relation to RV-B (Figure 5C). Classification of the participants into the
255 three groups based on their antibody profiles was also investigated through discriminant
256 function analysis (Supplementary Figure E6).

257 **RV antibody levels are not related to current asthma control.**

258 Asthma patients were grouped based on disease control into controlled (n=80) and partially
259 controlled/uncontrolled (n=81). No differences in antibody levels were observed between

260 controlled and uncontrolled asthma in any RV group (Figure 6). Patients with not well
261 controlled asthma at the time of inclusion (95% CI: 1.478-1.604) had significantly higher RV
262 antibody signal than healthy donors (95% CI: 1.342-1.468) (Figure 6A). The RV-A specific
263 antibody signal was significantly higher in patients with partially controlled and uncontrolled
264 asthma (95% CI: 1.686-1.835) than in healthy donors (95% CI: 1.518-1.669) (Figure 6B), and
265 slightly increased in children with well-controlled asthma (95% CI: 1.637-1.764). RV-C specific
266 signal was significantly higher in partially controlled-uncontrolled (95% CI: 1.770-1.949) and
267 well controlled (95% CI: 1.777-1.931) asthmatic children than in healthy donors (95% CI: 1.577-
268 1.753) (Figure 6D). No significant differences were observed in RV-B specific antibody signal
269 (Figure 6C).

270 **Seasonal variation in RV antibody levels is observed only in healthy children.** The RV
271 antibody signal was analysed based on the season of inclusion to the study (Figure 7). In
272 healthy donors, significant differences were observed amongst children of whom samples
273 were obtained during summer (Figure 7A). This variation was evident in the RV-A (Figure 7B)
274 and RV-C (Figure 7D) species-specific antibody signal, but not in RV-B (Figure 7C). No seasonal
275 variation was observed in antibody levels of asthma patients (Figure 7E-7H).

276 **Atopic status does not affect RV antibody levels.**

277 Asthma patients were stratified in atopic (n=81) and non-atopic (n=79) and the average
278 antibody signal per donor was compared using Welch's t test (Supplementary Figure E7). No
279 differences were observed between the two groups in RV-A (A.), RV-B (D.) and RV-C (G.)
280 Patients were further stratified based on the number of previously reported LRIs (LRI=0, and
281 LRI>1,). No differences were observed in RV-A antibody levels in atopic and non-atopic
282 patients with LRI=0 (B.) and LRI>1 (C.). No differences were observed in RV-B antibody levels

283 in atopic and non-atopic patients with LRI=0 (E.) and LRI>1 (F.). No differences were observed
284 in RV-C antibody levels in atopic and non-atopic patients with LRI=0 (E.). Atopic patients with
285 LRI>1 had increased RV-C antibody levels compared to non-atopic patients with LRI>1 (I.).
286 Atopy was not related to the number of upper (ExpB: 1.046, p: 0.282) or lower (ExpB: 1.025,
287 p: 0.874) respiratory infections tested with binary regression.

288 **Discussion**

289 This study provides several novel insights into the RV-specific antibody repertoire of preschool
290 children, in both health and asthma: (i) A clear differential of RV species antibody levels was
291 demonstrated in this multinational cohort (Supplementary Figure E8), in both health and
292 asthma. (ii) Asthma is characterised by higher levels of antibodies to RV-A and RV-C, but not
293 RV-B, suggesting differential susceptibility to these species. (iii) RV antibody levels reflect the
294 number of common colds in healthy children, and wheeze episodes in asthmatics. (iv) In the
295 asthma group, the number of URIs was negative correlated with the number of LRIs. This
296 observation was not affected by RV species-specific antibody levels (v) RV antibody levels
297 correlate with asthma severity but not with current asthma control, suggesting accumulation
298 over longer periods of time. (vi) The presence of atopy does not affect RV antibody levels and
299 susceptibility to LRIs.

300 A newly developed technology was used which allows the measurement of 130 different
301 RVproteins and peptides providing unprecedented power of analysis. This allowed a data-
302 driven identification of species-specific and mixed (cross-reactive) antibodies using a
303 combination of phylogenetic and unsupervised clustering to discriminate between expected
304 and observed specificity. Subsequently, RV-A, RV-B and RV-C species-specific peptides were
305 used to identify differences between asthma patients and healthy controls.

306 The antibody signal follows closely the degree of sequence homology (i.e. RV-A > RV-C > RV-B).
307 Robust signal was generated against peptides derived from the VP1 region of all three RV
308 species further confirming our earlier finding that the N-terminus of VP1 represents a major
309 epitope of rhinovirus-specific antibodies^{19,24}. It has long been thought that RV antibodies
310 cause a large change to the structure of the viral coat which neutralises the virus and stops
311 infectivity²⁵. However, we have shown that the viral capsid is dynamic and undergoes
312 uncoating when RV is bound to ICAM-1, thus exposing the normally inaccessible N terminus
313 of VP1 and misdirecting the antibody response^{19,24}.

314 Antibody levels against RV species were significantly different, with RV-C > RV-A > RV-B, in
315 asthma patients. RV-A and RV-C antibodies were higher than RV-B in healthy donors. A
316 previous report has suggested that total and specific RV-A IgG₁ titres are higher than both RV-
317 B and RV-C¹⁷ and the species-specific titres to RV-C are extremely low in both asthmatic and
318 non-asthmatic children, although they both have high RV-C titres to cross-reactive RV-A & RV-
319 C antigens. Differential detection of antibody levels against the three RV species may be
320 attributed to differential exposure, differential immune response and the ability to analyse a
321 diverse repertoire of strains, and epitopes; First, RV-C species exhibit higher within-group
322 diversity than A and B species⁹, suggesting that exposure to a certain RV-C strain might not
323 influence the immune response against other RV-C strains. This is further supported by the
324 lack of difference between RV-A and RV-C in our healthy controls. Second, our recent
325 observations associating RV-A and RV-C antibody levels with more severe asthma outcomes
326 and respiratory symptomatology, suggests a correlation of antibody response and immune
327 status. Finally, we have analysed a diverse collection of RV proteins and peptides, with only a

328 few overlaps with the Iwasaki study¹⁷ investigating antibody levels against RV in asthma; 14
329 RV-A (1 common: A01B), 9 RV-B (2 common: B14, B69) and 2 RV-C (none common).

330 Children with asthma have higher RV-total and RV-A and RV-C species-specific antibodies than
331 non-asthmatic healthy children. Iwasaki et al¹⁷ also reported similar differences (higher RV-
332 total, RV-A, and to a lesser extent RV-B) when comparing antibody levels in asthmatic children
333 during an exacerbation, with healthy controls. Furthermore, we have also previously observed
334 increased VP1-specific IgG₁ levels in adult asthmatic patients (age 19-54 years)²¹. It thus
335 appears that starting from at least the preschool years; patients with asthma develop high
336 levels of antibodies against specific RV species A and C. In a responder analysis, many more
337 asthma patients than healthy participants are high responders to RV-A, RV-C and RV-A/C
338 mixed peptides. The differential antibody response to RV subtypes, paralleling their reported
339 clinical impact in asthma, and the apparent lack of overall antibody-mediated protection from
340 respiratory morbidity in asthma, points towards the innate immunity as the key limiting factor
341 of RV virulence^{26,27}: a defective innate response to RV-A and RV-C in asthma may explain both
342 the higher levels of antibodies and increased morbidity from these viruses²⁸. Indeed, it was
343 recently demonstrated that children, independent of their asthma status, have a competent
344 CD4+ T-cell recall response to RV-A and RV-C²⁹.

345 In asthma, increased number of URIs was correlated with decreased number of LRIs but was
346 not mediated by RV antibody levels. Moreover, RV antibody levels did not correlate with the
347 number of URIs or LRIs as in the healthy group. Importantly, asthmatic children reported
348 significantly higher number of upper and lower respiratory infections compared to healthy
349 children²³. In healthy children, RV antibody levels were robustly correlated with the number
350 of reported respiratory infections in the last 12 months, in a linear manner. Children with

351 LRIs>1 had significantly lower RV-B and slightly decreased RV-A antibody levels.
352 Unfortunately, the small number of LRIs did not allow robust characterisation of upper and
353 lower respiratory tract infections and RV antibodies. We believe that in healthy children RV
354 antibody accumulation is akin to the number of RV infections and protective from re-infection
355 and spread to the lower tract. Therefore, in this asthma age group, RV antibodies accumulate
356 without conferring (at least clinically relevant) cross-protection. . In contrast, RV antibodies in
357 asthmatics correlated with previous wheeze episodes. This may be due to (i) different kinetics
358 of antibody accumulation in this population i.e. children with asthma may have already
359 accumulated high levels of RV antibodies at earlier times and are now expanding their
360 repertoire only after more severe infections, associated with wheeze, and/or (ii) symptom
361 interpretation in children with asthma: it is possible that several of the events identified as
362 URI may be in fact triggered by other factors. Whether asthma patients have more URIs than
363 normal individuals is still disputed, as it is possibly confounded by different symptom
364 thresholds in asthmatic versus normal populations^{4,6}. It is clear however that people with
365 asthma suffer from more frequent lower respiratory infections and have more severe and
366 longer-lasting LRT symptoms³¹. In a cohort of younger children sampled during an acute
367 episode of wheeze¹⁸ Stenberg-Hammar et al demonstrated that more respiratory symptoms
368 were significantly associated with increases in RV-A and RV-C specific IgG₁. Moreover, RV-B
369 specific antibody levels showed a tendency to be negatively correlated with the number of
370 reported lower respiratory infections in healthy participants but not in asthma patients, and
371 in the small number of healthy children that had a LRI, RV antibody levels were significantly
372 lower than those who did not.

373 In consequence with the above, RV antibodies were also associated with asthma severity in
374 our cohort, with children with persistent asthma having higher levels of RV-A and RV-C
375 antibodies than those with intermittent disease. It is reasonable that patients with more
376 severe disease had accumulated larger amount of RV antibodies due to a higher number of
377 previous infections. It should be noted that children with severe persistent asthma were
378 excluded from the study. In contrast, asthma control, reflecting disease activity one month
379 before the antibody sampling, was not significantly correlated to RV antibody levels, even
380 though there was a tendency of higher RV-A and -C antibodies in asthmatics with not well
381 controlled disease.

382 Seasonality was not pronounced, however, total RV, RV-A and RV-C antibody levels of healthy
383 children were at their lowest during the summer, consistent with the epidemiology of RVs and
384 our understanding of RV antibody production kinetics^{15,32,33}. However, this was not the case
385 in asthma patients suggesting an absence of correlation between RV antibody levels and RV
386 epidemiology in this age group.

387 Currently no models exist that can explain how pre-existing antibodies may affect the
388 generation of protective responses to RV as a fraction of the number of respiratory infections
389 and/or infecting RV strain and if this may potentially be altered in asthma. Our findings can be
390 summarised in a hypothetical graph based on the epitope masking model³⁴ (Supplementary
391 Figure E9). Longitudinal studies, such PreDicta, are in great need to understand the built up
392 and extent of the RV antibody repertoire in health and asthma.

393 The major strengths of the study are the high number of proteins and peptides used, the
394 unsupervised data-driven approach to discriminate between RV species-specific and mixed
395 signal, the multicentre/multinational setting, the narrow range of age and the exclusion of

396 extreme asthma severity cases. A limitation in this study is the retrospective reporting of
397 events, either infections or wheeze episodes which may suffer from recall bias. However, the
398 robustness of the correlation between reported URI and antibody levels in healthy children
399 suggests that this is not the case, at least in this group. Nevertheless, it is possible that the
400 interpretation of respiratory symptoms in asthmatics, particularly in persistent cases, is not
401 easy and can underpin the lack of association in this group. In contrast, wheezing episodes are
402 conceivably more memorable in asthma cases.

403 In conclusion, we have used the novel PreDicta RV antibody chip to characterise the species-
404 specific antibody repertoire of healthy and asthmatic pre-school age children. In health, RV
405 antibodies reflect previous URIs, while in asthma they reflect previous episodes of wheeze and
406 disease severity. There are clear differences in RV antibody levels between normal and
407 asthmatic children, as well as within the asthmatic population, suggesting that these
408 measurements could be further explored as potential biomarkers. The humoral immune
409 response to RV subgroups is variable with higher levels of RV-C and RV-A antibodies; however
410 there is no apparent protection with increasing levels of antibodies. Longitudinal trajectories
411 of RV antibody levels over time, in association with disease activity, will provide further
412 insights on their role in disease progression.

413

414

415

416

417

418

419

420

421 **References**

- 422 1. Eder W, Ege MJ, von Mutius E. The asthma epidemic. *The New England journal of medicine*
423 2006;355:2226-35.
- 424 2. Guibas GV, Megremis S, West P, Papadopoulos NG. Contributing factors to the development
425 of childhood asthma: working toward risk minimization. *Expert review of clinical immunology*
426 2015;11:721-35.
- 427 3. Papadopoulos NG, Christodoulou I, Rohde G, et al. Viruses and bacteria in acute asthma
428 exacerbations--a GA(2) LEN-DARE systematic review. *Allergy* 2011;66:458-68.
- 429 4. Busse WW, Lemanske RF, Jr., Gern JE. Role of viral respiratory infections in asthma and asthma
430 exacerbations. *Lancet* 2010;376:826-34.
- 431 5. Jackson DJ, Gangnon RE, Evans MD, et al. Wheezing rhinovirus illnesses in early life predict
432 asthma development in high-risk children. *American journal of respiratory and critical care medicine*
433 2008;178:667-72.
- 434 6. Xepapadaki P, Papadopoulos NG, Bossios A, Manoussakis E, Manousakas T, Saxoni-
435 Papageorgiou P. Duration of postviral airway hyperresponsiveness in children with asthma: effect of
436 atopy. *The Journal of allergy and clinical immunology* 2005;116:299-304.
- 437 7. Papadopoulos NG, Xepapadaki P, Mallia P, et al. Mechanisms of virus-induced asthma
438 exacerbations: state-of-the-art. A GA2LEN and InterAirways document. *Allergy* 2007;62:457-70.
- 439 8. Ritchie AI, Farne HA, Singanayagam A, Jackson DJ, Mallia P, Johnston SL. Pathogenesis of Viral
440 Infection in Exacerbations of Airway Disease. *Annals of the American Thoracic Society* 2015;12 Suppl
441 2:S115-32.
- 442 9. Palmenberg AC, Spiro D, Kuzmickas R, et al. Sequencing and analyses of all known human
443 rhinovirus genomes reveal structure and evolution. *Science* 2009;324:55-9.
- 444 10. Palmenberg AC, Gern JE. Classification and evolution of human rhinoviruses. *Methods in*
445 *molecular biology* 2015;1221:1-10.
- 446 11. McIntyre CL, McWilliam Leitch EC, Savolainen-Kopra C, Hovi T, Simmonds P. Analysis of genetic
447 diversity and sites of recombination in human rhinovirus species C. *Journal of virology* 2010;84:10297-
448 310.
- 449 12. Briese T, Renwick N, Venter M, et al. Global distribution of novel rhinovirus genotype.
450 *Emerging infectious diseases* 2008;14:944-7.
- 451 13. Bochkov YA, Gern JE. Clinical and molecular features of human rhinovirus C. *Microbes and*
452 *infection* 2012;14:485-94.
- 453 14. Bonnelykke K, Coleman AT, Evans MD, et al. CDHR3 Genetics and Rhinovirus C Respiratory
454 Illnesses. *American journal of respiratory and critical care medicine* 2017.
- 455 15. Barclay WS, al-Nakib W, Higgins PG, Tyrrell DA. The time course of the humoral immune
456 response to rhinovirus infection. *Epidemiology and infection* 1989;103:659-69.
- 457 16. Iwasaki J, Smith WA, Stone SR, Thomas WR, Hales BJ. Species-specific and cross-reactive IgG1
458 antibody binding to viral capsid protein 1 (VP1) antigens of human rhinovirus species A, B and C. *PLoS*
459 *one* 2013;8:e70552.
- 460 17. Iwasaki J, Smith WA, Khoo SK, et al. Comparison of rhinovirus antibody titers in children with
461 asthma exacerbations and species-specific rhinovirus infection. *The Journal of allergy and clinical*
462 *immunology* 2014;134:25-32.
- 463 18. Stenberg-Hammar K, Niespodziana K, Soderhall C, et al. Rhinovirus-specific antibody responses
464 in preschool children with acute wheeze reflect severity of respiratory symptoms. *Allergy*
465 2016;71:1728-35.

- 466 19. Niespodziana K, Stenberg-Hammar K, Megremis S, et al. PreDicta chip-based high resolution
467 diagnosis of rhinovirus-induced wheeze. *Nat Commun* 2018;9:2382.
- 468 20. Papadopoulos NG, Megremis S, Kitsioulis NA, Vangelatou O, West P, Xepapadaki P. Promising
469 approaches for the treatment and prevention of viral respiratory illnesses. *The Journal of allergy and*
470 *clinical immunology* 2017;140:921-32.
- 471 21. Niespodziana K, Cabauatan CR, Jackson DJ, et al. Rhinovirus-induced VP1-specific Antibodies
472 are Group-specific and Associated With Severity of Respiratory Symptoms. *EBioMedicine* 2015;2:64-
473 70.
- 474 22. Gaido CM, Stone S, Chopra A, Thomas WR, Le Souef PN, Hales BJ. Immunodominant T-Cell
475 Epitopes in the VP1 Capsid Protein of Rhinovirus Species A and C. *Journal of virology* 2016;90:10459-
476 71.
- 477 23. Xepapadaki P, Bachert C, Finotto S, et al. Contribution of repeated infections in asthma
478 persistence from preschool to school age: design and characteristics of the PreDicta cohort. *Pediatric*
479 *allergy and immunology : official publication of the European Society of Pediatric Allergy and*
480 *Immunology* 2018.
- 481 24. Niespodziana K, Napora K, Cabauatan C, et al. Misdirected antibody responses against an N-
482 terminal epitope on human rhinovirus VP1 as explanation for recurrent RV infections. *FASEB journal :*
483 *official publication of the Federation of American Societies for Experimental Biology* 2012;26:1001-8.
- 484 25. Katpally U, Fu TM, Freed DC, Casimiro DR, Smith TJ. Antibodies to the buried N terminus of
485 rhinovirus VP4 exhibit cross-serotypic neutralization. *Journal of virology* 2009;83:7040-8.
- 486 26. Chen Y, Hamati E, Lee PK, et al. Rhinovirus induces airway epithelial gene expression through
487 double-stranded RNA and IFN-dependent pathways. *American journal of respiratory cell and*
488 *molecular biology* 2006;34:192-203.
- 489 27. Custovic A, Belgrave D, Lin L, et al. Cytokine Responses to Rhinovirus and Development of
490 Asthma, Allergic Sensitization, and Respiratory Infections during Childhood. *American journal of*
491 *respiratory and critical care medicine* 2018;197:1265-74.
- 492 28. Ritchie AI, Jackson DJ, Edwards MR, Johnston SL. Airway Epithelial Orchestration of Innate
493 Immune Function in Response to Virus Infection. *A Focus on Asthma. Annals of the American Thoracic*
494 *Society* 2016;13 Suppl 1:S55-63.
- 495 29. Gaido CM, Granland C, Laing IA, et al. T-cell responses against rhinovirus species A and C in
496 asthmatic and healthy children. *Immunity, inflammation and disease* 2017.
- 497 30. Paraskevi Xepapadaki CB, Susetta Finotto, Tuomas Jartti, George N. Konstantinou, Alexander
498 Kiefer, Marek Kowalski, Anna Lewandowska-Polak, Heikki Lukkarinen, Eirini Roumpedaki, Anna
499 Sobanska, Ina Sintobin, Tytti Vuorinen, Nan Zhang, Theodor Zimmermann, Nikolaos G. Papadopoulos.
500 Contribution of repeated infections in asthma persistence from preschool to school age: design and
501 characteristics of the PreDicta cohort *Pediatric Allergy and Immunology* 2018.
- 502 31. Corne JM, Marshall C, Smith S, et al. Frequency, severity, and duration of rhinovirus infections
503 in asthmatic and non-asthmatic individuals: a longitudinal cohort study. *Lancet* 2002;359:831-4.
- 504 32. Lee WM, Lemanske RF, Jr., Evans MD, et al. Human rhinovirus species and season of infection
505 determine illness severity. *American journal of respiratory and critical care medicine* 2012;186:886-91.
- 506 33. Monto AS. The seasonality of rhinovirus infections and its implications for clinical recognition.
507 *Clinical therapeutics* 2002;24:1987-97.
- 508 34. Zarnitsyna VI, Lavine J, Ellebedy A, Ahmed R, Antia R. Multi-epitope Models Explain How Pre-
509 existing Antibodies Affect the Generation of Broadly Protective Responses to Influenza. *PLoS*
510 *pathogens* 2016;12:e1005692.

511

512 **Figure legends**

513 Figure 1: RV species-specific antibody signal levels in healthy and asthmatic preschool
514 children. In all participants of the study, the highest RV signal is observed against RV-C
515 peptides followed by RV-A and RV-B antibody levels (A). In healthy donors, RV-A and RV-C
516 signals were higher than RV-B (B). In asthma patients, the highest RV antibody signal is
517 observed against RV-C peptides followed by RV-A and RV-B (C). Differences are significant at
518 the 0.05 level. ANOVA & Post Hoc (Tukey's test).

519

520 Figure 2: Differential accumulation of RV species antibody signal levels between asthma
521 patients and healthy donors. Children with asthma have higher RV antibody signal compared
522 to healthy donors (A). Asthma patients have higher species-specific antibody signal against
523 RV-A (B) and RV-C (D), but not against RV-B (C). The majority of asthma patients could be
524 assigned as 'high responders' against RV-A, 55.2% vs 34.3% (E), RV-C, 60.7% vs 35.9% (F) and
525 RV-A/C, 56.4% vs 32.8% peptides (G), compared to healthy donors. Low responders: white
526 portion of bar plot. High responders: Black portion of bar plot. Differences were significant at
527 the 0.05 level, unpaired T test with Welch's correction.

528

529 Figure 3: Correlation of RV antibody signal levels and reported URIs. RV antibody levels were
530 linearly and positively correlated with the number of URIs in healthy donors (A) but not in
531 asthma patients (E). In healthy donors, RV-A (B), RV-B (C) and RV-C (D) specific signal increased
532 linearly with increasing number of URIS. In asthma patients, none of the RV-A (F), RV-B (G) or
533 RV-C (H) specific signal was correlated with the number of URIs. Differences were significant
534 at the 0.05 level (Linear regression).

535

536 Figure 4: Evaluation of RV antibody signal levels and reported asthma-related episodes in
537 asthma patients. RV-A (B) and RV-C (D) specific antibody levels were linearly and positively
538 correlated with the number of previous wheeze episodes. No correlation was observed for
539 the total RV (A) and RV-B specific antibody levels (C). Correlations were significant at the 0.05
540 level (Linear regression).

541

542 Figure 5: Differential levels of RV antibodies in patients with intermittent and persistent
543 asthma. Children with more severe asthma have higher total RV (A) and RV-A (B) specific
544 antibody levels than asthmatic children with intermittent asthma. RV-C specific antibody
545 levels were higher only in severe asthmatics when compared to healthy controls (D). No
546 differences were observed in RV-B specific antibody levels (C). Differences were significant at
547 the 0.05 level using ANOVA with Post Hoc (Tukey's test).

548

549 Figure 6: RV antibody levels in asthma patients with different disease control. Data are
550 presented for RV (A) and RV-A (B), RV-B (C) and RV-C (D) specific peptides. Differences were
551 significant at the 0.05 level using ANOVA with Post Hoc (Tukey's test).

552

553 Figure 7: Seasonal variation in RV antibody signal levels. RV (A), RV-A (B) and RV-C (D) antibody
554 levels in healthy children differ between seasons. In asthma patients no fluctuation of RV
555 antibodies is observed (E-H). Differences were significant at the 0.05 level, ANOVA with Post
556 Hoc (Tukey's test).